National Highways & Infrastructure Development Corporation Ltd.

22.12.2020

Sub: Construction & Upgradation to 2-lane /4lane with paved shoulder from Design Km 148+589 (Existing km 235+070) (Vailoo) to Design Km 176+532 (Existing km 263+070) Donipawa of 27.943 km length on Khellani-Khanabal section of NH-244 in the Union Territory of Jammu & Kashmir on EPC mode. (Pkg-VI)

Tender Id: 2020_NHIDC-597418_1

Amendment No-III

S. No.	Clause and Description	As Per RFP/DCA	Amendments/Modifications
1	2.1.2 of RFP	For work having Estimated Project Cost up to ₹500 Crore, no International Bidder is eligible as individually or as a member of a Joint Venture. For work more than ₹500Crore an International Bidder bidding individually or as a member of a Joint Venture shall ensure that Power of Attorney and other translated document are apostille by appropriate authority and requirement of Indian Stamp Act is duly fulfilled.	
2	21.12 of RFP	While The bidding is open to persons from India only country, the following provisions shall apply: (i) Where, on the date of the Application, not less than 50% (fifty percent) of the aggregate issued, subscribed and paid up equity share capital in the L-1 Bidder or its Member is held by persons resident outside India or where a Bidder or its Member is controlled by persons resident outside India, then the eligibility and award of the project to such L-1 Bidder shall be subject to approval of the competent authority from national security and public interest perspective as per the instructions of the Government of India applicable at such time. The decision of the authority in this behalf shall be final and conclusive and binding on the Bidder. (ii) Also for a project costing less than ₹ 500 Crore, a firm/company having not less than 50% (fifty percent) of the aggregate issued, subscribed and paid up equity share capital is held by persons resident outside India or is controlled by persons resident outside	The bidding is open to persons from India only.

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3 2		 India, is not eligible for the project/Bid. (iii) For work having Estimated Project Cost up to ₹ 500 Crore, no International Bidder is eligible as individually or as a member of a Joint Venture. b. Further, where the LoA of a project has been issued to an agency, not covered under the category mentioned above, and it subsequently wishes to transfer its share capital in favour of another entity who is a resident outside India or where a Bidder or its Member is controlled by persons resident outside India and thereby the equity capital of the transferee entity exceeds 50% or above, any such transfer of equity capital shall be with the prior approval of the competent authority from national security and public interest perspective as per the instructions of the Government of India applicable at such point in time. 2. The holding or acquisition of equity control, as above shall include direct or indirect holding, acquisition, including by transfer of the direct or indirect legal or beneficial ownership or control, by persons acting for themselves or in concert and in determining such holding or acquisition, the Authority shall be guided by the principles, precedents and definitions contained in the Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, or any substitute thereof, as in force on the date of such acquisition. 3. The Bidder shall promptly inform the authority of any change in the shareholding, as above, and failure to do so shall render the Bidder liable for disqualification from the Bidding process. 4. In case the L-1 Applicant under (a) above is denied the security clearance, for whatsoever reasons, then the applicants emerging as L-2, L-3 eligible Bidders (in that order) may be given a counter-offer (one by one sequentially) to match the bid of L-1 applicant/preferred Bidder. In the event of acceptance of the counter-offer by another eligible Bidder, the project may be awarded to such Bidder.
3 2.1	2.1 (d) of RFP	i. Any bidder from a county which shares a land border with India will be eligible to bid in this tender only if the bidder is

registered with the Competent Authority.

- ii. "Bidder" (including the term 'tenderer', 'consultant' or 'service provider' in certain contexts) means any person or firm or company, including any member of a consortium or joint venture (that is an association of several persons, or firms or companies), every artificial juridical person not falling in any of the descriptions of bidders stated hereinbefore, including any agency branch or office controlled by such person, participating in a procurement process.
- iii. Bidder from a country which shares a land border with India for the purpose of this order means:
 - a) An entity incorporated, established or registered in such a country; or
 - b) A subsidiary of an entity incorporated, established or registered in such country; or
 - c) An entity substantially controlled through entities incorporated, established or registered in such a country; or
 - d) An entity whose beneficial owner is situated in such a country; or
 - e) An India (or other) agent of such an entity; or
 - f) A natural person who is a citizen of such a country; or
 - g) A consortium or Joint Venture where any member of the consortium or joint venture falls under any of the above
- iv. The beneficial owner for the purpose of (iii) above will be as under:
 - 1. In case of a company or limited liability partnership, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has a controlling ownership interest or who exercises control through other means.

Explanation

a. "Controlling ownership interest" means ownership of or entitlement to more than twenty – five per cent of shares or

F	shall be as defined in Annexure- I of Order (Public Procurement No.	
	 or body of individuals; 4. Where no natural person is identified under (1) or (2) or (3) above, the beneficial owner is the relevant natural person who holds the position of senior managing official 5. In case of a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with fifteen percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership. v. An agent is a person employed to do any act for another, or to represent another in dealings with third person. vi. The successful bidder shall not be allowed to sub-contract works to any contractor from a country which shares a land border with India unless such contractor is registered with the Competent Authority. Note: 1. For this clause the meaning of the Competent Authority 	
	 capital or profits of the company b. "Control" shall include the right to appoint majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholders agreements or voting agreements; 2. In case of a partnership firm, the beneficial owner is the natural person(s) who, whether acting alone or together, or through one or more juridical person, has ownership of entitlement to more than fifteen percent of capital or profits of the partnership; 3. In case of an unincorporated association or body of individuals, the beneficial owner is the natural person(s) who whether acting alone or together, or through one or more juridical person, has ownership of or entitlement to more than fifteen percent or capital or profits of such association 	

5	New Clause 2.1.14 (xv) of RFP		Has been placed in the Negative List of firms by the Authority for any reason including failure to deliver contract in time bound manner, abandoning the project without permission of the Authority, poor performance, penalties, missing targets or milestones, missing interim targets, clumsy execution of works, unethical practices, failure to abide by Integrity Pact or failure to follow any lawful directions given by the Authority.
6	New Clause 2.1.15 of RFP		The bidder including individual or any of its a JV members or its Related Parties, who are already having two on-going EPC projects in NHIDCL or on-going projects worth Rs. 500 cr. (awarded cost) or more in NHIDCL, as on the date of financial bid opening, shall not be eligible to bid for this project. Explanation: (i) An LOA issued for any project shall be counted as an on-going project. (ii) Project having EPC cost of Rs. 25 Crores or less shall not be counted for this purpose. (iii) In case of a company, the Related Parties means Related Parties as defined in the Companies Act' 2013, and in case of a bidder other than a company, the Related Parties means bodies in which the bidder or its partners are partner, trustees or directors in other bodies whether incorporated or not. To substantiate this, the bidder shall provide an undertaking giving list of all such related parties and projects being executed by the Related Parties in NHIDCL. A certificate in this regard from the Statutory Auditor (with UDIN) shall also be provided by the bidder.
7	Appendix-II of RFP	I hereby submit aof validity i.e. not less than 180 (one hundred eighty) days from the bid due date. I, on behalf of the bidder, [Name of the bidder], period of one year from the bid due date of this work.	I hereby submit aof validity . I, on behalf of the bidder, [Name of the bidder], period of one year from the bid due date of this work.
8	Article 23 New clause 23.1 (v)of DCA		As a natural consequence of the termination, due to the contractor's failure, the contractor shall deemed to have been debarred for a period of 2 years and shall not be able to bid any contract of the Authority either singularly

			r its Related Parties.
		(Explanatio	n :- Such debarment shall be a natural
		consequence	ce of termination. No separate Show Cause /
		proceeding	shall be initiated for placing such contractor
		under deba	rment).
9	The second state of the second		nority may, at its discretion, without
		terminatin	g the contract and allowing the contractor to
		continue	with the existing contract(s), place the
		contractor	(s) in the 'Negative List' for any of the
		following r	
	İ		to achieve milestones proportionate to the
	74	oncumbron	ce free ROW handed over.
			to achieve targets / interim targets duly
			ted by the Authority or its officers;
		(C) Failure	to mobilize machinery / manpower as per the
		discretion o	f the Authority or its officers;
		(d) Inefficier	nt and unsafe execution of work showing total
			public safety in construction zone and public
		convenience	2001
		(e) Showing	total disregard to environmental laws, local
			ate / local administration concerns;
	Article 23 New clause	(f) Showing	total lack of ability (whether managerial /
	23.1 (vI)of DCA		execute projects of such size;
		(g) Failure	to abide by any lawful direction of the
		Authority or	
		(h) Failure	of contractor to extend/ replenish the
		Performance	e Security as per Contract Agreement.
			f contractor to maintain the project highway
		during cons	struction and/or after completion of work,
		during the m	naintenance period.
		(j) Failure o	of contractor to rectify defects/ unsafe work
		within the tir	ne period as directed by Authority Engineer.
		(k) Subcont	racting part or whole of the work without
	18 g		the Authority.
			of contractor to fulfill its obligations as per
			contract of agreement.
			at, the Authority shall issue a notice giving 15
			o the contractor before placing him in the
		'Negative Li	st' and upon evaluation of reply, if any, shall
		take a final	decision. Such a notice shall not be issued
		Late a ma	assistant Saon a notice shall not be issued

			without the approval of an officer below the rank of Executive Director.
			Provided, upon satisfactory action on the matter for which the contractor has been placed in the list, the Competent Authority may allow the contractor to be deleted from the 'Negative List'.
10	Article 23 New clause 23.1 (vii) of DCA		Consequence of placement in the Negative List:- "The contractor to include all the JV partners and their related parties shall not be eligible to bid in any of the Authority's contracts / projects for a period of 2 years from the date of being placed in the negative list or till the completion of the ongoing contract or removal of the Contractor from the negative list, whichever is earlier."
11	Technical Schedule: As per schedule- B(Annexure-I), clause 10 (viii)	Litility Duct: 270/3 km	Utility Duct: 27.943 km.
12	Technical Schedule: As per schedule B, para 1 (ii),	Carriage way width is 2x7.5 m	Carriage way width is 2x7.0 m and KS 0.25m.
13	As per schedule B , para 7 (I) c	Span arrangement for Bridge at RD 159+083,159+297,163+289 are shown as 2x10, 3x10, 4x10 (For Lane)	Span arrangement for Bridge at RD 159+083,159+297,163+289 are shown as 1x10, 1x10, 1x10 (For Lane)

(B.Shivprasad)
General Manager(T)