

# National Highways and Infrastructure Development Corporation Ltd. (Ministry of Road Transport & Highways) Government of India

Feasibility Study, Preparation of Detailed Project Report and providing pre-construction services for Construction of 2-Lane Bridge for Connectivity of Port Blair-Chatham Island and Bambooflat with 200mtr to 400mtr Navigational span in the Union Territory region of Andaman Nicobar Islands

REQUEST FOR PROPOSAL (RFP)

Oct, 2018

4, Parliament Street, PTI Building, New Delhi-110 001

# **INDEX**

SI. No.	Content	Page No.
1	Notice Inviting Tender (NIT)	3
2	Letter of Invitation	5-15
3	Annex -I : Details of the Proposed Stretch	16
4	Annex-II: Procedure of Assessment of Least Cost to NHIDCL under special circumstances	17
5	Data Sheet	18-24
6	Appendix - I : Terms of Reference	25-93
7	Supplement -I: Additional Requirements for Hill Roads	94-98
8	Supplement - II: Additional Requirements for Bridges	99-101
9	Supplement _ III : Additional requirement for safety audit	102-106
10	Enclosure - I : Manning Schedule	107
11	Enclosure - II : Qualification Requirements of Key Personnel	108
12	Enclosure - III: Schedule for Submission of Reports and Documents and format of submission of reports and documents.	109-110
13	Enclosure - IV: Format for submission of reports and documents	111-115
14	Appendix _ II : Formats for Eligibility	116-122
15	Appendix - III : Formats for Technical Proposals	123-134
16	Appendix - IV: Formats for Financial Proposals	135-144
17	Appendix - V: Detailed Evaluation Criteria	145-150
18	Appendix - VI: Draft Contract Agreement	151-192
19	Appendix VII: DPR Checklist for 8 stages [Stage 1: Inception Report, Stage 2: Feasibility Report, Stage 3: LA & Clearances I, Stage 4: Detailed Project Report, Stage 5: Technical Schedules, Stage 6: LA & Clearances II]: Stage 7: Award Determination(Structures), Stage 8: Land Possession Report (Structures)	193-232

# National Highways and Infrastructure Development Corporation Ltd.

# (Ministry of Road Transport and Highways) Government of India

#### **NOTICE INVITING TENDER (NIT)**

- NHIDCL has been assigned the work of Feasibility Study, Preparation of Detailed Project Report and providing pre-construction services for Construction of 2-Lane Bridge for Connectivity of Port Blair-Chatham Island and Bambooflat with 200 mtr to 400mtr Navigational span in the Union Territory region of Andaman Nicobar Islands.
- 2. Proposals are hereby invited from eligible Consultants for preparation of Feasibility Study, Preparation of Detailed Project Report and providing pre-construction services for Construction of 2-Lane Bridge for Connectivity of Port Blair-Chatham Island and Bambooflat with 200mtr to 400mtr Navigational span in the Union Territory region of Andaman Nicobar Islands. The Letter of Invitation (LOI) and Terms of Reference (ToR) including Request for Proposal (RFP) is available online on e-tender portal of NIC https://eprocure.gov.in.The document can also be downloaded from NHIDCL website (<a href="www.nhidcl.com">www.nhidcl.com</a>). Cost of the Document in the form of a Non-refundable document fee of Rs.5,000 (Rupees Five Thousand only) in the form of Demand Draft favoring 'National Highways & Infrastructure Development Corporation Itd.' and payable at New Delhi must be furnished in a separate envelop while submitting the proposal.
- 3. The RFP has also been uploaded on "INFRACON" <a href="www.infracon.nic.in">www.infracon.nic.in</a>). As such before submitting the proposal the Consultant shall mandatorily register and enlist themselves (the firm and all key personnel), on the MoRTH portal "INFRACON" and furnish registration details along with its RFP. A copy of INFRACON Operation Procedure is also enclosed for bidder's reference.
- 4. All the bidders registered on INFRACON shall form a Team on INFRACON and which would be assigned unique INFRACON Team ID. Bidders while submitting the proposal shall quote the INFRACON Team ID.
- 5. Bid must be submitted online at e-tender portal i.e. https://eprocure.gov.in on or before 1100 hrs on 31.10.2018.
- **6.** The following schedule is to be followed for this assignment:

1	Bid Document Publishing Date	10.10.2018 (1700 hrs)
2	Bid Document Download / Start Date	10.10.2018 (1100 hrs)
3	Clarification End Date	17.10.2018 (1700 hrs)
4	Pre bid meeting	18.10.2018 (1500 hrs)
5	Bid Submission Start Date	23.10.2018 (0900 hrs)
6	Bid submission End Date	31.10.2018 (1500 hrs)
7	Opening Date of Technical Bid	01.11.2018 (1530 hrs)

	Opening Date of Financial Bid	To	be	intimated	after	final
8	Opening Date of Phlancial Blu	eva	luati	on of Techr	nical bi	ds

Yours sincerely,

(Y. C. Srivastava) General Manager (Tech), NHIDCL 4, Parliament Street New Delhi-110001

Tel: 91-011-23461-617

E-mail: gmandaman001@gmail.com

Website: www.nhidcl.com

# <u>Letter of Invitation (LOI)</u>

NHIDCL/Chatham-Bambooflat/Pre-feasibility/2016 Dated: 10.10.2018

Dear Sir,

Sub: Feasibility Study, Preparation of Detailed Project Report and providing preconstruction services for Construction of 2-Lane Bridge for Connectivity of Port Blair-Chatham Island and Bambooflat with 200 mtr to 400mtr Navigational span in the Union Territory region of Andaman Nicobar Islands.

#### 1. Introduction

- 1.1 The National Highways & Infrastructure Development Corporation Limited has been constituted through an Act of Parliament for faster, economical and quality Road Construction work throughout India. The National Highways & Infrastructure Development Corporation Limited has been entrusted with the assignment of Feasibility Study, Preparation of Detailed Project Report and providing pre-construction services for Construction of 2-Lane Bridge for Connectivity of Port Blair-Chatham Island and Bambooflat with 200 mtr to 400mtr Navigational span in the Union Territory region of Andaman Nicobar Islands. NHIDCL now invites proposal from Technical consultants for carrying out detailed project report as per details given in Annexure-1.
- 1.2 A brief description of the assignment and its objectives are given in the Appendix-I, "Terms of Reference.
- 1.3 The National Highways and Infrastructure Development Corporation Ltd invites Proposals (the "Proposals") through e-tender (on-line bid submission) on CPPP portal after creating Team ID at https://infracon.nic.in \* for selection of Technical Consultant (the "Consultant") who shall prepare DPR. A consultant is not allowed to bid with more than one team. For the sake of clarity, it is mentioned that one consultant cannot submit two proposals/ bids. Consultants are hereby invited to submit proposals in the manner as prescribed in the RFP. Financial proposal is to be submitted separately. Financial proposal is only to be submitted online and no hard copy of the financial proposal should be submitted. The most preferred bidder (H-1) would be determined on the basis of Quality and Cost as mentioned in the RFP.
- 1.4 The consultants shall submit proposals either in sole capacity or in JV or in Association. In case of Joint Venture, the maximum number of Joint Venture partners is limited to 2 (i.e. one lead + 1 JV partner). The Applicant whether a sole applicant or joint venture may include an Associate company also. Any entity which has been barred by the Ministry of Road Transport and Highways (MORTH) or its implementing agencies for the works of Expressways, National Highways, ISC and El Works and the bar subsists as on the date of application, would not be eligible to submit the bid, either individually or as a member of a Joint Venture.

- 1.5 To obtain first hand information on the assignment and on the local conditions, the consultants are encouraged to pay a visit to the client, local State PWDs and the project site before submitting a proposal and attend a pre-proposal conference. They must fully inform themselves of local and site conditions and take them into account in preparing the proposal.
- 1.6 Financial Proposals will be opened only for the firms found to be eligible and scoring qualifying marks in accordance with Para 5 hereof. The consultancy services will be awarded to the highest ranking consultant on the basis of Quality and Cost.
- 1.7 Please note that (i) costs of preparing the proposal and of negotiating the contract, including visits to the Client, etc., are not reimbursable as a direct cost of the assignment; and (ii) Client is not bound to accept any of the proposals submitted and reserve the right to reject any or all proposals without assigning any reasons.
- 1.8 The proposals must be properly signed as detailed below:
- 1.8.1 i by the proprietor in case of a proprietary firm
  - ii. by the partner holding the Power of Attorney in case of a firm in partnership (A certified copy of the Power of Attorney on a stamp paper of Rs. 100 and duly notarized shall accompany the Proposal).
  - iii. by a duly authorized person holding the Power of Attorney in case of a Limited Company or a corporation (A certified copy of the Power of Attorney on a stamp paper of Rs. 100 and duly notarized shall accompany the proposal).
  - iv. by the authorized representative in case of Joint Venture.
- 1.8.2 In case a Joint Venture/Association of firms, the proposal shall be accompanied by a certified copy of legally binding Memorandum of Understanding (MOU) on a stamp paper of Rs.100, signed by all firms to the joint venture confirming the following therein:
  - i. Date and place of signing
  - ii. Purpose of Joint Venture/Association (must include the details of contract works for which the joint venture has been invited to bid.
  - iii. A clear and definite description of the proposed administrative arrangements for the management and execution of the assignment. Name of Lead Firm and other partner of JV should be clearly defined in the MOU
  - iv. Delineation of duties/ responsibilities and scope of work to be undertaken by each firm along with resources committed by each partner of the JV/Association for the proposed services
  - v. An undertaking that the JV firms are jointly and severally liable to the Employer for the performance of the services
  - vi. The authorized representative of the joint venture/Association shall give a Letter of Association, MOU as in i) to vi above except v, letter of Authorization, copies of GPA/SPA for the person signing the documents and a certificate of incorporation.

- 1.8.3 In case of Joint venture, one of the firms which preferably have relatively higher experience will act as the lead firm representing the Joint Venture. The duties, responsibilities and powers of such lead firm shall be specifically included in the MOU /agreement. It is expected that the lead partner would be authorized to incur liabilities and to receive instructions and payments for and on behalf of the Joint Venture. Payment to be made to the JV can also be made to the account of the JV. For a JV to be eligible for bidding, the experience of lead partner and other partner should be as indicated in data sheet.
- 1.8.4 A firm can bid for a project either as a sole consultant or in the form of joint venture with other consultant or in association with any other consultant. However, alternative proposals i.e. one as sole or in JV with other consultant and another in association / JV with any other consultant for the same package will be summarily rejected. In such cases, all the involved proposals shall be rejected.
- 1.9 Pre-proposal conference shall be held on the date, time and venue given in Data Sheet.

#### 1.10 Bid Security

- 1.10.1 The applicant shall furnish as part of its Proposal, a Bid Security of Rs.2,00,000 (Rupees Two Lakh Only) in the form of a Bank Guarantee in the prescribed format given at instruction to Consultants (Form IC-I) issued by one of the Nationalized/Scheduled Banks in India in favour of the MD, National Highways & Infrastructure Development Corporation Limited payable at New Delhi (the "Bid Security") valid for a minimum period of 150 days (i.e. 30 days beyond the validity of the bid) from the last date of submission of proposals. Demand Draft of Rs. 2,00,000/- (Rupees Two Lakh Only) can also be deposited as bid security. This Bid Security is returnable not later than 30 (thirty) days from the date of Opening of the Financial proposals except in case of the two highest ranked Applicants. Bid Security of the Selected Applicant and the Second ranked Team shall be returned, upon the Selected Applicant signing the Agreement.
- 1.10.2 Any Bid not accompanied by the Bid Security of the required value and minimum required validity shall be rejected by the Authority as non-responsive.
- 1.10.3 The NHIDCL shall not be liable to pay any interest on the Bid Security and the same shall be interest free.
- 1.10.4 The Applicant, by submitting its Application pursuant to this RFP, shall be deemed to have acknowledged that without prejudice to the NHIDCL's any other right or remedy hereunder or in law or otherwise, the Bid Security shall be forfeited and appropriated by the Authority as the mutually agreed pre-estimated compensation and damage payable to the Authority for, *inter alia* the time, cost and effort of the NHIDCL in regard to RFP including the consideration and evaluation of the Proposal under the following conditions:
  - (a) If an Applicant withdraws its Proposal during the period of its validity as specified in this RFP and as extended by the Applicant from time to time.

(b) In the case of a Selected Applicant, if the Applicant fails to sign the Agreement.

#### 2. Documents

- 2.1 To enable you to prepare a proposal, please find and use the attached documents listed in the Data Sheet.
- 2.2 Consultants requiring a clarification of the documents must notify the Client, in writing, by 1700 hrs on 17.10.2018 any request for clarification in writing or by telefax/email must be sent to the Client's address indicated in the Data Sheet. The Client will upload replies to pre-bid queries on its website.
- 2.3 At any time before the submission of proposals, the Client may, for any reason, whether at its own initiative or in response to a clarification requested by a Consulting firm, modify the Documents by amendment or corrigendum. The amendment will be uploaded on NHIDCL website. The Client may at its discretion extend the deadline for the submission of proposals and the same shall also be uploaded on NHIDCL website.

## 3 Preparation of Proposal

The proposal must be prepared in three parts viz.

Part 1: Proof of eligibility Part 2: Technical Proposal Part 3: Financial Proposal

- 3.1 Document in support of proof of eligibility
- 3.1.1 The minimum essential requirement in respect of eligibility has been indicated in the Data Sheet. The proposal found deficient in any respect of these requirements will not be considered for further evaluation. The following documents must be furnished in support of proof of eligibility as per Formats given in Appendix-II:
  - (i) Forwarding letter for Proof of Eligibility in the Form-E1.
  - (ii) Firm's relevant experience and performance for the last 7 years: As derived through INFRACON in support of experience as specified in Data Sheet. The uploaded experience certificates should indicate clearly the firms' Design/DPR experience, in 2/4/6- lane of structures like bridges, Viaducts, tunnels, ground improvement, etc as per the prescribed format. Also, the scope of services rendered by the firm should be clearly indicated in the certificate obtained from the client. The information given in INFRACON shall also be considered as part of Technical Proposal and shall be evaluated accordingly. The Consultants are therefore advised to see the evaluation criteria and uploaded experience on INFRACON carefully. The projects without/incomplete uploaded certificate shall not be considered.

- (iii) Firm's turnover for the last 5 years: A tabular statement as in Form E3 showing the turnover of the applicant firm(s) for the last five years beginning with the last financial year certified by the Chartered Account along with certified copies of the audit reports shall be submitted in support of the turnover shall be submitted on INFRACON Portal in input data sheet.
- (iv) Document fee: The fee for the document amounting to Rs.5,000 (Rupees Five Thousand only) in the form of Demand Draft favoring National Highways & Infrastructure Development Corporation Limited payable at New Delhi must be furnished in a separate envelope while submitting the proposal.
- (v) Bid Security: Bank Guarantee in support of bid security for an amount specified in Data Sheet and having validity for a minimum period of 150 days (i.e. 30 days beyond the validity of the bid), from the last date of submission of proposals in the Form E4.
- (vi) Power of Attorney on a stamp paper of Rs.100 and duly notarized authorizing to submit the proposal.
- (vii) In case of Joint Venture/ Association of firms, the proposal shall be accompanied by a certified copy of legally binding Memorandum of Understanding (MOU) on a stamp paper of Rs.100, signed by all firms to the joint venture/ Association as detailed at para 1.8.2 above.
- 3.1.2 The minimum essential requirement in respect of eligibility has been indicated in the data sheet, the proposal found deficient in any respect of these requirements will not be considered for further evaluation.

#### 3.2 **Technical Proposal**

- 3.2.1 You are expected to examine all terms and instructions included in the Documents. Failure to provide all requested information will be at your own risk and may result in rejection of your proposal.
- 3.2.2 During preparation of the technical proposal, you must give particular attention to the following:

Total assignment period is as indicated in the enclosed TOR. You shall make your own assessment Key Personnel & of support personnel both technical and administrative to undertake the assignment. Additional support and administrative staff need to be provided for timely completion of the project within the total estimated cost. It is stressed that the time period for the assignment indicated in the TOR should be strictly adhered to.

- 3.2.3 The technical proposal shall be submitted strictly in the Formats given in Appendix- III and shall comprise of following documents:
  - i) Forwarding letter for Technical proposal duly signed by the authorized

person on behalf of the bidder, as in Form-T-1

- ii) Deleted.
- iii) Deleted.
- iv) Site Appreciation: limited to four A4 size pages in 1.5 space and 12 font including photographs, if any (Form-T-4).
- v) Deleted.
- vi) Proposed methodology for the execution of the services illustrated with bar charts of activities, including any change proposed in the methodology of services indicated in the TOR, and procedure for quality assurance: The proposed methodology should be accompanied by the consultants initial view, key challenges they foresee and potential solutions suggested regarding: a) proposed alignment and bypass required, b) land acquisition requirements, c)access control, rehabilitation of existing road, drainage and utilities, d) adoption of superior technology along with proof: limited to six A4 size pages in 1.5 space and 12 font including photographs, if any for items a to c, (Form-T-6) and information in Form-T-8 (as covered in para viii below) for item d
- vii) The proposal should clearly identify and mention the details of Material Testing lab facilities to be used by the Consultants for the project (Form-T-7).
- viii) The proposal shall indicate as to whether the firm is having the facilities for carrying out the following field activities or these are proposed to be outsourced to specialized agencies in the Form- T-8.
- a) Underwater Subsoil Investigation
- b) Geo-technical Investigation

In case the consultant envisages outsourcing any or all of the above services to the expert agencies, the details of the same indicating the arrangement made with the agencies need to be furnished. These agencies would however, be subject to approval of the client to ensure quality input by such agencies before award of the work. For out-sourced services, proposed firms/consultants should have such experience on similar projects

- ix) Details of office equipment and software owned by the firm in Form-T9
- x) Deleted.
- xi) Undertaking about truthfulness of the submitted information (Form –T 11).

#### 3.2.4 Deleted

3.2.5 The technical proposal must not include any financial information.

#### 3.3 Financial Proposal

- 3.3.1 The Financial proposal should include the costs associated with the assignment. These shall normally cover: remuneration for staff (foreign and local, in the field, office etc.), accommodation, transportation, equipment, printing of documents, surveys, geotechnical investigations etc. This cost should be broken down into foreign and local costs. Your financial proposal should be prepared strictly using, the formats attached in *Appendix IV*. Your financial proposal should clearly indicate the amount asked for by you without any assumptions of conditions attached to such amounts. Conditional offer or the proposal not furnished in the format attached in *Appendix-IV* shall be considered non- responsive and is liable to be rejected.
- 3.3.2 The financial proposal shall take into account all types of the tax liabilities and cost of insurance specified in the Data Sheet.
- 3.3.3 Costs shall be expressed in Indian Rupees in case of domestic as well as for foreign Consultant. The payments shall be made in Indian Rupees by the National Highways & Infrastructure Development Corporation Ltd and the Consultant themselves would be required to obtain foreign currency to the extent quoted and accepted by NHIDCL. Rate for foreign exchange for payment shall be at the rate established by RBI applicable at the time of making each payment installment on items involving actual transaction in foreign currency. No compensation done to fluctuation of currency exchange rate shall be made.
- 3.3.4 Consultants are required to charge only rental of equipments / software(s) use so as to economize in their financial bid.

## 4 Submission of Proposals

- 4.1 The Applicants shall submit the proposal (Proof of Eligibility & Technical Proposal) by creating INFRACON Team ID and mentioning the same in the letter of proposal (Form-E-1). Only the documents (excluding Firm's experience) as mentioned under clause 3.2.3 shall be submitted in hard bound form with all pages numbered serially and by giving and index of submissions. The Consultant shall submit only one hard copy (in original) of such documents to NHIDCL on or before the deadline of submission of bids. Financial proposal are only to be submitted online and no hard copy of the financial proposal should be submitted.
- 4.2 You must submit original proposal as indicated in the Data Sheet. "Proof of Eligibility" in original and hard bound should be enclosed in an envelope which should be marked as "Part-I Proof of Eligibility". Similarly, "Technical Proposal" in original and hard bound should be enclosed in an envelope which should be marked as "Part-II Technical Proposal" The proposal will be sealed in an outer envelope which will bear the address and information indicated in the Data Sheet and shall be submitted to NHIDCL on or before the deadline for submission of bids. The envelope must be clearly marked:

Consultancy	Package Nos	Project Name ·····
CUIISUILAIICV	rachage 1103.	FIGIECL Name

#### Do not open, except in presence of the evaluation committee

- 4.2.1 This outer envelope will contain three separate envelopes. The first envelope containing "Proof of Eligibility" (which should be clearly marked), the second envelope containing "Technical Proposal" (which should be clearly marked) and the third envelope containing a demand draft of Rs. 5,000/- (cost of RFP), Bid Security of required amount and validity as mentioned in the RFP.
- 4.2.2 The proposal must be prepared in indelible ink and must be signed by the authorized representative of the consultants. The letter of authorization must be confirmed by a written power of attorney accompanying the proposals. All pages of the Proof of Eligibility and Technical Proposal must be initialed by the person or persons signing the proposal.
- 4.3 The proposal must contain no interlineations or overwriting except as necessary to correct errors made by the Consultants themselves, in which cases such corrections must be initialed by the person or persons signing the proposal.
- 4.4 Your completed Proof of eligibility and Technical proposal (in hard copy) must be delivered on or before the scheduled time and date at the address stated in Data Sheet. Proof of Eligibility, Technical Proposal and Financial Proposal for each package shall have to be submitted online also on or before the time and date at the address stated in Data Sheet.
- 4.5 Your proposal must be valid for the number of days stated in the Data Sheet from the closing date of submission of proposal.

#### 5 **Proposal Evaluation**

#### 5.1 Stage I- Proof of Eligibility

The proposals would be evaluated by a Committee constituted by Chairman, NHIDCL. A three-stage procedure will be adopted in evaluating the proposal. In the first stage- Proof of Eligibility, it will be examined as to whether:

- i) The proposal is accompanied by Document fee
- ii) The Proposal is accompanied by Bid Security of required value and of validity equal or more than the minimum required validity
- iii) The firms(s) have required experience
- iv) The firms(s) have required turnover
- v) The documents are properly signed by the authorized signatories and whether the proposal contains proper POA as mentioned at para 1.8.1 above
- vi) The proposals have been received on or before the dead line of submission.
- vii) In case a Joint Venture/Association of firms, the proposal shall be accompanied by a certified copy of legally binding Memorandum of Understanding (MOU) on a stamp paper of Rs.100, signed by all firms to the joint venture/Association as detailed at para 1.8.2 above

In case answers to any of the above items is 'No' the bid shall be declared as non-responsive and shall not be evaluated further.

A Consultant satisfying the minimum Eligibility Criteria as mentioned in the Data sheet and who had submitted the above mentioned documents shall be declared "pass" in Proof of Eligibility and the Technical Proposals of only those consultants shall be opened and evaluated further.

#### 5.2 Stage II- Technical evaluation

In the second stage the Technical proposal shall be evaluated as per the detailed evaluation criteria given in Data Sheet.

A proposal securing 75 points shall be declared pass in the evaluation of Technical Proposal. The technical proposal should score at least 75 points out of 100 to be considered for financial evaluation.

#### 5.3 Stage III- Evaluation of Financial Proposal

- 5.3.1 In case only one firm is eligible for opening of Financial Proposals, the Financial Proposal shall not be opened and the bidding process shall be cancelled and NHIDCL shall invite fresh bids. For financial evaluation, total cost of financial proposal excluding Goods and Service Tax shall be considered. Goods and Service Tax shall be payable extra.
- 5.3.2 The evaluation committee will determine whether the financial proposals are complete (i.e. whether they have included cost of all items of the corresponding proposals; if not, then their cost will be considered as NIL but the consultant shall however be required to carry out such obligations without any compensation. In case, if client feels that the work cannot be carried out within overall cost of financial proposal, the proposal can be rejected. The client shall correct any computational errors and correct prices in various currencies to the single currency specified in Data Sheet. The evaluation shall exclude those taxes, duties, fees, levies and other charges imposed under the applicable law & applied to foreign components/ resident consultants.
- 5.3.3 The procedure as mentioned at Clauses 5.3.4, 5.4 and, 5.5 as mentioned below shall be followed for determining the "most preferred bidder (H1 bidder)" for this package.
- 5.3.4 The lowest financial proposal (FM) will be given a financial score (SF) of 100 points. The financial scores of other proposals will be computed as follows:

SF = 100xFM/F

(SF = Financial Score, FM= Amount of lowest bid, F= Amount of financial proposal converted in the common currency)

5.4 Combined evaluation of Technical and Financial Proposals.

Proposals will finally be ranked according to their combined technical (ST) and Financial (SF) scores using the weights indicated in the Data Sheet:

S= STxT + SFxf Where, S= Combined Score, ST= Technical Score out of 100 SF= Financial Score out of 100

T and f are values of weightage for technical and financial proposals respectively as given in the Data Sheet.

#### 5.5 Most Preferred Bidder (H-1).

A Consultant with a "particular Team" having the maximum Combined score (S) shall be declared as the **most preferred bidder** (H1).

5.6 Deleted.

#### 6 Performance Security

6.1 The consultant will furnish within 15 days of the issue of Letter of Acceptance (LOA), an unconditional Bank Guarantee equivalent to 10% of the total contract value from a Nationalized Bank, IDBI or ICICI/ ICICI Bank/ Foreign Bank/ EXIM Bank / Any Scheduled Commercial Bank approved by RBI having a net worth of not less than Rs. 500 crore as per latest Annual Report of the Bank. In the case of a Foreign Bank (issued by a Branch in India) the net worth in respect of Indian operations shall only be taken into account. In case of Foreign Bank, the BG issued by Foreign Bank should be counter guaranteed by any Nationalized Bank in India. In case of JV, the BG shall be furnished on behalf of the JV or by the lead member of the JVs for an amount equivalent to 10% of the total contract value to be received by him towards Performance Security valid for a period of three years beyond the date of completion of services, or end of civil works contract, whichever earlier. The Bank Guarantee will be released by NHIDCL upon expiry of 3 years beyond the date of completion of services, or end of civil works contract, whichever earlier, provided rectification of errors if any, found during implementation of the contract for civil work and satisfactory report by NHIDCL in this regard is issued. However, if contract is foreclosed / terminated by NHIDCL at Inception Stage, with no fault of Consultant, Performance Security shall be released within three months from date of forecloser / termination. If a Consultant fails to submit the Performance Security (as specified above), it shall attract penalty -encashment of Bid Security submitted by the Consultant.

#### 6.2 Deleted.

# 7. Penalty

The consultant will indemnify for any direct loss or damage that accrue due to deficiency in services in carrying out Detailed Project Report. Penalty shall be imposed on the consultants for poor performance/ deficiency in service as expected from the consultant and as stated in General Conditions of Contract.

#### 8. Award of Contract

The Client shall issue letter of award to selected Consultant and ask the Consultant to provide Performance Security as in Para 6 above. If the selected Consultant fail to provide performance security within the prescribed time or the Consultant fail to sign the Contract Agreement within prescribed time the Client may invite the 2<sup>nd</sup> highest ranking bidder Consultant and follow the procedure outlined in Para 8 and 9 of this Letter of Invitation.

## 9. Signing of Contract Agreement

After having received the performance security and verified it, the Client shall invite the selected bidder for signing of Contract Agreement on a date and time convenient to both parties within 15 days of receipt of valid Performance Security.

- 10. The Client shall keep the bidders informed during the entire bidding process and shall host the following information on its website:
  - i) Notice Inviting Tender (NIT)
  - ii) Request For Proposal (RFP)
  - iii) Replies to pre-bid queries, if any
  - iv) Amendments / corrigendum to RFP
  - v) List of bidders who submitted the bids up to the deadline of submission
  - vi) List of bidders who did not pass the eligibility requirements, stating the broad deficiencies
  - vii) List of bidders who did not pass the Technical Evaluation stating the reasons.
  - viii) List of bidders along with the technical score, who qualified for opening the financial bid
  - ix) Final Score of qualified bidders
  - x) Name of the bidders who is awarded the Contract

#### 11. Confirmation

We would appreciate you informing us by facsimile/e-mail whether or not you will submit a proposal.

Thanking you.

Encl. as above

Annex-1

# Details of the stretch proposed for DPR preparation

S. No.	NH/SH No.	Section	UT	Tentative Length i/c approach road	Package No.
1	2	3	4	5	6
1		Connectivity of Chatham Islands and Bambooflat	Andaman & Nicobar Island		NHIDCL/Chatham- Bambooflat/Pre- feasibility/2018

Note: The lengths mentioned are indicative in nature and any variation in length shall be dealt as Change of Scope on pro-rata basis. The configuration of the proposed upgradation shall be based on MoRTH, circular no.NH-14019/6/2012-P&M dated 05.10.2012, RW/NH-33044/37/2015/S&R(R) dated 26.05.2016 and NH-15017/28/2018-P&M dated 23.03.2018 as enclosed herewith.

#### GOVERNMENT OF INDIA Ministry of Road Transport & Highways

Transport Bhawan I, Pariiament Street New Delhi-110001

#### No. NH-14019/6/2012-P&M

Dated, the 5th October, 2012

To,

1. The Director General, Border Roads Organisation

2. The Chief Secretary of all the States

The Principle Secretaries / Secretaries of States / Union Territories, Public Works
Department (dealing with National Highways, other Centrally Sponsored Schemes and
State Schemes)

 The Engineer-in-Chief and Chief Engineers of Public Works Department of State / Union Territories (dealing with National Highways, other Centrally Sponsored Schemes and State Schemes)

5. The Chairman, National Highways Authority of India

#### Sub: Capacity building and lane width of National Highways - reg.

Sir,

I am directed to inform that Ministry intends to take up development of such National Highways having carriageway width less than the two lane width. These roads are to be developed to a minimum level.

- 2. Generally, the carriageway width is dictated by the expected traffic. National Highways which are the primary route have higher expectation from the consideration of level of service as well as from safety consideration. This aspect was deliberated in the Ministry, and observed that the NHs are serving the mixed traffic. Besides, India has the dubious distinction in terms of fatalities on roads and there is need to segregate slow moving traffic from fast moving traffic.
- 3. In the above back ground to ensure safe and smooth traffic on NHs, it has been decided that efforts be made to convert all the NHs to a minimum level of two lane with paved shoulders. Towards implementation of this, henceforth whenever new projects of widening/ bypass/ realignment are taken up, the width of the carriageway shall be at least two lane with paved shoulders irrespective of the traffic thereon.
- This issues with the approval of Minister, Road Transport & Highways.
- It is requested that the contents of this letter may be brought to the notice of all concerned for needful compliance.

Yours faithfully,

(Maya Prakash)

Deputy Secretary to the Government of India

Contd... (2)

#### No. NH-14019/6/2012-P&M

Dated, the 5th October, 2012

Copy for information and necessary action to:-

- 1. The Secretary General, Indian Road Congress, R.K.Puram, New Delhi
- 2. All Chief Engineers of the Ministry
- 3. All Technical Officers of the Ministry
- 4. All ROs / ELOs of the Ministry
- 5. PPS to Secretary (RT&H)6. PPS to DG(RD)&SS
- 7. PS to ADG
- 8. PS to JS (H)
- 9. PS to JS&FA

(Maya Prakash)

Deputy Secretary to the Government of India



## GOVERNMENT OF INDIA MINISTRY OF ROAD TRANSPORT & HIGHWAYS SR&T(Roads)

Indian Academy of Highway Engineers Campus, A-5, Institutional Area, Sector -62, NH-24 Bypass, Noida-201301.

F. No. RW/NH-33044/37/2015/S&R(R)

Dated: the 26th May 2016

To.

- The Chief Secretaries of all the State Governments/ UTs.
- The Principal Secretaries' Secretaries of all States' UT's Public Works Department dealing with National Highways, other centrally sponsored schemes.
- All Engineers-in-Chief and Chief Engineers of Public Works Department of States/ UTs dealing with National Highways, other centrally sponsored schemes.
- The Director General (Border Roads), Seema Sadak Bhawan, Ring Road, New Delhi-110 010.
- The Chairman, National Highways Authority of India, G-5 & 6, Sector-10, Dwarka, New Delhi-110 075.
- The Managing Director, NHIDCL, PTI Building, New Delhi-110001

# Subject: Capacity augmentation of National Highways from two lane to four lane.

Capacity analysis is fundamental to the planning, design and operation of National Highways and provides among other things, the basis for determining the carriageway width to be provided at any point of time with respect to the volume and composition of traffic. Moreover, it is an important tool for evaluation of the investments needed for future construction and improvements and for working out priorities of road projects.

- 2. Ministry, vide circular No. NH-14019/6/2012-P&M dated 5<sup>th</sup> October 2012 had decided that, "henceforth, whenever new projects of widening/bypass/re-alignment are taken up, the width of carriageway shall be at least two lane with paved shoulders, irrespective of the traffic thereon."
- 3. Further, Ministry decided that the planning for upgradation of the existing two lane highways with paved shoulders shall be started before the end of the design life so that by the time the threshold capacity of the road is reached, the four lane highway will already be constructed. Accordingly, Ministry vide circular No. RW/NH-33044/28/2015/S&R(R) dated 29<sup>th</sup> June 2015 had decided the traffic at which the upgradation from two lane with paved shoulder to four lane will trigger, as indicated in the table below:

Nature of Terrain	Traffic at which upgradation to four lane will trigger (in PCUs per day).	
Plain	15,000	
Rolling	11,000	
Mountainous/Steep	8,000	

4. In light of changing socio-economic conditions in the country and in order to ensure safe and comfortable mobility of road users and reduction in road accidents, widening of road and decongestion of traffic is required. Accordingly, Ministry has revised the traffic at which the upgradation from two lane to four lane will trigger, as indicated in the table below:

Nature of Terrain	Traffic at which upgradation to four lane will trigger (in PCUs per day).
Plain	10,000
Rolling	8,500
Mountainous/Steep*	6,000

\*For Roads in Hill section, preference would be three laning of existing two lane or construction of twin two lane National Highways rather than construction of four lane National Highways.

- Contents of this circular may be brought to the notice of all concerned in your organization.
- This issues with the approval of Competent Authority.

(Amiyanshu)

Assisstant Executive Engineer (S,R&T) (Roads) For Director General (Road Development) & SS

#### No. NH-15017/ 28/ 2018 - P&M Government of India Ministry of Road Transport & Highways (Planning Zone)

Transport Bhawan, 1, Parliament Street, New Delhi - 110001

Dated, the 23rd March 2018

To

- 1. The Chief Secretaries of all the State Governments/ UTs
- The Principal Secretaries/ Secretaries of all States/ UTs Public Works Department dealing with National Highways, other centrally sponsored schemes.
- All Engineers-in-Chief and Chief Engineers of Public Works Department of States/ UTs
  dealing with National Highways, other centrally sponsored schemes.
- The Chairman, National Highways Authority of India, G-5 & 6, Sector-10, Dwarka, New Delhi-110 075.
- 5. The Managing Director, NHIDCL, PTI Building, New Delhi-110001
- 6. The DG (Border Roads), Seema Sadak Bhawan, Ring Road, New Delhi- 110 010.

Subject: Standards for Lane width of National Highways and roads developed under Central Sector Schemes in Hilly and Mountainous terrains - Reg.

Sir,

On the subject of "Capacity building and lane width of National Highways", it has been stipulated vide this Ministry's letter No. NH-14019/6/2012-P&M dated 05.10.2012 that width of carriageway shall be at least two lane with paved shoulders irrespective of the traffic thereon in new projects undertaken for widening of carriageway/ bypasses/realignments.

- 2. However, challenges have come to the fore in adhering to these standards in the context of National Highways and roads in hilly and mountainous terrains. These challenges arise on account of destabilization of hill slopes and progressive damaging effects on road alignments and structures in higher contours on hills due to excavation works, requirement for large-scale felling of precious trees, associated environmental damages. Resultantly, there arises need to provide largescale protection works, acquisition of additional land for Right of Way (ROW), etc.
- 3. It is pertinent to mention in this context that the Ministry has been laying emphasis on improvement of road connectivity for the hilly and mountainous regions, backward and tribal areas, and tourist destinations. Design traffic volumes in most of the roads connecting such destinations are invariably less than about 5,000 PCUs/ day or so. Considering the requirement to enhance road network in the hilly and mountainous regions in a time bound manner, development of connectivity to various destinations in such regions without compromising required road safety standards has to be accorded priority over development of facilities enabling high speed mobility of traffic.
- 4. The provisions of Ministry's letter No. NH-14019/6/2012-P&M dated 05.10.2012, have, accordingly, been reviewed and it has been decided with the approval of the Competent Authority that the following provisions shall be applicable henceforth for National Highways and roads under Central Sector Schemes in hilly and mountainous terrains until further orders:

1 of 3

 $C: \label{lem:condition} C: \label{lem:condi$ 

#### No. NH-15017/ 28/ 2018 - P&M Government of India Ministry of Road Transport & Highways (Planning Zone)

Transport Bhawan, 1, Parliament Street, New Delhi - 110001

- 4.1 The design speed of the roads shall be as per the extant policies (viz. as per IRC: 73-1980 (Geometric Design Standards for rural (Non-Urban) Highways). Although, the geometric design standards need to comply with the broad requirements stipulated as per these standards, difficulties may arise in certain site-specific situations to ensure their strict adherence. Necessary speed restriction signs shall be erected at appropriate locations in such situations e.g. for stretches having inadequate available sight distances or at deficient curves/ hair-pin bends, etc. as per site specific requirements.
- 4.2 Adequate provisions for road signage and markings etc. shall be made in the road sections as per the extant policies viz. IRC: 67- 2012 (Code of Practice for Road signs), IRC: 35 2015 (Code of Practice for Road markings). Provisions for crash barriers shall also be made as per extant policies, especially at vulnerable locations.
- 4.3 The additional land for the required ROW should preferably be acquired on one side rather than on both sides of the existing road unless dictated by road-geometrics requirements.
- 4.4 Following specific provisions shall be made for traffic volumes ranging from 3,000 PCUs/ day to about 8,000/ day: -
  - (i) The carriageway width shall be of intermediate lane configurations, i.e. of 5.5 m width (18 ft), with two-lane structures (23 ft.).
  - (ii) The passing places may have widths of 2.5 m and 12 m length and these may be provided on alternate sides of the road. The length of the tapered section may be 6 m on either side of their approaches. Accordingly, the length of the passing places may be 24 m inclusive of the tapered length.
  - (iii) As far as possible, efforts shall be made to provide passing places at locations that could be inter-visible or upto a maximum distance of 500 m apart.
  - (iv) They should not be sited on the inside of a left hand curve of radius less than the appropriate value for the design speed of the road as this can lead to compromise with visibility.
  - (v) Further, the passing places/ temporary lay-bys should not be sited on the outside of a right hand curve with a radius of less than the appropriate value for the design speed of the road as this increases the risk as a fatigued driver may unintentionally enter the passing places/ temporary lay-by at high speed.
  - (vi) Also, drivers approaching a temporary lay-by along the road must be able to see vehicles entering or exiting the lay-by for a distance corresponding to the desirable Minimum Stopping Sight Distance for the design speed of the road. Informatory signs shall be erected at appropriate locations for such lay-bys and further necessary markings shall also be provided.

C:\Users\Dheeru\Documents\Planning 2015-16\Circular\lane width on Hills\Circular\_Approved\_Lane Width in Hill

2 of 3

#### No. NH-15017/ 28/ 2018 - P&M Government of India Ministry of Road Transport & Highways (Planning Zone)

Transport Bhawan, 1, Parliament Street, New Delhi - 110001

- (vii) The Roadway width for Hilly and Mountainous Terrain as per IRC: SP-2015 (Manual of Specifications and Standards for Two laning of Highways with paved shoulder) would stand amended accordingly.
- 4.5 For traffic volume of more than 10,000 PCUs/ day or the existing traffic volumes likely to witness a fast growth to reach this level within a period of 3 to 5 years, the carriageway width shall be of two lane NH configurations, i.e. of 7 m width. The carriageway widths shall be of two lane NH configurations with paved shoulders only in cases where the traffic is likely to increase at about more than 10 % per annum.
- 5. The provisions of Ministry's letter No. NH-14019/6/2012-P&M dated 05.10.2012 shall continue to be applicable in all other cases.
- 6. It is requested that the contents of this letter may be brought to the notice of all concerned for needful compliance.

(Vipnesh Sharma)
Superintendent Engineer (Planning)

#### Copy to:

- 1. All JSs / CEs in the Ministry of Road Transport & Highways
- 2. All Technical Officers in the Ministry of Road Transport & Highways
- 3. All CEs & ROs / SEs & ROs and ELOs
- 4. The Secretary General, Indian Road Congress
- 5. The Director, IAHE
- 6. Technical Circular file of S&R Section
- 7. NIC for uploading on Ministry's website under "What's new"

## Copy for information and necessary action to:

- 1. PS to Hon'ble Minister (RT&H)/PS to MOS (RT&H)
- PPS to Secretary (RT&H)
- Sr. PPS to DG (RD)&SS
- 4. PPS to Additional Secretary
- PPS to AS&FA
- 6. PS to ADG(Z-I) / ADG(Z-II) / ADG(Z-III) / ADG(IV) / ADG(V)

# Annex-II

DELETED.

# **DATA SHEET**

I (References to corresponding paragraphs of LOI are mentioned alongside)

1. The Name of the Assignment and description of project as mentioned in Annex-I (Ref. Para 1.1)

(The Name of project and Package No. should be indicated in the format given in the technical proposal)

2 The name of the Client is: MD,

National Highways and Infrastructure and Development Corporation Ltd.

4, Parliament Street New Delhi-110001

3. **Duration of the Project:** 6 Months

4. Date, Time and Venue of Pre-Proposal Conference

**Date:** 18.10.2018 **Time:** 1500 hrs

**Venue:** National Highways and Infrastructure and Development

Corporation Ltd. 4,Parliament Street

New Delhi-110001 (Ref. Para 1.9)

5 The Documents are

Appendix-I: Terms of Reference (TOR) ii) Appendix-II Formats for Proof of Eligibility Appendix-III: Formats for Technical Proposal iii. Appendix-IV: Formats for Financial Proposal iv. ٧. Appendix-V: Detailed Evaluation Criteria Appendix -VI ٧i. Draft Contract Agreement

vii. Appendix -VII DPR Checklist

(Ref. Para 2.1)

6. Bid Security: Rs. 2 Lakh

(Ref Para 1.10)

- 7. **Tax and Insurance** (Ref. Para 3.3.2)
  - (i). The Consultants and their personnel shall pay all taxes (including Goods & service tax), custom duties, fees, levies and other impositions levied under the laws prevailing seven days before the last date of submission of the bids. The effects of any increase / decrease of any type of taxes levied by the Government shall be borne by the Client / Consultant, as appropriate.

- (ii). Limitations of the Consultant's Liability towards the Client shall be as per Clause 3.4 of Draft Contract Agreement
- (iii). The risk and coverage shall be as per Clause 3.5 of Draft Contract Agreement.
- 8. The number of copies of the proposal required to be submitted: 1 no. (ref. para 4.1)
- 9. The address is --- (Ref. para 4.2)

Y. C. Srivastava, General Manager National Highways and Infrastructure Development Corporation Ltd.

4, Parliament Street New Delhi-110001 Tel: 011 23461617

The envelopes must be clearly marked:

- i. Original Proposal;
- Documents in proof of eligibility and technical proposal as appropriate; and, ii.
- iii. Do not open, except in presence of the evaluation committee on the outer envelope.
- Consultancy Package No.:----iv.
- Project Name: ----
- Name and Address of Consultant ٧i.
- 10. The date, time and Address of proposal submission are

31.10.2018 Date 1100 hrs Time

Address National Highways and Infrastructure and Development

Corporation Ltd. 4, Parliament Street New Delhi-110001

(Ref. Para 4.4)

- 11. Proposal Validity period (Number of days): 120 days (Ref. Para 4.5)
- 12. Evaluation criteria: (Ref. Para 3 & 5)
- 12.1 First stage evaluation eligibility requirement. (Ref. Para 3.1 & 5.1)

Table-1: Minimum Eligibility Requirements

Sr. No.	Minimum experience and performance of Preparation of DPR of Highways / Bridges in the last 7 years (NH/SH/Equivalent) (for past performance attach undertaking for any litigation history/ and arbitration).	Annual average turnover
1	A Firm applying should have experience of preparation of Detailed Project Report of two/four/six lane/Feasibility of Two/ four/six lane major bridge projects of aggregate length equal to the <b>indicative length (for evaluation purpose only)</b> of the bridge under the assignment i.e. 1000 mtr.  Firm should have also prepared (i) DPR for at least one major bridge project of 2/4/6laning of minimum 40% of the indicative length of the bridge i.e. 400 m or Feasibility Study of two/four/six laning of minimum 60% of the indicative length of the bridge i.e. 600 m	Annual average turnover for last 5 years of the firm should be equal to or more than <b>Rs. 5.00 Crores</b> .
	(ii) Detailed Project Report of a two/four/six lane major bridge project having a span of Minimum 100m.	

- (i) The sole applicant shall fulfill all the requirements given in Table-1.
- (ii) In case of JV, the Lead Partner should fulfill at least 75% of all eligibility requirements and the other partner shall fulfill at least 50% of all eligibility requirements.
- (iii) If the applicant firm has / have prepared the DPR/FS projects solely on its own, 100% weightage shall be given. If the applicant firm has prepared the DPR/FS projects as a lead partner in a JV, 75% weightage shall be given. If the applicant firm have prepared the DPR projects as the other partner (not lead partner) in a JV 50% weightage shall be given. If the applicant firm have prepared the DPR/FS projects as an associate, 25% weightage shall be given.
- (iv) Similar project means 2/4/6 lane as applicable for the project for which RFP is invited. For 2-lane projects experience of 4/6 lane also to be considered with a multiplication factor of 1.5. Experience of 4/6 lane shall be considered interchangeably for 4/6 laning projects. For 4/6 laning projects, experience of 2 lane will be considered with a multiplication factor of 0.4, but only for those 2 lane projects whose cost of consultancy services was more than Rs. 1.0 Crore.

# 12.2 Second stage technical evaluation (Refer 5.2)

S. No.	Description	Points
1	Firm's relevant experience in last 7 years	75
2	Adequacy of approach and methodology	10
3	Material testing, survey & investigation, equipment and software proposed to be used	15
	Total	100

Further break-up of each criteria has been detailed out below:

# A. Firm's relevant experience in last 7 years (75)

For standard highways, the following is the break-up:

S. No	Description	Maximu m Points	Sub- Points
1	Specific experience of the DPR consultancy related to the assignment for eligibility	36	
1.1	Aggregate Length of DPR / Feasibility study of 2/4/6 lane Bridge projects	18	
1.1.1	More than the indicative Length of the package applied for		12
1.1.2	More than 2 times the indicative length of the package applied for		15
1.1.3	More than 3 times the indicative length of the package applied for		18
1.2	DPR for 2/4/6 laning projects each equal to or more than 40 % of indicative length of a package applied for (or Feasibility Study for 2/4/6 laning projects each equal to or more than 60 % of indicative length of a package applied for)	18	
1.2.1	1 project		12
1.2.2	2 projects		15

1.2.3	3 or more projects		18
2	DPR of Bridge having length more than 200 m	12	
2.1	1 bridge		3
2.2	2 bridges		6
2.3	3 bridges		9
2.4	4 or more bridges		12
3	Specific experience of firms in terms of turnover	12	
3.1	Firm's Average Turnover of last 5 years >= 10 crore		12
3.2	Firm Average Turnover of last 5 years >= 5 crore but < 10 crore		9
3.3	Firm Average Turnover of last 5 years < 5 crore		0
4	DPR for special category projects (Special bridges)	15	
4.1	DPR of number of special bridges project having Span length of 100 mtr or more	15	
4.1.1	Span of 100 mtr or more upto 150 mtr		2
4.1.2	Span of 150 mtr or more upto 200 mtr		4
4.1.3	Span of 200 mtr or more upto 250 mtr		11
4.1.4	Span of 250 mtr or more upto 300 mtr		13
4.1.5	Span of 300 mtr or more		15

Note: In case feasibility study is a part of DPR services the experience shall be counted in DPR only.

# B. Adequacy of approach and methodology (10 Marks)

S. No	Description	Points	
1	Site appreciation	2	
2	Team composition and task assignment	2	

3	Methodology*	6	
3.1	Approach and initial view on project plan including key challenges envisaged and potential solutions for		
3.1.1	Proposed alignment and bypasses required and land acquisition requirements		2
3.1.2	Access control, rehabilitation of existing road, drainage and utilities		2
3.1.3	Adoption of superior technology along with proof of past use vendor association		2
	Total	10	

# C. Material testing, survey and investigation, equipment and software proposed to be used (15 Marks)

S. No.	Description	Maximum Points	Sub-Points
1	Availability of in-house material testing facility	5	
1.1	Available		5
1.2	Outsourced		
	(a) Through NABL accredited labs / IIT / NIT labs		4
	(b) Other than (a)		3
2	Field Investigation Facilities	5	
2.1	Available (Created in-house at site)		5
2.2	Outsourced		
	(a) Through NABL accredited labs / IIT / NIT labs (b) Other than (a)		4 3
3	Office Equipment and Software	5	
3.1	Available		5
3.2	Outsourced		4
3.3	Not Available		0

# D. Qualification and relevant experience of the proposed Key Personnel.

Sr. no.	Key Personnel	Points/Marks
1.	Project Coordinator*	0

<sup>\*</sup>The Project coordinator would be coordinating with NHIDCL and local authorities during execution of the assignment. He shall be B. Tech in Civil Engineering and M. Tech in Structures with atleast 10 years total professional experience in handling Preparation of DPR Bridge Project alongwith minimum one Bridge at with maximum age 60 years.

12.3 Detailed evaluation criteria which is to be used for evaluation of technical bids is as indicated at Appendix-V.

The Consultant should carryout self-evaluation based on the evaluation criteria at Appendix-V. While submitting the self-evaluation along with bid, Consultant shall make references to the documents submitted in their proposal which have been relied upon in self-evaluation Result of technical evaluation shall be made available on the website giving opportunity to the bidders to respond within 7 days in case they have any objection

#### 12.4 Third stage - Evaluation of Financial proposal

Financial Proposals of all Qualified Consultants in accordance with clause 5.2 and 5.3 of Letter of Invitation shall be opened.

The consultancy services will be awarded to the consultant scoring highest marks in combined evaluation of Technical and Financial proposals in accordance with clause 1.3 and 5.4 hereof.

The Factors are:

The weight given to Technical Proposal (T) = 0.80

The weight given to Financial Proposal (f) = 0.20

13. The common currency is "Indian Rupee". (Ref. Para 3.3.3)

Consultant have to quote in Rupees both for domestic Consultant as well as Foreign Consultants

14. Commencement of Assignment (Date, Location): The Consultants shall commence the Services within fifteen days of the date of effectiveness of the contract at locations as required for the project stretch stated in TOR. (Ref. Para 1.2 of LOI and 2.3 of GCC/SC).

# TERMS OF REFERENCE (TOR)

Terms of Reference for Consultancy Services (TOR) (As Applicable and relevant to nature of assignment)

#### 1. General

- 1.1 The National Highways & Infrastructure Development Corporation Ltd. (NHIDCL) has been entrusted with the assignment of "Feasibility Study, Preparation of Detailed Project Report and providing pre-construction services for Construction of 2-Lane Bridge for Connectivity of Port Blair-Chatham Island and Bambooflat with 200 mtr to 400mtr Navigational span in the Union Territory region of Andaman Nicobar Island. NHIDCL now invites proposal from Technical consultants for carrying out detailed project report as per details given in Annexure-1.
- 1.2 NHIDCL will be the employer and executing agency for the consultancy services and the standards of output required from the appointed consultants are of international level both in terms of quality and adherence to the agreed time schedule.
- 1.3 Ministry has recently awarded works of consultancy services for construction of ROBs for replacing level crossings in various states. In case a level crossing exists in a project reach, consultant is required to co-ordinate with those consultants and finalizes the alignment & configuration of road accordingly. However, if the same is not covered in the above assignment of DPR/feasibility study awarded by Ministry, the consultant under this assignment shall be responsible for preparing DPR for such level crossings.

## 2. Objective

- 2.1 The main objective of the consultancy service is to establish the technical, economical, and financial viability of the project and prepare detailed project reports for the subject work.
- 2.2 The viability of the project shall be established taking into account the requirements with regard to alignment fixation and its connectivity to the existing roads including connectivity to Chatham Island. The viability of the project shall also take into accounts the requirement of rehabilitation, upgrading and improvement based on highway design, pavement design, provision of service roads wherever necessary, type of intersections, rehabilitation and widening of existing and/or construction of new bridges and structures, road safety features, quantities of various items of works and cost estimates and economic analysis.
- 2.3 The Detailed Project Report would inter-alia include detailed highway design, design of pavement and overlay with options for flexible or rigid pavements, design of bridges and cross drainage structures and grade separated structures, design of service roads, quantities of various items, detailed working drawings, detailed cost estimates, economic

and financial viability analyses, environmental and social feasibility, social and environmental action plans as appropriate and documents required for tendering the project on commercial basis for international / local competitive bidding.

- 2.4 The DPR consultant should ensure detailed project preparation incorporating aspects of value engineering, quality audit and safety audit requirement in design and implementation along with **requirement of Navigation as detailed below:** 
  - i) To ensure minimum under-Bridge height clearance of 50 mtr above High water.
  - to ensure preferred clear span of 400 mtr across the navigable water channel (Diagram enclosed at the end of ToR as Annexure-X). If technically not feasible, then minimum clear span of 200 mtr across the deepest navigable waters and an adjacent span (towards Bamboo flat) of 200 m over remaining navigable waters, to facilitate unrestricted two-way movement of ships. Consultant has to consult Navy and Dept. of Shipping, Andaman & Nicobar for while preparing & concluding the pre-feasibility report in order to access their observations and comments for the preparation of reports.
  - iii) To ensure maximum Permissible height of the bridge (inclusive of electrics poles, highest point of the supporting structures etc) for safe operation of aircraft from Utkrosh as 81.19 mtrs.
  - iv) The proposed coordinates for the supporting pillates of the Bridge to facilitate a clear span of 400 mtr across the navigable channel aie as follows:
    - i) South Pillar- N 11 41: 390 E 92.43:530 (WGS 84 Datum)
    - ii)North Pillar N11.41 : 605 E 92.43:490 (WGS 84 Datum)
  - v) If authority accepts the pre-feasibility than to carry out feasibility and submit the report as Stage-II.
  - 2.5 The consultant should, along with Feasibility Report, clearly bring out through financial analysis the preferred mode of implementation on which the Civil Works for the stretches are to be taken up. The consultant should also give cost estimates along with feasibility report/ detailed Project Report.
  - 2.6 If at feasibility stage, employer desire to terminate the contract, the contract will be terminated after payment up to that stage.

#### 3. Scope of Services

3.1 As far as possible, the widening/improvement work to <lanes> with paved shoulders shall be within the existing right of way avoiding land acquisition, except for locations having inadequate width and where provisions of short bypasses, service roads, alignment corrections, improvement of intersections are considered necessary and practicable and cost effective. However bypasses proposals should also be considered, wherever in urban areas, improvement to <lanes> of the existing road is not possible.

The Consultant shall furnish land acquisition details as per revenue records/maps for further processing of land acquisition. Consultant shall also submit 3a, 3A and 3D draft notification for acquisition of land.

- 3.2 The Consultant shall study the possible locations and design of toll plaza, if applicable to the project. Wayside amenities required on tolled highway shall also be planned. The local and slow traffic may need segregation from the main traffic and provision of service roads and fencing may be considered, wherever necessary to improve efficiency and safety.
- 3.3 The general scope of services is given in the sections that follow. However, the entire scope of services would, inter-alia, include the items mentioned in the Letter of Invitation and the TOR. The Consultant will also make suitable proposals for widening/improvement of the existing road and strengthening of the carriageways, as required at the appropriate time to maintain the level of service over the design period. The Consultants shall prepare documents for EPC/PPP contracts for each DPR assignment
- 3.4 All ready to implement 'good for tender drawings and designs' shall be prepared.
- 3.5 Environmental Impact Assessment, Environmental Management Plan and Rehabilitation and Resettlement Studies shall be carried out by the Consultant meeting the requirements of the lending agencies like ADB/ World Bank/JICA, etc.
- Navy and arrange all clarifications. Approval of GAD will be got done by the consultant from the Indian Navy. Approval of all drawings including GAD and detail engineering drawings will be got done by the consultant from the Railways. However, if Railways require proof checking of the drawings prepared by the consultants, the same will be got done by NHIDCL and payment to the proof consultant shall be made by NHIDCL directly. Consultant will also obtain final approval from Ministry of Environment and Forest for all applicable clearances. Consultant will also obtain approval for estimates for shifting of utilities of all types from the concerned authorities and competent authority within NHIDCL and its implementation agencies, as applicable. Consultant is also required to prepare all Land Acquisition papers (i.e. all necessary schedule and draft 3a, 3A, and 3D, 3G notification as per L.A. act) for acquisition of land either under NH Act or State Act.
- 3.7 The DPR consultant may be required to prepare the Bid Documents, based on the feasibility report, due to exigency of the project for execution if desired by NHIDCL.
- 3.8 Consultant shall obtain all types of necessary clearances required for implementation of the project on the ground from the concerned agencies. The client shall provide the necessary supporting letters and any official fees as per the demand note issued by such

concerned agencies from whom the clearances are being sought to enable implementation.

- 3.9 The consultant shall prepare separate documents for BoT as well as EPC contracts at Feasibility stage / DPR stage. The studies for financing options like BoT, Annuity, EPC will be undertaken in feasibility study stage.
- 3.10 The consultant shall be guided in its assignment by the Model Concession/ Contract Agreements for PPP/ EPC projects, as applicable and the Manual of Specifications and Standards for two/ four/ six laning of highways published by IRC (IRC:SP:73 or IRC:SP:84 or IRC:SP:87, as applicable) (the "Manual") along with relevant IRC codes for design of long bridges.
- 3.11 The consultant shall prepare the bid documents including required schedules (as mentioned above) as per EPC/ PPP documents. For that it is suggested that consultant should also go through the EPC/PPP documents of ministry before bidding the project. The Consultant shall assist the NHIDCL and it's Financial Consultant and the Legal Adviser by furnishing clarifications as required for the financial appraisal and legal scrutiny of the Project Highway and Bid Documents.
- 3.12 Consultant shall be responsible for sharing the findings from the preparation stages during the bid process. During the bid process for a project, the consultant shall support the authority in responding to all technical queries, and shall ensure participation of senior team members of the consultant during all interaction with potential bidders including pre-bid conference, meetings, site visits etc. In addition, the consultant shall also support preparation of detailed responses to the written queries raised by the bidders.

#### 4. General

#### iii. Primary Tasks

General Scope of Services shall cover but be not limited to the following major tasks (additional requirements for Preparation of Detailed Project Report for and Major Bridges are given in **Supplement II**):

xiii. Review of all available reports and published information about the project road and the project influence area;

Environmental and social impact assessment, including such as related to cultural properties, natural habitants, involuntary resettlement etc.

ii (a). Public consultation, including consultation with Communities located along the road, NGOs working in the area, other stake-holders and relevant Government departments at all the different stages of assignment (such as inception stage, feasibility stage, preliminary design stage and once final designs are concretized).

- iii. Detailed reconnaissance;
- iv. identification of possible improvements in the existing alignment and bypassing congested locations with alternatives, evaluation of different alternatives comparison on technoeconomic and other considerations and recommendations regarding most appropriate option;
- v. traffic studies including traffic surveys and Axle load survey and demand forecasting for next thirty years;
- vi. Inventory and condition surveys for road;
- vii. Inventory and condition surveys for bridges, cross-drainage structures, other Structures, river Bank training/Protection works and drainage provisions;
- viii. Detailed topographic survey using mobile/aerial LiDAR or equivalent technology
- ix. Pavement investigations;
- x. Sub-grade characteristics and strength: investigation of required sub-grade and sub-soil characteristics and strength for road and embankment design and sub soil investigation;
- xi. Identification of sources of construction materials;
- xii. Detailed design of road, its x-sections, horizontal and vertical alignment and design of embankment of height more than 6m and also in poor soil conditions and where density consideration require, even lesser height embankment. Detailed design of structures preparation of GAD and construction drawings and cross-drainage structures and underpasses etc.
- *xiii. Identification of the type and the design of intersections;*
- xiv. Design of complete drainage system and disposal point for storm water
- xv. Value analysis / value engineering and project costing;
- xvi. Economic and financial analyses;
- xvii. Contract packaging and implementation schedule.
- xviii. Strip plan indicating the scheme for carriageway widening, location of all existing utility services (both over- and underground) and the scheme for their relocation, trees to be felled, transplanted and planted and land acquisition requirements including schedule for LA: reports documents and drawings arrangement of estimates for cutting/ transplanting of trees and shifting of utilities from the concerned department;
- xix. To find out financial viability of project for implementation and suggest the preferred

- mode on which the project is to be taken up.
- xx. Preparation of detailed project report, cost estimate, approval for construction Drawings, rate analysis, detailed bill of quantities, bid documents for execution of civil works through budgeting resources.
- xxi. Design of toll plaza and identification of their numbers and location and office cum residential complex including working drawings
- xxii. Design of weighing stations, parking areas and rest areas.
- *xxiii.* Any other user oriented facility en-route toll facility.
- xxiv. Tie-in of on-going/sanctioned works of MORT&H/NHAI/ other agencies.
- xxv. Preparation of social plans for the project affected people as per policy of the lending agencies/ Govt. of India R & R Policy.
- 4.2 While carrying out the field studies, investigations and design, the development plans being implemented or proposed for future implementation by the local bodies, should be taken into account. Such aspect should be clearly brought out in the reports and drawings.
- 4.3 The consultant shall study the possible locations and design of toll plaza, wayside amenities required and arboriculture along the highway shall also be planned.
- **4.4** The local and slow traffic may need segregation from the main traffic and provision of service roads and physical barrier including fencing may be considered, wherever necessary to improve efficiency and safety.

### 4.5 Standards and Codes of Practices

- 4.5.1 All activities related to field studies, design and documentation shall be done as per the latest guidelines/ circulars of MoRT&H and relevant publications of the Indian Roads Congress (IRC) and Bureau of Indian Standards (BIS). For aspects not covered by IRC and BIS, international standards practices, may be adopted. The Consultants, upon award of the Contract, may finalize this in consultation with NHIDCL and reflect the same in the inception report.
- 4.5.2 All notations, abbreviations and symbols used in the reports, documents and drawings shall be as per IRC:71.

### 4.6 **Quality Assurance Plan (QAP)**

4.6.1 The Consultants should have detailed Quality Assurance Plan (QAP) for all field studies including topographic surveys, traffic surveys, engineering surveys and investigations, design and documentation activities. The quality assurance plans/procedures for different field studies, engineering surveys and investigation, design and documentation activities should be presented as separate sections like engineering surveys and investigations, traffic surveys, material geo-technical and sub-soil investigations, road and pavement investigations, investigation and design of bridges & structures, environment and R&R assessment, economic & financial analysis, drawings and documentation, preparation, checking, approval and filing of calculations, identification and tractability of project documents etc. Further, additional information as per format shall be furnished regarding

the details of personal who shall be responsible for carrying out/preparing and checking/verifying various activities forming part of feasibility study and project preparation, since inception to the completion of work. The detailed Draft QAP Document must be discussed and finalized with the concerned NHIDCL officers immediately upon the award of the Contract and submitted as part of the inception report.

4.6.2 It is imperative that the QAP is approved by NHIDCL before the Consultants start the field work.

#### 4.7 **Review of Data and Documents**

- 4.7.1 The Consultants shall collect the available data and information relevant for the Study. The data and documents of major interest shall include, but not be limited to, the following:
  - i. Climate
  - ii. Road inventory
  - iii. Road condition, year of original construction, year and type of major maintenance/rehabilitation works;
  - iv. Condition of bridges and cross-drainage structures;
  - v. sub-surface and geo-technical data for existing bridges;
  - vi. Hydrological data, drawings and details of existing bridges;
  - vii. Existing geological maps, catchment area maps, contour plans etc. for the project area
  - viii. Condition of existing river bank / protection works, if any.
  - ix. Details of sanctioned / on-going works on the stretch sanctioned by MoRT&H/other agencies for Tie-in purposes
  - *x.* Survey and evaluation of locally available construction materials;
  - xi. Historical data on classified traffic volume (preferably for 5 years or more);
  - xii. Origin-destination and commodity movement characteristics; if available
  - xiii. Speed and delay characteristics; if available;
  - xiv. Commodity-wise traffic volume; if available;
  - xv. Accident statistics; and,
  - xvi. Vehicle loading behavior (axle load spectrum), if available.
  - xvii. Type and location of existing utility services (e.g. Fibre Optical Cable, O/H and U/G Electric, Telephone line, Water mains, Sewer, Trees etc.)
  - xviii. Environmental setting and social baseline of the project.

### ix. Social Analysis

The social analysis study shall be carried out in accordance with the MORT&H/World Bank/ADB Guidelines. The social analysis report will, among other things, provide a socio-economic profile of the project area and address in particular, indigenous people, communicable disease particularly HIV/AIDS poverty alleviation, gender, local population, industry, agriculture, employment, health, education, health, child labor, land acquisition and resettlement.

## 4.9 Traffic Surveys

All traffic surveys and studies will be completed in feasibility studies.

# 4.8. Number and Location of Survey Stations

The type of traffic surveys and the minimum number of survey stations shall normally be as under, unless otherwise specifically mentioned.

Sl.NR.	Description	Number of Survey Stations
1.	Classified Traffic Volume Count	3
2.	Origin-Destination and Commodity	Minimum 2
	Movement Characteristics	
3.	Axle Loading Characteristics	2
4.	Intersection Volume Count	All Major Intersections
5.	Speed-Delay Characteristics	Project Road Section
6.	Pedestrian/animal cross traffic count	All major inhabitations
		along the highway

- The number of survey locations indicated in the table above are indicative only. The Consultants shall, immediately upon award of the work, submit to NHIDCL proposals regarding the total number as well as the locations of the traffic survey stations as part of inception report. Suitable maps and charts should accompany the proposals clearly indicating the rationale for selecting the location of survey Station
- 3 The methodology of collection and analysis of data, number and location of traffic survey stations shall be finalized in consultation with NHIDCL.

## **4.9.2.** Classified Traffic Volume Count Survey

- 1. Consultant shall make use of traffic survey done by Indian Highways Management Company Limited (IHMCL) using ATCC systems. If required, especially in cases where a particular stretch is not covered by IHMCL, DPR consultant should carry out classified traffic volume count survey using ATCC systems or equivalent technologies.
- 2. Consultant shall use ATCC systems that can meet the following accuracy levels after validation/ correction:
  - (a) Classification of vehicles: better than 95%
  - (b) Counting of vehicles: better than 98%

Before validation and correction, the ATCC system shall meet the following accuracy levels:

- (a) Classification of vehicles: better than 90%
- (b) Counting of vehicles: better than 95%

For verification of above accuracy levels, audit of raw ATCC shall be done on a sampling basis.

- 3. ATCC systems such as Pneumatic Tube Detector, Inductive Detector Loop, Video Image Detection, and Infrared Sensor or equivalent technologies shall be adopted.
- 4. The classified traffic volume count surveys shall be carried out for 7 days (continuous, direction-wise) at the selected survey stations. The vehicle classification system as given in relevant IRC code may be followed. However, the following generalised classification system is suggested in view of the requirements of traffic demand estimates and economic analysis:

Motorised Traffic		Non-Motorised Traffic	
2-Wheeler			Bi-Cycle
3-Wheeler			Cycle-Rickshaw
Passenger Car			Animal Drawn Vehicle (ADV)
Utility Vehicle (Jeep, Van etc.)			Hand Cart
			Other Non-Motorised Vehicle
Bus	Mini Bus		
	Standard Bus		
LCV	LCV-Passenger		
	LCV-Freight		
Truck	MCV : 2-Axle Rigid Chassis		
	HCV : 3-Axle Rigid Chassis		
	MAV	Semi Articulated	
		Articulated	

- 5. All results shall be presented in tabular and graphical form. The survey data shall be analysed to bring out the hourly and daily variations. The traffic volume count per day shall be averaged to show a weekly average daily traffic (ADT) by vehicle type. The annual average daily traffic (AADT) shall be worked out by applying seasonal factors.
- 6. The consultant shall compile the relevant traffic volume data from secondary sources also. The salient features of traffic volume characteristics shall be brought out and variations if any, from the traffic census carried out by the State PWD shall be suitably explained.

### **4.9.3.** Deleted

- 4.9.3.1 Deleted
- 4.9.3.2 Deleted
- 4.9.3.3 Deleted
- 4.9.3.4 Deleted

#### 4.9.3.5 Deleted

#### 4.9.3.6 Deleted

#### 4.9.4 Deleted

## 4.9.5. Axle Load Surveys

- 1. Axle load surveys in both directions shall be carried out at suitable location(s) in the project road stretch on a random sample basis normally for trucks only (both empty and loaded trucks) for 2 normal days (24 hours) at special count stations to be finalized in consultation with NHIDCL. However, a few buses may be weighed in order to get an idea about their loading behavior. While selecting the location(s) of axle load survey station(s), the locations of existing bridges with load restrictions, if any, should be taken into account and such sites should be avoided.
- 2. Axle load surveys shall normally be done using axle load pads or other sophisticated instruments. The location(s) of count station(s) and the survey methodology including the data formats and the instrument type to be used shall be finalized before taking up the axle load surveys
- The axle load data should be collected axle configuration-wise. The number of equivalent standard axles per truck shall be calculated on the basis of results obtained. The results of the survey should bring out the VDF for each truck type (axle configuration, if the calculated VDF is found to be below the national average, then national average shall be used. Furthermore, the data from axle load surveys should be analyzed to bring out the Gross Vehicle Weight (GVW) and Single Axle Load (SAL) Distributions by truck type (axle configuration).
- 4. The Consultant shall ascertain from local enquiries about the exceptional live loads that have used the highway in the past in order to assess the suitability of existing bridges to carry such loads.

## **4.9.6.** Deleted

### 4.9.7 Pedestrian/animal cross traffic surveys:

These may be conducted to determine if provision of viaduct for pedestrians/animals is necessary to improve the traffic safety.

### 4.9.8 Truck Terminal Surveys

The data derived from the O-D, speed-delay, other surveys and also supplementary surveys should be analyzed to assess requirements for present and future development of truck terminals at suitable locations en route.

#### 4.10. Traffic Demand Estimates

- 1. The consultants shall make traffic demand estimates and establish possible traffic growth rates in respect of all categories of vehicles, taking into account the past trends, annual population and real per capita growth rate, elasticity of transport demand in relation to income and estimated annual production increase. The other aspects including socio-economic development plans and the land use patterns of the region having impact on the traffic growth, the projections of vehicle manufacturing industry in the country, development plans for the other modes of transport, O-D and commodity movement behavior should also be taken into account while working out the traffic demand estimates.
- 2. The values of elasticity of transport demand shall be based on the prevailing practices in the country. The Consultants shall give complete background including references for selecting the value of transport demand elasticity.
- 3. It is envisaged that the project road sections covered under this TOR would be completed and opened to traffic after 3 years. The traffic demand estimates shall be done for a further period of 30 years from completion of two/four lane. The demand estimates shall be done assuming three scenarios, namely, optimistic, pessimistic and most likely traffic growth. The growth factors shall be worked out for five-yearly intervals.
- 4. Traffic projections should be based on sound and proven forecasting techniques. In case traffic demand estimated is to be made on the basis of a model, the application of the model in the similar situation with the validation of the results should be established. The traffic projections should also bring out the possible impact of implementation of any competing facility in the near future. The demand estimates should also take into account the freight and passenger traffic along the major corridors that may interconnect with the project. Impact of toll charges on the traffic estimates should be estimated.
- 5. The methodology for traffic demand estimates described in the preceding paragraphs is for normal traffic only. In addition to the estimates for normal traffic, the Consultants shall also work out the estimates for generated, induced and diverted traffic.
- 6. The traffic forecasts shall also be made for both diverted and generated traffic.
- 7. Overall traffic forecast thus made shall form the basis for the design of each pavement type and other facilities/ancillary works.

### 4.11. Engineering Surveys and Investigations

### 4.11.1. Reconnaissance and Alignment

1. The Consultants should make an in-depth study of the available land width(ROW) topographic maps, satellite imageries and aerial photographs of the project area, geological maps, catchment area maps, contour plans, flood flow data and

seismological data and other available relevant information collected by them concerning the existing alignment. Consultant himself has to arrange the required maps and the information needed by him from the potential sources. **Consultant should make efforts for minimizing land acquisition.** 

- 2. The detailed ground reconnaissance may be taken up immediately after the study of maps and other data. The primary tasks to be accomplished during the reconnaissance surveys include;
- *i.* topographical features of the area;
- ii. typical physical features along the existing alignment within and outside ROW i.e. land use Pattern;
- iii. possible alignment alternatives, vis-a-vis, scheme for the construction of additional lanes parallel to the existing road;
- iv. realignment requirements including the provision of bypasses, ROBs / Flyovers and via-duct for pedestrian crossings with possible alignment alternatives;
- v. preliminary identification of improvement requirements including treatments and measures needed for the cross-roads;
- vi. traffic pattern and preliminary identification of traffic homogenous links;
- vii. sections through congested areas;
- viii. inventory of major aspects including land width, terrain, pavement type, carriageway type, bridges and structures (type, size and location), intersections(type, cross-road category, location) urban areas (location, extent), geologically sensitive areas, environmental features:
- ix. critical areas requiring detailed investigations; and,
- x. Requirements for carrying out supplementary investigations.
- xi. soil (textural classifications) and drainage conditions
- xii. Type and extent of existing utility services along the alignment (with in ROW).
- xiii. Typical physical features along the approach roads
- xiv. Possible bridge locations, land acquisition problems, nature of crossings, likely length of approaches and bridge, firmness of banks, suitability of alignment of approach roads.
- 3. The data derived from the reconnaissance surveys are normally utilized for planning and programming the detailed surveys and investigations. All field studies including the traffic surveys should be taken up on the basis of information derived from the reconnaissance surveys.
- 4. The data and information obtained from the reconnaissance surveys should be documented. The data analysis and the recommendations concerning alignment and the field studies should be included in the Inception Report. The data obtained from the reconnaissance surveys should form the core of the database which would be supplemented and augmented using the data obtained from detailed field studies and investigations.
- 5. The data obtained from the reconnaissance surveys should be compiled in the tabular as well as graphical (chart) form indicating the major physical features and

the proposed widening scheme for NHIDCL comments. The data and the charts should also accompany the rationale for the selection of traffic survey stations.

#### 4.11.2. Topographic Surveys

- 1. The basic objective of the topographic survey would be to capture the essential ground features along the alignment in order to consider improvements and for working out improvements, rehabilitation and upgrading costs. The detailed topographic surveys should normally be taken up after the completion of reconnaissance surveys.
- 2. The carrying out of topographic surveys will be one of the most important and crucial field tasks under the project. Technologies which can meet the following accuracy levels shall be adopted. For land based surveys (a) Fundamental horizontal accuracy of 2 cm or better (b) Fundamental vertical accuracy of 2 cm or better (c) More than 50 points shall be measured per sq. m and for aerial based surveys (a) Fundamental horizontal accuracy of 5 cm or better (b) Fundamental vertical accuracy of 5 cm or better (c) More than 10 points shall be measured per sq. m. To establish accuracy, a check point survey using DGPS (for horizontal accuracy) and Auto Level (for vertical accuracy) shall be carried out to establish the fundamental horizontal and vertical accuracy. A minimum of 25 check points, or check points once every 4 km should be established, and these should be strictly different from any geo-referencing or control network points.
- 3. The following are the set of deliverables which should be submitted after completion of survey: (a) Raw DGPS data for the entire highway length and adjoining areas of interest (b) Point cloud data/ Data of points captured for the entire highway length and adjoining areas of interest (c) Topographic map of scale 1:1000 of the entire highway length and adjoining areas of interest (d) Contour map of 50 cm of entire highway length and adjoining areas of interest (e) Cross section of the highway at every 1 m in \*.dwg format.
- 4. For land based surveys, Mobile LiDAR (Light Detection and Ranging) or equivalent technology that can meet above requirements shall be adopted. For aerial based surveys, Aerial Mobile LiDAR (Light Detection and Ranging) or equivalent technology that can meet above requirements shall be adopted. In shadow areas such as invert levels below culverts, where LiDAR or equivalent technologies cannot survey accurately, traditional methods of Total Station/ Auto Level shall be used to complete the study.
- 5. In case of mobile LiDAR or equivalent technology, 360 degree panoramic images of the entire highway length and adjoining areas of interest shall be submitted. In case of aerial LiDAR or equivalent technology, ortho-images of the entire highway length and adjoining areas of interest shall be submitted.
- 6. The detailed field surveys would essentially include the following activities:
  - i. Topographic Surveys along the Existing Right of Way (ROW): Carrying out topographic survey using LiDAR or equivalent technology along the existing road and realignments, wherever required and properly referencing the same with reference pillars fixed on either side of the centre-line at safe places within the ROW
  - ii. The detailed field surveys would essentially include the topographic surveys along the proposed location of bridge and alignment of approach road.
  - iii. The detailed topographic surveys should be carried out along the approach roads

- alignment and location of bridge approved by NHIDCL
- iv. Collection/ Extraction of details for all features such as structures (bridges, culverts etc.) utilities, existing roads, electric and telephone installations (both O/H as well as underground), huts, buildings, fencing and trees (with girth greater than 0.3metre) oil and gas lines etc. falling within the extent of survey.

## 7. The width of survey corridor will generally be as given under:

- i. The width of the survey corridor should take into account the layout of the existing alignment including the extent of embankment and cut slopes and the general ground profile. While carrying out the field surveys, the widening scheme (i.e. right, left or symmetrical to the centre line of the existing carriageway) should be taken into consideration so that the topographic surveys cover sufficient width beyond the centre line of the proposed divided carriageway. Normally the surveys should extend a minimum of 30 m beyond either side of the centre line of the proposed divided carriageway or land boundary whichever is more
- ii. In case the reconnaissance survey reveals the need for bypassing the congested locations, the traverse lines would be run along the possible alignments in order to identify and select the most suitable alignment for the bypass. The detailed topographic surveys should be carried out along the bypass alignment approved by NHIDCL. At locations where grade separated intersections could be the obvious choice, the survey area will be suitably increased. Field notes of the survey should be maintained which would also provide information about traffic, soil, drainage etc.

The width of the surveyed corridor will be widened appropriately where developments and / or encroachments have resulted in a requirement for adjustment in the alignment, or where it is felt that the existing alignment can be improved upon through minor adjustments.

- iv Where existing roads cross the alignments, the survey will extend a minimum of 100 m either side of the road centre line and will be of sufficient width to allow improvements, including at grade intersection to be designed.
- 8. The surveyed alignment shall be transferred on to the ground as under:
  - i. Reference Pillar and Bench Mark / Reference pillar of size 15 cm X 15 cm X 45cm shall be cast in RCC of grade M 15 with a nail fixed in the centre of the top surface. The reference pillar shall be embedded in concrete upto a depth of 30cm with CC M10 (5 cm wide all around). The balance 15 cm above ground shall be painted yellow. The spacing shall be 250m apart, incase Bench Mark Pillar coincides with Reference Pillar, only one of the two need be provided.
  - ii. Establishing Bench marks at site connected to GTS Bench marks at a interval of 250 metres on Bench mark pillar made of RCC as mentioned above with RL and BM No. marked on it with red paint.

iii. **Boundary Pillars**- Wherever there is a proposal of realignment of the existing Highway and/or construction of New Bypasses, Consultant shall fix boundary pillars along the proposed alignment on the extreme boundary on either side of the project Highway at 50 m interval.

### 4.11.2.1 Longitudinal and Cross-Sections

The topographic surveys for longitudinal and cross-sections shall cover the following:

- i. Longitudinal section levels along final centre line at every 1 m interval, at the locations of curve points, small streams, and intersections and at the locations of change in elevation.
- ii. Cross sections at every 1 m interval in full extent of survey covering sufficient number of spot levels on existing carriageway and adjacent ground for profile correction course and earth work calculations. Cross sections shall be taken at closer interval at curves.
- iii. Longitudinal section for cross roads for length adequate for design and quantity estimation purposes.
- iv. Longitudinal and cross sections for major and minor streams shall cover Cross section of the channel at the site of proposed crossing and few cross sections at suitable distance both upstream and downstream, bed level upto top of banks and ground levels to a sufficient distance beyond the edges of channel, nature of existing surface soil in bed, banks & approaches, longitudinal section of channel showing site of bridge etc. These shall be as per recommendations contained in IRC Special Publication No. 13 (Guidelines for the Design of Small Bridges and Culverts) and provisions of IRC:5 ("Standard Specifications & Code of Practice for Road Bridges, Section 1 General Features of Design").

At feasibility study stage cross sections at 50m interval may be taken.

## 4.11.2.2 Details of utility Services and Other Physical Features

- 1. The Consultants shall collect details of all important physical features along the alignment. These features affect the project proposals and should normally include buildings and structures, monuments, burial grounds, cremation grounds, places of worship, railway lines, stream / river / canal, water mains, severs, gas/oil pipes, crossings, trees, plantations, utility services such as electric, and telephone lines (O/H & U/G) and poles, optical fibre cables (OFC) etc. The survey would cover the entire right-of-way of the road on the adequate allowance for possible shifting of the central lines at some of the intersections locations.
- 2. Consultant shall also map out sub-surface utilities. The following criteria shall be met by the process of sub-surface utility mapping: (a) Coverage and mapping of all sub-surface utilities within project RoW, especially those under additional carriageway width (b) Accurate mapping and resolution of all sub-surface utilities

up to a depth of 4 m (c) Differentiation between sub-surface utilities such as live electric cables, metallic utilities and other utilities (d) Sub-surface utilities radargrams further processed into utility maps in formats such as PDF, JPEG and AutoCAD. To meet the accuracy levels, consultant shall use Ground Penetrating Radar, Induction Locator or equivalent technologies.

3. The information collected during reconnaissance and field surveys shall be shown on a strip plan so that the proposed improvements can be appreciated and the extent of land acquisition with L.A schedule, utility removals of each type etc. assessed and suitable actions can be initiated. Separate strip plan for each of the services involved shall be prepared for submission to the concerned agency.

### 4.11.3. Road and Pavement Investigations

The Consultants shall carry out detailed field studies in respect of road and pavement. The data collected through road inventory and pavement investigations should be sufficient to meet the input requirements of HDM-IV.

## 4.11.3.1 Road Inventory Surveys

- 1. Detailed road inventory surveys shall be carried out to collect details of all existing road and pavement features along the existing road sections. The inventory data shall include but not limited to the following:
  - 1 Terrain (flat, rolling, mountainous);
  - 2 Land-use (agricultural, commercial, forest, residential etc ) @ every kilometer;
  - 3 Carriageway width, surfacing type @ every 500m and every change of feature whichever is earlier;
  - 4 Shoulder surfacing type and width @ every 500m and every change of feature whichever is earlier;
  - 5 Sub-grade / local soil type (textural classification) @ every 500m and every change of feature whichever is earlier;
  - 6 Horizontal curve; vertical curve
  - 7 Road intersection type and details, at every occurrence;
  - 8 Retaining structures and details, at every occurrence;
  - 9 Location of water bodies (lakes and reservoirs), at every occurrence;
  - 10 Height of embankment or depth of cut @ every 200m and every change of feature whichever is earlier.
  - xi. Land width i.e. ROW
  - xii. Culverts, bridges and other structures (type, size, span arrangement and location)
  - xiii. Roadside arboriculture

- xiv. Existing utility services on either side within ROW.
- xv. General drainage conditions
- xvi. Design speed of existing road
- 2. The data should be collected in sufficient detail. The data should be compiled and presented in tabular as well as graphical form. The inventory data would be stored in computer files using simple utility packages, such as EXCEL.

## 4.11.3.2 Pavement Investigation

## 1. Pavement Composition

- i. The data concerning the pavement composition may be already available with the PWD. However, the consultants shall make trial pits to ascertain the pavement composition. The test pit interval will be as per Para 4 below.
- *ii.* For each test pit, the following information shall be recorded:
  - *test pit reference (Identification number, location):*
  - pavement composition (material type and thickness); and
  - subgrade type (textural classification) and condition (dry, wet)

## 2. Road and Pavement Condition Surveys

- i. Detailed field studies shall be carried out to collect road and pavement surface conditions. The data should generally cover:
  - pavement condition (surface distress type and extent);
  - *shoulder condition:*
  - *embankment condition; and drainage condition*

### **Pavement Condition**

- cracking (narrow and wide cracking), % of pavement area affected;
- raveling, % of pavement area affected;
- potholing, % of pavement area affected;
- *edge break, length (m); and,*
- rut depth, mm

### **Shoulder Condition**

- Paved: Same as for pavement
- *Unpaved: material loss, rut depth and corrugation,*
- Edge drop, mm.

#### **Embankment Condition**

- General condition; and
- extent of slope erosion
- 3. The objective of the road and pavement condition surveys shall be to identify defects and sections with similar characteristics. All defects shall be systematically referenced, recorded and quantified for the purpose of

determining the mode of rehabilitation.

- 4. The pavement condition surveys shall be carried out using visual means. Supplemented by actual measurements and in accordance with the widely accepted methodology (AASHTO, IRC, OECD, TRL and World Bank Publications) adapted to meet the study requirements. The measurement of rut depth would be made using standard straight edges.
- 5. The shoulder and embankment conditions shall be evaluated by visual means and the existence of distress modes (cuts, erosion marks, failure, drops) and extent (none, moderate, frequent and very frequent) of such distress manifestations would be recorded.
- 6. For sections with severe distresses, additional investigations as appropriate shall be carried out to determine the cause of such distresses.
- 7. Middle 200m could be considered as representative sample for each one km. of road and incase all other things are considered similar.

### **Drainage Condition**

- General condition
- Connectivity of drainage turnouts into the natural topography
- Condition in cut sections
- Condition at high embankments

The data obtained from the condition surveys should be analysed and the road segments of more or less equal performance may be identified using the criteria given in IRC: 81-1997.

## 3. **Pavement Roughness**

- i. The roughness surveys shall be carried out using Bump Integrator or similar instrument. The methodology for the surveys shall be as per the widely used standard practices. The calibration of the instrument shall be done as per the procedure given in the World Bank's Technical Publications and duly got authenticated by established laboratory/institution acceptable to the client
- ii. The surveys shall be carried out along the outer wheel paths. The surveys shall cover a minimum of two runs along the wheel paths for each directions.
- iii. The results of the survey shall be expressed in terms of BI and IRI and shall be presented in tabular and graphical forms. The processed data shall be analysed using the cumulative difference approach to identify road segments homogenous with respect to surface roughness.

## 4. Pavement Structural Strength

- i. The Consultants shall carry out structural strength surveys for existing pavements using Benkelman Beam Deflection technique in accordance with the CGRA procedure given in IRC:81-1997 ("Guidelines for Strengthening of Flexible Road Pavements Using Benkelman Beam Deflection Technique").
- ii. It is suggested that the deflection surveys may be carried out as per the scheme given below:
  - *mainline testing; and,*
  - control section testing.
- the road sections covered under the study. The control section testing shall involve carrying out deflection testing for each 100 m long homogenous road segment along the road sections. The selection of homogenous segment shall be based on the data derived from pavement condition surveys. The total length of such homogenous segments shall not be less than 100 m per kilometre. The deflection measurements for the control section testing should be at an interval of not more than 10 m.
- iv. Test pits shall be dug at every 500 m and also along each homogeneous road segment to obtain pavement composition details (pavement course, material type and thickness) so as to be able to study if a correlation exists between deflection and composition. If so, the relationship may be used while working out the overlay thickness for the existing pavement.
- v. Benkelman Beam Deflection surveys may not be carried out for severely distressed sections of the road warranting reconstruction. The Consultants, immediately upon the award of the contract, shall submit to NHIDCL the scheme describing the testing schedule including the interval. The testing scheme shall be supported by data from detailed reconnaissance surveys.
- vi. In case, the Consultants wish to use any acceptable method(s) other than Benkelman Beam deflection technique for the evaluation of pavement strength, viz. Falling weight deflectometer method etc. details of such methods or innovative features for deflection testing using Benkelman Beam technique along with the methodology for data analysis, interpretation and the use of such data for pavement overlay design purposes using IRC or any other widely used practices, such as AASHTO guidelines, should be got approved by NHIDCL. The sources of such methods should be properly referenced.

## 4.11.4 Subgrade Characteristics and Strength

Based on the data derived from condition (surface condition, roughness) and

structural strength surveys, the project road section should be divided into segments homogenous with respect to pavement condition and strength. The delineation of segments homogenous with respect to roughness and strength should be done using the cumulative difference approach (AASHTO, 1993).

The data on soil classification and mechanical characteristics for soils along the existing alignments may already be available with the PWD. The testing scheme is, therefore, proposed as given under:

For the widening (2/- Laning) of existing road within the ROW, the Consultants shall test at least three sub-grade soil samples for each homogenous road segment or three samples for each soil type encountered, whichever is more.

For the roads along new alignments, the test pits for sub grade soil shall be @5km or for each soil type, whichever is more. A minimum of three samples should be tested corresponding to each homogenous segment.

The testing for subgrade soil shall include:

in-situ density and moisture content at each test pit

Field CBR using DCP at each test pit

Characterization (grain size and Atterberg limits) at each test pit and,

Laboratory moisture-density characteristics (modified AASHTO compaction);

Laboratory CBR (unsoaked and 4-day soak compacted at three energy levels) and swell.

For problematic soils, the testing shall be more rigorous. The characteristics with regard to permeability and consolidation shall also be determined for these soils. The frequency of sampling and testing of these soils shall be finalized in consultation with the NHIDCL's officers after the problematic soil types are identified along the road sections.

The laboratory for testing of material should be got approved from NHIDCL before start of work.

### 4.11.4 Investigations for Bridges and Structure

# 4.11.4.1 Inventory of Bridges, Culverts and Structures

The Consultants shall make an inventory of all the structures (bridges, viaducts, ROBs,/RUB and other grade separated structures, culverts, etc.) along the road under the project. The inventory for the bridges, viaducts and ROBs shall include the parameters required as per the guidelines of IRC-SP:35. The inventory of culverts shall be presented in a tabular form covering relevant physical and hydraulic parameters.

### 4.11.4.2 Hydraulic and Hydrological Investigations

1. The hydrological and hydraulic studies shall be carried out in accordance with

IRC Special Publication No. 13 ("Guidelines for the Design of Small Bridges and Culverts") and IRC:5 ("Standard Specifications & Code of Practice for Road Bridges, Section I General Feature of Design"). These investigations shall be carried out for all existing drainage structures along the road sections under the study.

- 2. The consultant shall also collect information on observed maximum depth of scour.
- 3. In respect of major bridges, history of hydraulic functioning of existing bridge, if any, under flood situation, general direction of river course through structure, afflux, extent and magnitude of flood, effect of backwater, if any, aggradation/degradation of bed, evidence of scour etc. shall be used to augment the available hydrological data. The presence of flood control/irrigation structures, if affecting the hydraulic characteristics like causing obliquity, concentration of flow, scour, silting of bed, change in flow levels, bed levels etc. shall be studied and considered in design of bridges. The details of any future planned work that may affect the river hydraulics shall be studied and considered.
- 4. The Consultants shall make a desk study of available data on topography(topographic maps, stereoscopic aerial photography), storm duration, rainfall statistics, top soil characteristics, vegetation cover etc. so as to assess the catchment areas and hydraulic parameters for all existing and proposed drainage provisions. The findings of the desk study would be further supplemented and augmented by a reconnaissance along the area. All-important hydrological features shall be noted during this field reconnaissance.
- 5. The Consultants shall collect information on high flood level (HFL),low water levels (LWL), high tide level (HTL),low tide level (LTL) where applicable, discharge velocity etc. from available past records, local inquiries and visible signs, if any, on the structural components and embankments. Local inquiries shall also be made with regard to the road sections getting overtopped during heavy rains.
- 6. Conducting Model studies for bridges is not covered in the scope of consultancy services. If Model study is envisaged for any bridge, requirement of the same shall be spelt out in the RFP documents separately indicating scope and time frame of such study. Salient features of the scope of services to be included for model study are given in the supplement- II Terms of Reference.

# 4.11.4.3 Condition Surveys for Bridges, Culverts and Structures

1. The Consultants shall thoroughly inspect the existing structures and shall prepare a report about their condition including all the parameters given in the Inspection pro-forma of IRC-SP; 35. The condition and structural assessment survey of the bridges / culverts / structures shall be carried out by senior experts of the Consultants.

- 2. For the bridges identified to be in a distressed condition based upon the visual condition survey, supplementary testing shall be carried out as per IRC-SP:35 and IRC-SP:40. Selection of tests may be made based on the specific requirement of the structure.
- 3. The assessment of the load carrying capacity or rating of existing bridges shall be carried out under one or more of the following scenarios:
  - i. when the design live load is less than that of the statutory commercial vehicle plying or likely to ply on bridge;
  - ii. if during the condition assessment survey and supplementary testing the bridge is found to indicate distress of serious nature leading to doubt about structural and / or functional adequacy, and
  - iii. Design live load is not known nor are the records and drawings available
- 4. The evaluation of the load carrying capacity of the bridge shall be carried out as per IRC-SP:37 ("Guidelines for Evaluation of Load Carrying Capacity of Bridges"). The analytical and correlation method shall be used for the evaluation of the load carrying capacity as far as possible. When it is not possible to determine the load carrying capacity of the bridge using analytical and correlation method, the same shall be carried out using load testing. The consultant has to exhaust all other methods of evaluation of strength of bridges before recommending taking up load testing of bridges. Road closure for testing if unavoidable shall be arranged by NHIDCL for limited duration say 12 hours or so.
- 4. Consultant shall carryout necessary surveys and investigations to establish the remaining service life of each retainable bridge or structure with and without the proposed strengthening and rehabilitation according to acceptable international practice in this regard.

# 4.11.4.4 Geo-technical Investigations and Sub-Soil Exploration

- The Consultants shall carry out geo-technical investigations and sub-surface explorations for the proposed Bridges / Road over bridges/ tunnels/ viaducts/ interchanges etc., along high embankments and any other location as necessary for proper design of the works and conduct all relevant laboratory and field tests on soil and rock samples.
- 2. The deviation(s), if any, by the Consultants from the scheme presented above should be approved by NHIDCL.
- 3. However, where a study of geo-technical reports and information available from adjacent crossings over the same waterway (existing highway and railway bridges) indicates that subsurface variability is such that boring at the suggested spacing will be insufficient to adequately define the conditions for design purposes, the Consultants shall review and finalise the bore hole locations in consultation with NHIDCL's officers.

- 4. Geotechnical Investigations and Sub soil Explorations hall be carried out to determine the nature and properties of existing strata in bed, banks and approaches with trial pits and bore hole sections showing the levels, nature and properties of various strata to a sufficient depth below the level suitable for foundations, safe intensity of pressure on the foundation strata, proneness of site to artesian conditions, seismic disturbance and other engineering properties of soil etc. Geotechnical investigation and Sub-soil Exploration will be done as per IRC 78.
- 5. The scheme for the borings locations and the depth of boring shall be prepared by the Consultants and submitted to NHIDCL for approval. These may be finalized in consultation with NHIDCL.
- 6. The sub-soil exploration and testing should be carried out through the Geotechnical Consultants empanelled by MORT&H. The soil testing reports shall be in the format prescribed in relevant IRC Codes.
- 7. For the approach road pavement, bore holes at each major change in pavement condition or in deflection readings or at 2 km intervals whichever is less shall be carried out to a depth of at least 2 m below embankment base or to rock level and are to be fully logged. Appropriate tests to be carried out on samples collected from these bore holes to determine the suitability of various materials for use in widening of embankments or in parts of new pavement structure.

## 4.11.5. Material Investigations

1. The Consultants shall identify sources (including use of fly-ash/ slag), quarry sites and borrow areas, undertake field and laboratory testing of the materials to determine their suitability for various components of the work and establish quality and quantity of various construction materials and recommend their use on the basis of technoeconomic principles. The Consultants shall prepare mass haul diagram for haulage purposes giving quarry charts indicating the location of selected borrow areas, quarries and the respective estimated quantities.

"Environment friendly materials"

"As per MORTH circular No. RW /NH-33044/53/2013-S&R(R) dated 20<sup>th</sup> November, 2013, alternative pavement materials and technologies for road construction shall be assessed and compared in the design stage. The alternative resulting in substantial reduction in GHG emission and with least life cycle cost shall be recommended for implementation.

Technical and economic feasibility of using industrial byproducts, recyclable and waste materials shall be assessed depending on their availability in the concerned region.

- 2. It is to be ensured that no material shall be used from the right-of-way except by way of leveling the ground as required from the construction point of view, or for landscaping and planting of trees etc. or from the cutting of existing ground for obtaining the required formation levels.
- 3. Environmental restrictions, if any, and feasibility of availability of these sites to prospective civil works contractors, should be duly taken into account while selecting

- new quarry locations.
- 4. The Consultants shall make suitable recommendations regarding making the borrow and quarry areas after the exploitation of materials for construction of works.
- 5. The Material Investigation aspect shall include preparation and testing of bituminous mixes for various layers and concrete mixes of different design mix grades using suitable materials (binders, aggregates, sand filler etc.) as identified during Material Investigation to conform to latest MoRT&H specification.

## 4.12 Detailed Design of Road and Pavements, Bridges, Structures

#### 4.12.1. General

- 1. The Consultants are to carryout detailed designs and prepare working drawings for the following:
  - i. High speed highway with divided carriageway configuration complete in all respects with service roads at appropriate locations;
  - ii. Design of pavement for the additional lanes and overlay for the existing road, paved shoulders, medians, verges;
  - iii. Bridges, viaduct/subways and other grade separated structures including ROBs/RUBs etc.
  - iv. At-grade and grade-separated intersections, interchanges (if required);
  - v. ROB for railway crossings as per the requirement and the standards of the Indian Railways; and,
  - vi. Prepare alignment plans, longitudinal sections and cross-sections @ 50mintervals:
  - vii. Designs for road furniture and road safety/traffic control features;
  - viii. Designs and drawings for service road/under passes/overpass / cattle passes tree planting/fencing at locations where necessary / required
  - ix. Toll plazas and office-cum-residential complex for PIU (one for each civil contract package)
  - x. Short bypasses at congested locations
  - xi. Drainage design showing location of turnouts, out falling structures, separate drawings sheet for each 5 km. stretch.
  - xii. Bridges and structures rehabilitation plan with design and drawings
  - xiii. Traffic amenities (Parking Areas, Weighing Station and Rest Areas, etc.).
  - xiv. Design of pavement for approach road
  - xv. Design of river bank protection / training works. Innovative type of structures with minimum joints, aesthetically, pleasing and appropriate to the topography of the region shall be designed wherever feasible.

### xi. Design Standards

The Consultants shall evolve Design Standards and material specifications for the Study primarily based on IRC publications, MoRT&H Circulars and relevant recommendations of the international standards for approval by NHIDCL.

The Design Standards evolved for the project shall cover all aspects of detailed design including the design of geometric elements, pavement design, bridges and

structures, traffic safety and materials.

## xii. Geometric Design

The design of geometric elements shall, therefore, take into account the essential requirements of such facilities.

Based on the data collected from reconnaissance and topographic surveys, the sections with geometric deficiencies, if any, should be identified and suitable measures for improvement should be suggested for implementation.

The data on accident statistics should be compiled and reported showing accident type and frequency so that black spots are identified along the project road section. The possible causes (such as poor geometric features, pavement condition etc.) of accidents should be investigated into and suitable cost-effective remedial measures suggested for implementation.

The detailed design for geometric elements shall cover, but not be limited to the following major aspects:

horizontal alignment; longitudinal profile; cross-sectional elements, including refuge lane (50m) at every 2kms. junctions, intersections and interchanges; bypasses; and, service roads as and when require i.e built up area..

- 5. The alignment design shall be verified for available sight distances as per the standard norms. The provision of appropriate markings and signs shall be made wherever the existing site conditions do not permit the adherence to the sight distance requirements as per the standard norms.
- 6. The consultants shall make detailed analysis of traffic flow and level of service for the existing road and workout the traffic flow capacity for the improved project road. The analysis should clearly establish the widening requirements with respect to the different horizon periods taking into account special problems such as road segments with isolated steep gradients.
- 7. In the case of closely spaced cross roads the Consultant shall examine different options such as, providing grade separated structure for some of them with a view to reduce number of at-grade crossings, services roads connecting the cross-roads and closing access from some of the intersections and prepare and furnish appropriate proposals for this purpose keeping in view the cost of improvement, impact on traffic movement and accessibility to cross roads. The detailed drawings and cost estimate should include the provisions for realignments of the existing cross roads to allow such arrangements.
- 8. The Consultant shall also prepare design of grade separated pedestrian crossings (viaducts) for large cross traffic of pedestrians and / or animals.

- 9. The Consultant shall also prepare details for at-grade junctions, which may be adopted as alternative to the grade separated structures. The geometric design of interchanges shall take into account the site conditions, turning movement characteristics, level of service, overall economy and operational safety.
- 10. The Consultants shall prepare design and other details in respect of the parallel service roads in urbanized locations and other locations to cater to the local traffic, their effect of the viability of the project on commercial basis if service roads are constructed as part of the project and the implications of not providing the service roads.
- 11. The consultant shall prepare complete road and pavement design including drainage for new bypass option identified around congested town en-route.

# 4.12.4. Pavement Design

1. The detailed design of pavement shall involve:

strengthening of existing road pavement and design of the new pavement if any, if the findings of the traffic studies and life-cycle costing analysis confirm the requirement for widening of the road beyond 2lane undivided carriageway standard;

- ii. pavement design for bypasses; and,
- iii. design of shoulders.
- 2. The design of pavement shall primarily be based on IRC publications.
- 3. The design of pavement shall be rigorous and shall make use of the latest Indian and International practices. The design alternatives shall include both rigid and flexible design options. The most appropriate design, option shall be established on life-cycle costing and techno-economic consideration.
- 4. For the design of pavement, each set of design input shall be decided on the basis of rigorous testing and evaluation of its suitability and relevance in respect of inservice performance of the pavement. The design methodology shall accompany the design proposals and shall clearly bring out the basic assumptions, values of the various design inputs, rationale behind the selection of the design inputs and the criteria for checking and control during the implementation of works. In other words, the design of pavement structure should take due account of the type, characteristics of materials used in the respective courses, variability of their properties and also the reliability of traffic predictions. Furthermore, the methodology adopted for the design of pavement shall be complete with flowcharts indicating the various steps in the design process, their interaction with one another and the input parameter required at each step.
- 5. For the design of overlays for the existing 2-lane pavement, the strengthening requirement shall duly take into account the strength of the existing pavement visà-vis the remaining life. The overlay thickness requirements shall be worked out for each road segment homogenous with respect to condition, strength and subgrade characteristics. The rehabilitation provisions should also include the

- provision of regulating layer. For existing pavement with acceptable levels of cracking, provision of a crack inhibiting layer should also be included.
- 6. Latest techniques of pavement strengthening like provision of geo-synthetics and cold/hot pavement recycling should be duly considered by the consultant for achieving economy.
- 7. The paved shoulders shall be designed as integral part of the pavement for the main carriageway. The design requirements for the carriageway pavement shall, therefore, be applicable for the design of shoulder pavements. The design of granular shoulder should take into account the drainage considerations besides the structural requirements.
- 8. The pavement design task shall also cover working out the maintenance and strengthening requirements and periodicity and timing of such treatments.

# 4.12.5. Design of Embankments

- 1. The embankments design should provide for maximum utilization of locally available materials consistent with economy. Use of fly ash wherever available within economical leads must be considered. In accordance with Government instructions, use of fly ash within 100 km from Thermal Power Stations is mandatory.
- 2. The Consultants shall carry out detailed analysis and design for all embankments of height greater that 6 m based on relevant IRC publications.
- 3. The design of embankments should include the requirements for protection works and traffic safety features.

### 4.12.6. Design of Bridges and Structures

- 1. The data collected and investigation results shall be analysed to determine the following:
  - i. HFL
  - ii. LWL
  - iii. LBL
  - iv. Erodibility of bed/scour level
  - v. Design discharge
  - vi. Linear waterway and effective linear waterway
  - vii. Likely foundation depth
  - viii. Safe bearing capacity
  - ix. Engineering properties of sub soil
  - x. Artesian conditions
  - xi. Settlement characteristics

- xii. Vertical clearance
- xiii. Horizontal clearance
- xiv. Free board for approach road
- xv. Severity of environment with reference to corrosion
- xvi. Data pertaining to seismic and wind load
- xvii. Requirement of model study etc.
- The Consultant shall prepare General Arrangement Drawing (GAD) and Alignment Plan showing the salient features of the bridges and structures proposed to be constructed / reconstructed along the road sections covered under the Study. These salient features such as alignment, overall length, span arrangement, cross section, deck level, founding level, type of bridge components (superstructure, substructure, foundations, bearings, expansion joint, return walls etc.) shall be finalized based upon hydraulic and geo-technical studies, cost effectiveness and ease of construction. The GAD shall be supplemented by Preliminary designs. In respect of span arrangement and type of bridge a few alternatives with cost-benefit implications should be submitted to enable NHIDCL to approve the best alternative. After approval of alignment and GAD the Consultant shall prepare detailed design as per IRC codes /guidelines and working drawings for all components of bridges and structures.
- 3. The location of all at-grade level crossings shall be identified falling across the existing level crossings for providing ROB at these locations. The Consultants shall prepare preliminary GAD for necessary construction separately to the Client. The Consultant shall pursue the Indian Railways Authorities or/and any statutory authority of State/Central Government for approval of the GAD from concerned Authorities.
- 4. GAD for bridges/structures across irrigation/water way channels shall be got approved from the concerned Irrigation/Water way Authorities. Subsequent to approval of GAD and alignment plan by NHIDCL the Consultants shall prepare detailed design as per IRC codes/guidelines for all components of the bridges and structures.
- 4.12.11. Subsequent to the approval of the GAD and Alignment Plan by NHIDCL and Railways, the Consultant shall prepare detailed design as per IRC and Railways guidelines and working drawings for all components of the bridges and structures. The Consultant shall furnish the design and working drawings for suitable protection works and/or river training works wherever required.
- 4.12.12. Dismantling/ reconstruction of existing structures shall be avoided as far as possible except where considered essential in view of their poor structural conditions/ inadequacy of the provisions etc.
- 4.12.13. The existing structures having inadequate carriageway width shall be widened/reconstructed in pat or fully as per the latest MoRT&H guidelines. The Consultant shall furnish the detailed design and working drawings for carrying out

the above improvements.

- 4.12.14. Suitable repair / rehabilitation measures shall be suggested in respect of the existing structures as per IRC-SP:40 along with their specifications, drawings and cost estimate in the form of a report. The rehabilitation or reconstruction of the structures shall be suggested based on broad guidelines for rehabilitation and strengthening of existing bridges contained in IRC-SP:35 and IRC-SP:40.
- 4.12.15. Subsequent to the approval of the GAD and the alignment plan by NHIDCL, detailed design shall also be carried out for the proposed underpasses, overpasses and interchanges.
- 4.12.16. The Consultants shall also carry out the design and make suitable recommendations for protection works for bridges and drainage structures.
- 4.12.17. In case land available is not adequate for embankment slope, suitable design for RCC retaining wall shall be furnished. However, RES wall may also be considered depending upon techno-economic suitability to be approved by NHIDCL.

# 4.12.7 Drainage System

- 1. The requirement of roadside drainage system and the integration of the same with proposed cross-drainage system shall be worked out for the entire length of the project road section.
- 2. In addition to the roadside drainage system, the Consultants shall design the special drainage provisions for sections with super-elevated carriageways, high embankments and for road segments passing through cuts. The drainage provisions shall also be worked out for road segments passing through urban areas.
- 3. The designed drainage system should show locations of turnouts/outfall points with details of outfall structures fitting into natural contours. A separate drawing sheet covering every 5 km. stretch of road shall be prepared.

### 4.12.8. Traffic Safety Features, Road Furniture and Road Markings

The Consultants shall design suitable traffic safety features and road furniture including traffic signals, signs, markings, overhead sign boards, crash barriers, delineators etc. The locations of these features shall be given in the reports and also shown in the drawings.

## 4.12.9. Arboriculture and Landscaping

The Consultants shall work out appropriate plan for planting of trees (specifying type of plantation), horticulture, floriculture on the surplus land of the right-of way with a view to beautify the highway and making the environment along the highway pleasing. The existing trees / plants shall be retained to the extent possible. The Transplantation of trees shall also be proposed wherever feasible.

### **4.12.10.** Deleted

#### **4.12.11.** Deleted s

## 4.13 Office-cum-Residential Complex for PIU-Deleted

### 4.14 Deleted

### 4.13 Environment and Social Impact Assessment

The consultant shall under take the detailed environmental and social impact assessment in accordance with the standard set by the Government of India for projects proposed to be funded by MORT&H/NHAI. In respect of projects proposed to be funded by ADB loan assistance, Environmental Assessment Requirements, Environmental Guidelines for selected infrastructure projects, 1993 of Asian Development Bank shall be followed. Similarly, for projects proposed to be funded by World Bank loan assistance, World Bank Guidelines shall be followed.

### **4.13.1** Environmental Impact Assessment

Environment impact assessment or initial environment examination be carried out in accordance with ADB's Environmental Assessment Requirements of ADB 1998guidelines for selected infrastructure projects 1993 as amended from time to time /World Bank Guidelines / Government of India Guidelines, as applicable

- 1. The consultant should carry out the preliminary environmental screening to assess the direct and induced impacts due to the project.
- 2. The consultant shall ensure to document baseline conditions relevant to the project with the objective to establish the benchmarks.
- 3. The consultant shall assess the potential significant impacts and identify the mitigation measures to address these impacts adequately.
- 4. The consultant shall do the analysis of alternatives incorporating environmental concerns. This should include with and without scenario and modification incorporated in the proposed project due to environment considerations.
- 5. The consultant shall give special attention to the environmental enhancement measures in the project for the following:
  - (a) Cultural property enhancement along the highways
  - (b) Bus bays and bus shelters including a review of their location,
  - (c) Highway side landscape and enhancement of the road junctions,
  - (d) Enhancement of highway side water bodies, and
  - (e) Redevelopment of the borrow areas located on public land.
- 6. The consultant shall prepare the bill-of-quantities (BOQ) and technical specifications for all items of work in such a way that these may be readily integrated to the construction contracts.
- 7. The consultant shall establish a suitable monitoring network with regard to air, water and noise pollution. The consultant will also provide additional inputs in the areas of performance indicators and monitoring mechanisms for environmental components during construction and operational phase of the project.

- 8. The consultant shall provide the cost of mitigation measures and ensure that environmental related staffing, training and institutional requirements are budgeted in project cost.
- 9. The consultant shall prepare the application forms and obtain forestry and environmental clearances from the respective authorities including the SPCBs and the MOEF on behalf of NHIDCL. The consultants will make presentation, if required, in defending the project to the MOEF Infrastructure Committee.
- 10. The consultant shall identify and plan for plantation and Transplantation of the suitable trees along the existing highway in accordance with IRC guidelines.
- 11. The consultant shall assist in providing appropriate input in preparation of relevant environment and social sections of BPIP.

### 4.13.2 Social Assessment

- The consultant would conduct base line socio-economic and census survey to assess the impacts on the people, properties and loss of livelihood. The socioeconomic survey will establish the benchmark for monitoring of R&R activities. A social assessment is conducted for the entire project to identify mechanisms to improve project designs to meet the needs of different stakeholders. A summary of stakeholder discussions, issue raised and how the project design was developed to meet stakeholders need would be prepared.
- The consultant shall prepare Land Acquisition Plan and assist NHIDCL in acquisition of land under various Acts.
- The consultant would prepare Resettlement and Rehabilitation Plan and assess feasibility and effectiveness of income restoration strategies and suitability and availability to relocation sites. The resettlement plan which accounts for land acquisition and resettlement impacts would be based on a 25% socio-economic survey and 100 % census survey of project affected people which provides the complete assessment of the number of affected households and persons, including common property resources. All untitled occupants are recorded at the initial stages and identify cards will be issued to ensure there is no further influx of people in to the project area. All consultations with affected persons (to include list of participants) should be fully documented and records made available to NHIDCL.
- Assessment on the impact of the project on the poor and vulnerable groups along the project road corridor.
- Based on the identified impacts, developing entitlement matrix for the project affected people.
- Assessment on social issues such as indigenous people, gender, HIV/AIDS, labourers including child labour.
- Implementation budgets, sources and timing of funding and schedule of tasks.
- Responsibility of tasks, institutional arrangements and personnel for delivering

- entitlement and plans to build institutional capacity.
- Internal and external monitoring plans, key monitoring indicators and grievance redress mechanism.
- Incorporating any other suggestions of the NHIDCL, till the acceptance of the reports by the NHIDCL.

# 4.13.3 Reporting Requirements of EIA

- The consultant would prepare the stand-alone reports as per the requirement of the ADB/World Bank / NHIDCL, as applicable, with contents as per the following:
- Executive Summary
- Description of the Project
- Environmental setting of the project.
- Identification and categorization of the potential impacts (during preconstruction, construction and operation periods).
- Analysis of alternatives (this would include correlation amongst the finally selected alternative alignment/routing and designs with the avoidance and environmental management solutions).
- The public consultation process.
- Policy, legal and administrative framework. This would include mechanisms at the states and national level for operational policies. This would also include a description of the organizational and implementation mechanism recommended for this project.
- Typical plan or specific designs for all additional environmental items as described in the scope of work.
- Incorporating any other as per the suggestions of the ADB/ World Bank / MORT&H/NHIDCL, till the acceptance of the reports by the ADB/ World Bank / MORT&H/NHIDCL, as applicable.
- EMP Reports for Contract Package based on uniform methodology and processes. The consultant will also ensure that the EMP has all the elements for it to be a legal document. The EMP reports would include the following:
  - o Brief description of the project, purpose of the EMP, commitments on incorporating environmental considerations in the design, construction and operations phases of the project and institutional arrangements for implementing the EMP.
  - o A detailed EMP for construction and operational phases with recourse to the mitigation measures for all adverse impacts.
  - o Detailed plans for highway-side tree plantation (as part of the compensatory aforestation component).
  - o Environmental enhancement measure would be incorporated.
  - 6.1. E nhancement measures would include items described in the scope of work and shall be complete with plans, designs, BOQ and technical specifications.
  - o Environmental monitoring plans during and after construction including scaling and measurement techniques for the performance indicators selected for monitoring.
  - o The EMP should be amendable to be included in the contract documents for the works.

o Incorporating any other as per the suggestions of the ADB/ World Bank/ NHIDCL, till the acceptance of the reports by the ADB/ World Bank / NHIDCL as applicable.

# 4.13.4 Reporting requirements of RAP

Analysis on the resettlement plan be conducted based on ADBs Hand Book on Resettlement, A Guide to Good practice 1998 as amended time to time/ World Bank Guidelines / Government of India Guidelines, as applicable.

- Executive summary
- Description of project
- Objectives of the project.
- The need for Resettlement in the Project and evaluation of measures to minimize resettlement
- Description and results of public consultation and plans for continued participation of PAPs.
- Definition of PAPs and the eligibility criteria.
- Census and survey results-number affected, how are they affected and what impacts will they experience.
- Legal and entitlement policy framework-support principles for different categories of impact.
- Arrangements for monitoring and evaluation (internal and external)
- Implementation schedule for resettlement which is linked to the civil works contract
- A matrix of scheduled activities linked to land acquisition procedures to indicate clearly what steps and actions will be taken at different stages and the time frame
- The payment of compensation and resettlement during the acquisition process
- An itemized budget (replacement value for all assets) and unit costs for different assets

## 5. Estimation of Quantities and Project Costs

- 1. The Consultants shall prepare detailed estimates for quantities (considering designs and mass haul diagram) and project cost for the entire project (civil packages wise), including the cost of environmental and social safeguards proposed based on MoRT&H's Standard Data Book and market rate for the inputs. The estimation of quantities shall be based on detailed design of various components of the projects. The estimation of quantities and costs would have to be worked out separately for civil work Package as defined in this TOR.
- 2. The Consultants shall make detailed analysis for computing the unit rates for the different items of works. The unit rate analysis shall duly take into account the various inputs and their basic rates, suggested location of plants and respective lead distances for mechanized construction. The unit rate for each item of works shall be worked out in terms of manpower, machinery and materials.
- 3. The project cost estimates so prepared for NHIDCL /ADB/WB projects are to be checked against rates for similar on-going works in India under NHIDCL / World Bank/ ADB

financed road sector projects.

# 6. Viability and Financing Options.

- 1. The values of input parameters and the rationale for their selection for the economic and commercial analyses shall be clearly brought out and got approved by NHIDCL.
- 2. For models to be used for the economic and the commercial analyses, the calibration methodology and the basic parameters adapted to the local conditions shall be clearly brought out and got approved by NHIDCL.
- 3. The economic and commercial analyses should bring out the priority of the different homogenous links in terms of project implementation.

## 6.1. Economic Analysis

- 1. The Consultants shall carry out economic analysis for the project. The analysis should be for each of the sections covered under this TOR. The benefit and cost streams should be worked out for the project using HDM-IV or other internationally recognized life-cycle costing model.
- 2. The economic analysis shall cover but be not limited to be following aspects:
  - i. assess the capacity of existing roads and the effects of capacity constraints on vehicle operating costs (VOC);
  - ii. calculate VOCs for the existing road situation and those for the project;
  - iii. quantify all economic benefits, including those from reduced congestion, travel distance, road maintenance cost savings and reduced incidence of road accidents; and.
  - iv. estimate the economic internal rate of return (EIRR) for the project over a 30-year period. In calculating the EIRRs, identify the tradable and non-tradable components of projects costs and the border price value of the tradable components.
  - v. Saving in time value.
- 10 Economic Internal Rate of Return (EIRR) and Net Present Value (NPV), "with" and "without time and accident savings" should be worked out based on these cost-benefit stream. Furthermore, sensitivity of EIRR and NPV worked out forth different scenarios as given under:

Scenario – I Base Costs and Base Benefits

Scenario - II Base Costs plus 15% and Base Benefits Scenario - III Base Costs and Base Benefits minus 15%

Scenario - IV Base Costs plus 15% and Base Benefits minus 15%

The sensitivity scenarios given above are only indicative. The Consultants shall select the sensitivity scenarios taking into account possible construction delays, construction costs overrun, traffic volume, revenue shortfalls, operating costs, exchange rate variations, convertibility of foreign exchange, interest rate volatility, non-compliance or default by

contractors, political risks and force majeure.

1. The economic analysis shall take into account all on-going and future road and transport infrastructure projects and future development plans in the project area.

## 1 Financial Analysis

- 2. It is envisaged that the project stretch should be implemented on EPC/BOT basis, therefore, the Consultant shall study the financial viability of the project under a commercial format and under different user fee scenarios and funding options. The Consultants shall submit and finalize in consultation with the NHIDCL's officers the format for the analysis and the primary parameters and scenarios that should be taken into account while carrying out the commercial analysis. The financial model so developed shall be the property of NHIDCL.
- 3. The Financial analysis for the project should cover financial internal rate of return, projected income statements, balance sheets and fund flow statements and should bring out all relevant assumptions. The sensitivity analysis should be carried out for a number of probabilistic scenarios.
- 4. The financial analysis should cover identification, assessment, and mitigating measures for all risks associated with the project. The analysis shall cover, but be not limited to, risks related to construction delays, construction costs overrun, traffic volume, revenue shortfalls, operating costs, exchange rate variations, convertibility of foreign exchange, interest rate volatility, non-compliance or default by contractors, political risks and force majeure.
- 1. The consultant shall suggest positive ways of enhancing the project Viability and furnish different financial models for implementing on BOT format.
- 6.3 Payment Schedule

### i. Time period for the service

Time period envisaged for the study of the project is indicated in **Annex-I to LOI.** The final reports, drawings and documentation shall be completed within this time schedule.

NHIDCL shall arrange to give approval on all sketches, drawings, reports and recommendations and other matters and proposals submitted for decision by the Consultant in such reasonable time so as not to delay or disrupt the performance of the Consultant's services.

## 8. Project Team and Project Office of the Consultant

- 1. The Consultants shall be required to form a multi-disciplinary team for this assignment. The consultants team shall be manned by adequate number of experts with relevant experience in the execution of similar detailed design assignments.
- 2. List of suggested key personnel to be fielded by the consultant with appropriate man-month of consultancy services is given in Enclosure I as per client's assessment.

- 3. A Manning Schedule for key personnel mentioned above is enclosed as Enclosure I along with broad job- description and qualification as Enclosure II. The information furnished in Enclosures I & II are to assist the Consultants to understand the client's perception about these requirements and shall be taken by the Consultants for the purpose of Financial Proposal and deployment schedule etc. in technical proposal to be submitted by them. Any deviation proposed may be recorded in the comments on TOR. All the key personnel mentioned will be evaluated at the time of evaluation of technical proposal. Consultants are advised in their own interest to frame the technical proposal in an objective manner as far as possible so that these could be properly assessed in respect of points to be given as part of evaluation criteria as mentioned in Data sheet. The bio-data of the key personnel should be signed on every sheet by the personnel concerned and the last sheet of each bio-data should also be signed by the authorized signatory of the Consultants.
- 4. The Consultants shall establish an office at the project site manned by senior personnel during the course of the surveys and investigations. All the project related office work shall be carried out by the consultant in their site office unless there are special reasons for carrying out part of the office work elsewhere for which prior approval of NHIDCL shall be obtained. The address of the site office including the personnel manning it including their Telephone and FAX numbers will be intimated by the Consultant to NHIDCL before commencement of the services.

The Consultant shall maintain an Attendance Register to be signed by each individual key personnel at site as well as at Head Office. The Consultant shall furnish certificate that all the key personnel as envisaged in the Contract Agreement have been actually deployed in the Projects at the time of submission of their bills to the National Highways and Infrastructure Development Corporation Ltd from time to time.

# 9. Reports to be submitted by the Consultant to NHIDCL

- 9.1 All reports, documents and drawings are to be submitted separately for each of the traffic homogenous link of the Project Road. The analysis of data and the design proposals shall be based on the data derived from the primary surveys and investigations carried out during the period of assignment. The sources of data and model relationships used in the reports shall be indicated with complete details for easy reference.
- 9.2 Project preparation activities will be split into six stages as brought out below.

  Preliminary design work should commence without waiting for feasibility study to be completed. Stage 3, 5 and 6 shall run in parallel with Stage 2 and 4

**Stage 1: Inception Report** 

**Stage 2: Feasibility Report** 

Stage 3: LA & Clearances I Report

**Stage 4: Detailed Project Report (DPR)** 

## **Stage 5: Technical Schedules**

## Stage 6: LA & Clearances II Report

9.3 Consultant shall be required to complete, to the satisfaction of the client, all the different stages of study within the time frame indicated in the schedule of submission in para 10 pertaining to Reports and Documents for becoming eligible for payment for any part of the next stage.

## 10 Reports and Documents to be submitted by the Consultant to NHIDCL

- 1. The Consultant shall submit to the client the reports and documents in bound volumes (and not spiral binding form) after completion of each stage of work as per the schedule and in the number of copies as given in Enclosure III. Further, the reports shall also be submitted in CD's in addition to the hardcopies as mentioned in Enclosure-III. Consultant shall submit all other reports mentioned specifically in the preceding paras of the TOR.
- 2. The time schedule for various submissions prescribed at s.l.no.1 above shall be strictly adhered to. No time-over-run in respect of these submissions will normally be permitted. Consultant is advised to go through the entire terms of reference carefully and plan his work method in such a manner that various activities followed by respective submissions as brought out at Sl.No.1 above are completed as stipulated. Consultant is, therefore, advised to deploy sufficient number of supporting personnel, both technical and administrative, to undertake the project preparation activities in construction package (Section) simultaneously. As far as possible, the proposal should include complete information such as number of such persons, name, position, period of engagement, remuneration rate etc. The Consultant is also advised to start necessary survey works from the beginning so as to gain time in respect of various other activities in that stage.

#### STAGE 1

## 3. Quality Assurance Plan (QAP) Document

Immediately upon the award, the Consultants shall submit four copies of the QAP document covering all aspects of field studies, investigations design and economic financial analysis. The quality assurance plans/procedures for different field studies, engineering surveys and investigation, design and documentation activities should be presented as separate sections like engineering surveys and investigations, traffic surveys, material geo-technical and sub-soil investigations, road and pavement investigations, investigation and design of bridges &structures, environment and R&R assessment, economic & financial analysis, drawings and documentation; preparation, checking, approval and filing of calculations, identification and traceability of project documents etc. Further, additional information as per format shall be furnished regarding the details of personnel who shall be responsible for carrying out/preparing and checking/verifying various activities forming part of feasibility study and project

- preparation, since inception to the completion of work. The field and design activities shall start after the QAP is approved by NHIDCL.
- ii The data formats proposed by the Consultants for use in field studies and investigations shall be submitted within 14 days after the commencement of services and got approved by NHIDCL.

## 10.2 Inception Report (IR)

- 1. The report shall cover the following major aspects:
  - *i.* Project appreciation;
  - ii. Detailed methodology to meet the requirements of the TOR finalised in consultation with The National Highways and Infrastructure Development Corporation Ltd officers; including scheduling of various sub activities to be carried out for completion of various stages of the work; stating out clearly their approach & methodology for project preparation after due inspection of the entire project stretch and collection/collation of necessary information;
  - iii. Task Assignment and Manning Schedule;
  - iv. Work programme;
  - v. Performa for data collection;
  - vi. Design standards and proposed cross-sections;
  - vii. Key plan and Linear Plan;
  - viii. Development plans being implemented and / or proposed for implementation in the near future by the local bodies and the possible impact of such development plans on the overall scheme for field work and design for the study;
  - ix. Quality Assurance Plan (QAP) finalised in consultation with NHIDCL;
  - x. Draft design standards; and
- 2. The requirements, if any, for the construction of bypasses should be identified on the basis of data derived from reconnaissance and traffic studies. The available alignment options should be worked out on the basis of available maps. The most appropriate alignment option for bypasses should be identified on the basis of site conditions and technoeconomic considerations. Inception Report should include the details regarding these aspects concerning the construction of bypasses for approval by NHIDCL.

#### STAGE 2:

# 10.3 Feasibility Report

- 1. The consultant shall commence the Feasibility Study of the project in accordance with the accepted IR and the report shall contain the following:
  - *i.* Executive summary
  - ii. Overview of NHIDCL organization and activities, and project financing and cost recovery mechanisms
  - iii. Project description including possible alternative alignments/bypasses and technical/engineering alternatives
  - iv. Methodology adopted for the feasibility study

- v. Socioeconomic profile of the project areas
- vi. Indicative design standards, methodologies and specifications
- vii. Traffic surveys and analysis
- viii. Environmental screening and preliminary environmental assessment
- ix. Initial social assessment and preliminary land acquisition/resettlement plan
- x. Cost estimates based on preliminary rate analysis and bill of quantities
- xi. Economic and financial analysis
- xii. Conclusions and recommendations
- 2. In view of para 1 above the consultant has to submit the following documents in six sets:
  - i. **Technical Specifications:** The MORT&H's Technical Specifications for Road and Bridge works shall be followed for this study. However, Volume IV: Technical Specifications shall contain the special technical specifications which are not covered by MORT&H Specifications for Roads and Bridges (latest edition / revision) and also specific quality control norms for the construction of works.
  - ii. Rate Analysis: This volume will present the analysis of rates for all items of works. The details of unit rate of materials at source, carriage charges, any other applicable charges, labour rates, and machine charges as considered in arriving at unit rates will be included in this volume.
  - iii. Cost Estimates: This volume will present the each item of work as well as a summary of total cost.
  - iv. **Bill of Quantities:** This volume shall contain the detailed Bill of Quantities for all items of works
- 3. The basic data obtained from the field studies and investigations shall be submitted in a separate volume as an Appendix to Feasibility Report.
- 4. The Final Feasibility Study Report incorporating comments, revisions and modifications suggested by NHIDCL shall be submitted within 15 days of comments from NHIDCL on draft feasibility study report.

### STAGE 3:

### **10.4** Strip Plan and Clearances

- 1. The Consultants shall submit the following documents:
  - i. Details of the center line of the proposed widened NH along with the existing and proposed right-of-way limits to appreciate the requirements of land acquisition;
  - ii. The information concerning the area including ownership of land to be acquired for the implementation of the project shall be collected from the revenue and other concerned authorities and presented along with the strip plans;
  - iii. Strip plans showing the position of existing utilities and services indicating clearly the position of their relocation;
  - iv. Details for various clearances such as environment and forest clearances;
  - v. Separate strip plan showing shifting / relocation of each utility services in consultation with the concerned local authorities;
  - vi. The utility relocation plans should clearly show existing right-of-way and

pertinent topographic details including buildings, major trees, fences and other installations such as water-mains, telephone, telegraph and electricity poles, and suggest relocation of the services along with their crossings the highway at designated locations as required and prepare necessary details for submission to the Service Departments;

- vii. Detail schedules for acquisition of additional land and additional properties in consultation with the revenue authorities; and
- viii. Land Acquisition Plan shall be prepared after digitization of cadastral / land revenue maps. The digitized map shall exactly match the original map, like a contact print, since the dimensions and area of plots, or the whole village is to be extracted from the map itself. An accuracy of 1mm or higher in a 1:1000 scale map shall be ensured, as this translates into an accuracy of 1 m or higher on ground.
- 2. The strip plans and land acquisition plan shall be prepared on the basis of data from reconnaissance and detailed topographic surveys.
- 3. The Report accompanying the strip plans should cover the essential aspects as given under:
  - i. Kilometre-wise Land Acquisition Plan (LAP) and schedule of ownership thereof and Costs as per Revenue Authorities and also based on realistic rates.
  - ii. Details of properties, such as buildings and structures falling within the rightof way and costs of acquisition based on realistic rates.
  - iii. Kilometre-wise Utility Relocation Plan (URP) and costs for relocation per civil construction package as per concerned authorities.
  - iv. Kilometre-wise account in regard to felling of trees of different type and girth and value estimate of such trees based on realistic rates obtainable from concerned District forest office.
- ii. The strip plans shall clearly indicate the scheme for widening. The views and suggestions of the concerned State PWDs should be duly taken into account while working out the widening scheme (left, right or symmetrical). The widening scheme shall be finalised in consultation with NHIDCL
  - Kilometre-wise Strip Plans for section (Package) shall be prepared separately for each concerned agency and suggested by NHIDCL

## 10.5 Land Acquisition Report

- 1. The Land acquisition report shall be prepared and submitted for section (package). The report shall include detail schedules about acquisition of landholdings as per revenue records and their locations in a strip plan and also the costs as per district authorities. Details shall be submitted in land acquisition Performa to be supplied by NHIDCL. The land acquisition report shall be submitted in both Hind and English languages.
- 2. The land acquisition report should be prepared in consultation with affected persons, non-governmental organizations and concerned government agencies and should cover land acquisition and resettlement plan and costs of resettlement and rehabilitation of such affected persons. It should also include plan of compensating afforestation, its land requirement with specific locations and cost involved for undertaking all activities in this regard.

#### 10.6 Deleted

## STAGE: 4

# 10.7 Draft Detailed Project Report (DPR)

- 1. The draft DPR Submission shall consist of construction package-wise Main Report, Design Report, Materials Report, Engineering Report, Drainage Design Report, Economic and Financial Analysis Report, Environmental Assessment Report including Resettlement Action Plan (RAP), Package-wise bid Documents and Drawings.
- 2. The Report volumes shall be submitted as tabulated in para 10 above.
- 3. The Documents and Drawings shall be submitted for the Package and shall be in the following format:

#### **Reports**

i. Volume-I, Main Report: This report will present the project background, social analysis of the project, details of surveys and investigations carried out, analysis and interpretation of survey and investigation data, traffic studies and demand forecasts designs, cost estimation, environmental aspects, economic and commercial analyses and conclusions. The report shall include Executive Summary giving brief accounts of the findings of the study and recommendations.

The Report shall also include maps, charts and diagrams showing locations and details of existing features and the essential features of improvement and upgrading. The Environmental Impact Assessment (EIA) Report for contract package shall be submitted as a part of the main report.

The basic data obtained from the field studies and investigations and input data used for the preliminary design shall be submitted in a separate volume as an Appendix to Main Report.

ii. Volume - II, Design Report: This volume shall contain design calculations, supported by computer printout of calculations wherever applicable. The Report shall clearly bring out the various features of design standards adopted for the study. The design report will be in two parts. Part-I shall primarily deal with the design of road features and pavement composition while Part-II shall deal with the design of bridges, tunnels and cross-drainage structures. The sub-soil exploration report including the complete details of boring done, analyses and interpretation of data and the selection of design parameters shall be included as an Appendix to the Design Report.

The detailed design for all features should be carried out as per the requirements of the Design Standards for the project. However, there may be situations wherein it has not been possible to strictly adhere to the design standards due to the existing site

conditions, restrictions and other considerations. The report should clearly bring out the details of these aspect and the standards adopted.

iii. Volume - III, Materials Report: The Materials Report shall contain details concerning the proposed borrow areas and quarries for construction materials and possible sources of water for construction purposes. The report shall include details on locations of borrow areas and quarries shown on maps and charts and also the estimated quantities with mass haul diagram including possible end use with leads involved, the details of sampling and testing carried out and results in the form of important index values with possible end use thereof.

The materials Report shall also include details of sampling, testing and test results obtained in respect physical properties of subgrade soils. The information shall be presented in tabular as well as in graphical representations and schematic diagrams. The Report shall present soil profiles along the alignment.

The material Report should also clearly indicate the locations of areas with problematic soils. Recommendations concerning the improvement of such soils for use in the proposed construction works, such as stabilization (cement, lime, mechanical) should be included in the Report.

- iv. Volume IV, Environmental Assessment Report including Environmental Management Plan (EMP) & Resettlement Action Plan (RAP): The Report shall be prepared conforming to the Guidelines of the Government of India, State Government and World Bank / ADB as appropriate for construction package.
- v. Volume V, Technical Specifications: The MORT&H's Technical Specifications for Road and Bridge works shall be followed for this study. However, Volume IV: Technical Specifications shall contain the special technical specifications which are not covered by MOST Specifications for Roads and Bridges (latest edition / revision) and also specific quality control norms for the construction of works.
- vi. **Volume VI, Rate Analysis:** This volume will present the analysis of rates for all items of works. The details of unit rate of materials at source, carriage charges, any other applicable charges, labour rates, machine charges as considered in arriving at unit rates will be included in this volume.
- vii. Volume VII, Cost Estimates: This volume will present the contract package wise cost of each item of work as well as a summary of total cost.
- viii. **Volume VIII, Bill of Quantities:** This volume shall contain the package-wise detailed Bill of Quantities for all items of works.
- ix. Volume IX, Drawing Volume: All drawings forming part of this volume shall be 'good for tender drawings and designs'.. All plan and profile drawings will be prepared in

scale 1:250V and 1:2500H scale to cover one km in one sheet. In addition this volume will contain 'good for tender drawings and designs' the following:

- Horizontal Alignment and Longitudinal Profile.
- Cross-section @ 50m interval along the alignment within ROW
- Typical Cross-Sections with details of pavement structure.
- Detailed Working Drawings for individual Culverts and Cross Drainage Structures.
- Detailed Working Drawings for individual Bridges, tunnels and Structures.
- Detailed Drawings for Improvement of At-Grade and Grade-Separated
- Intersections and Interchanges.
- Drawings for Road Sign, Markings, Toll Plazas, and other Facilities.
- Schematic Diagrams (linear chart) indicating but be not limited to be following:
  - Widening scheme;
  - Locations of median openings, intersections, interchanges, underpasses, overpasses, bypasses;
  - Locations of service roads;
  - Location of traffic signals, traffic signs, road markings, safety features; and, Locations of toll plaza, parking areas, weighing stations, bus bays, rest areas, if any.
- Drawings for toll plaza, Bus Bays, Parking areas, Rest areas, weighing stations etc. All drawings will be prepared in A2 size sheets. The format for plan, cross section and profile drawings shall be finalized in consultation with the concerned NHIDCL officers. The drawings shall also include details of all BM and reference pillars, HIP and VIP. The co-ordinates of all points should be referenced to a common datum, preferably GTS referencing system. The drawings shall also include the locations of all traffic safety features including traffic signals, signs, markings, crash barriers, delineators and rest areas, busbays, parking areas etc.
- The typical cross-section drawings should indicate the scheme for future widening of the carriageway. The proposed cross-sections of road segment passing through urban areas should indicate the provisions for pedestrian movements and suitable measures for surface and sub-surface drainage and lighting, as required.

#### 10.8. Final Detailed Project Report, Documents and Drawings (6 Sets)

1. The Final package-wise DPR consisting of Main Report, Design Report, Drainage Design Report and Materials Report, incorporating all revisions deemed relevant following receipt of the comments from NHIDCL on the draft DPR shall be submitted as per the schedule given in Enclosure-III.

#### STAGE: 5

#### a) Technical Schedules

Civil Work Contract Agreement: A civil works contract agreement shall be submitted.

### STAGE: 6

#### 10.10. LA & Clearances II Report

- 1. Draft 3a, 3A, 3D notification shall be submitted by the consultant.
- 2. All the necessary project related clearances such as environment, forest and wildlife clearance from MOEF, Railways in respect of ROB/ RUBs, Irrigation Deptt and any other concerned agencies shall be obtained by the consultant. The final approvals shall be obtained and submitted to NHIDCL so that project implementation can straight away proceed without any hold up. For utility clearances, consultant shall prepare draft utility shifting estimates using the latest Schedule of Rates and obtain final approval from utility agency and NHIDCL.

#### 11. Interaction with NHIDCL

- 1. During entire period of services, the Consultant shall interact continuously with NHIDCL and provide any clarification as regards methods being followed and carryout modification as suggested by NHIDCL. A programme of various activities shall be provided to NHIDCL and prior intimation shall be given to NHIDCL regarding start of key activities such as boring, survey etc. so that inspections of NHIDCL officials could be arranged in time.
- 2. The National Highways and Infrastructure Development Corporation Ltd officers and other Government officers may visit the site at any time, individually or collectively to acquaint/ supervise the field investigation and survey works. NHIDCL may also appoint a Proof Consultant to supervise the work of the DPR consultant including inter-alia field investigation, survey work, Design work and preconstruction activities.
- 3. The consultant shall be required to send 3 copies of concise monthly Progress Report by the 5th day of the following month to the designated officer at his Head Quarter so that progress could be monitored by The National Highways and Infrastructure Development Corporation Ltd. These reports will indicate the dates of induction and de-induction of various key personnel and the activities performed by them. Frequent meetings with the consultant at site office or in Delhi are foreseen during the currency of project preparation.
- 4. All equipment, software and books etc. required for satisfactory services for this project shall be obtained by the Consultant at their own cost and shall be their property.

#### 12. Payment Schedule

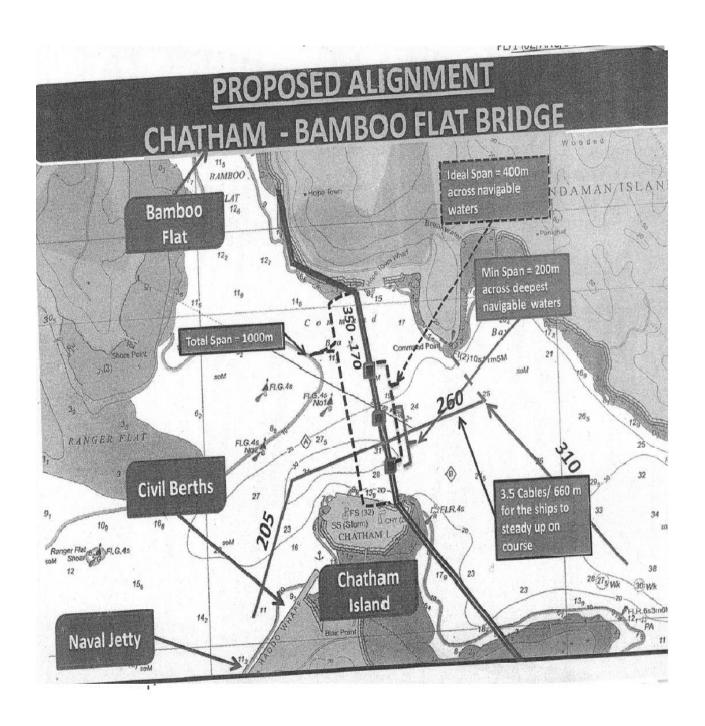
1. The Consultant will be paid consultancy fee as a percentage of the contract values as per the schedule given in the Draft Contract Agreement.

#### 13. Data and Software

1. The CD's containing all basic as well as the processed data from all field studies and investigations, report, appendices, annexure, documents and drawings shall be submitted to NHIDCL at the time of the submission of the Final Report. The data can be classified as

#### follows:

- i. Engineering Investigations and Traffic Studies: Road Inventory, Condition, Roughness, Test Pit (Pavement composition), Benkelman Beam Deflection, Material Investigation including test results for subgrade soils, Traffic Studies (traffic surveys), axle load surveys, Sub-soil Exploration, Drainage Inventory, Inventory data for bridge and culverts indicating rehabilitation, new construction requirement etc. in MS EXCEL or any other format which could be imported to widely used utility packages.
- *ii.* Topographic Surveys and Drawings: All topographic data would be supplied in (x, y, z) format along with complete reference so that the data could be imported into any standard highway design software. The drawing files would be submitted in dxf or dwg format.
- iii. Rate Analysis: The Consultant shall submit the rate analysis for various works items including the data developed on computer in this relation so that it could be used by the Authority later for the purpose of updating the cost of the project.
- iv. Economic and Financial Analysis
- 2. **Software**: The Consultant shall also hand-over to NHIDCL CD's containing any general software including the financial model which has been specifically developed for the project.
- 3. The CD's should be properly indexed and a catalogue giving contents of all CD's and print-outs of the contents (data from field studies topographic data and drawings) should be handed over to NHIDCL at the time of submission of the Final Report.
- 4. Consultant shall include editable soft copies of the final versions of all documents, including but not limited to the strip plan, plan & profile drawings, cross sections of right of way and details of structures as well as any cost workings.



## **SUPPLEMENT I**

Deleted

### SUPPLEMENT II

S.No.	Clause No. of TOR		Additional points	
1	4.1		For standalone bridge projects the scope of work shall include detailed design of approach road extending at least up to 2 km on either side of the bridge	
1.	4.11.4.2(6)	+	Model Studies for Bridges	
1.		1.	Objective	
		2.	Physical/ Mathematical Model study for detailed Hydraulic/Hydrologic investigations regarding the proposed bridge for hydraulic design of the bridge and assessment and hydraulic design.  Methodology	
			<b>Physical/Mathematical</b> Model study shall be carried out at a reputed/recognized institution. The consultant will be responsible for identifying the institution, supplying Information /Documents /Data required for modal studies as indicated in para 4 below and coordinating the model study with the institution concerned	
		3. 3.1	Scope of Work Physical Model study	
			Physical modeling with appropriate model scale for Hydraulic and Hydrologic Investigations to:	
			<ul> <li>i) Finalize span arrangement causing uniformity in flow distribution, and work out the alignment and orientation of river training works and bridge axis.</li> <li>ii) Provide information on estimated/observed maximum depth of scour.</li> </ul>	
			iii) Provide information on required river training works for proposed bridge	
			iv) Provide hydraulic design for the bridge and the required river training works.	
			v) Quantify the general direction of river course through bridge, afflux, extent and magnitude of flood, effect of backwater, if any, aggradation/degradation of bed, evidence	
			of scour etc. shall be used to augment the available hydrological data. The presence of flood control/irrigation structures, if affecting the hydraulic characteristics like causing obliquity, concentration of flow, scour, silting of	
			beds, change in flow levels, bed levels etc. shall be studied. and considered in Hydraulic design of proposed	
			bridge. The details of any planned work in the immediate future that may affect the river hydraulics shall be studied	

#### and considered

#### 3.2 Mathematical Model study

Mathematical modeling for detailed Hydraulic/Hydrologic investigations regarding the proposed new bridge to:

- i) Finalize the site/location of the proposed new bridge based on mathematical modeling.
- ii) Provide information on estimated/observed maximum depth of scour..
- iii) Provide information on required river training works for proposed bridge
- iv) Provide hydraulic design for the bridge and the required river training works.
- v) Quantify the general direction of river course through bridge, afflux, extent and magnitude of flood, effect of backwater, if any, aggradation/degradation of bed, evidence of scour etc. shall be used to augment the available hydrological data. The presence of flood control/irrigation structures, if affecting the hydraulic characteristics like causing obliquity, concentration of flow, scour, silting of bed, change in flow levels, bed levels etc. shall be studied and considered in Hydraulic design of proposed bridge. The details of any planned work in the immediate future that may affect the river hydraulics shall be studied and considered.

# 4. Information / Documents / Data required for Physical / Mathematical Model study

- Plan layouts showing the locations of the proposed bridge as well as the existing bridges / barrages etc., in the vicinity of the proposed bridge with the chainages with respect to a standard reference marked on it.
- ii) High flood discharges and corresponding flood levels at the locations of the existing bridges in the vicinity of the proposed bridge.
- iii) General arrangement drawing (GAD) of the existing bridges showing number of spans, pier and well dimensions, founding levels, maximum scour level, the design discharge and the HFL, guide bund details. On this, the plan form of the river course with the bridge alignment may also be shown as far as possible.

- iv) General arrangement drawing (GAD) of the proposed new bridge showing number of spans, pier and foundation dimensions. On this, the plan form of the river course with the bridge alignment may also be shown as for as possible.
- v) River cross sections at 500m longitudinal spacing (maximum) up to a distance of 2 times the bridge total length on the upstream side and up to a distance equal to the bridge total length on the downstream with right bank and left bank clearly marked on it. At least one cross section to be provided at the location of the proposed bridge. At each cross section, the bed levels to be taken at a maximum lateral distance of 8 m in flow section and at 25 m in non-flow section respectively. The abrupt variations in the bed levels to be captured by taking measurements at closer locations both in longitudinal as well as lateral directions.
- vi) The cross sections, as for as possible, from high bank to high bank.
- vii) The longitudinal profile of the river along the length of the proposed alignment.
- viii)Size distribution of the river bed material and the bore log data at different locations at the site of the proposed bridge.

The series of annual peak flood of the river for at least 15 years period.

#### **SUPPLEMENT-III**

### ADDITIONAL REQUIREMENT FOR SAFETY AUDIT

The use of checklists is highly recommended as they provide a useful "aide memoire" for the audit team to check that no important safety aspects are being overlooked. They also give to the project manager and the design engineer a sense of understanding of the place of safety audit in the design process. The following lists have been drawn up based on the experience of undertaking systematic safety audit procedures overseas. This experience indicates that extensive lists of technical details has encouraged their use as "tick" sheets without sufficient thought being given to the processes behind the actions. Accordingly, the checklists provide guideline son the principal issues that need to be examined during the course of the safety audits.

#### **Stage F-During Feasibility Study**

1. The audit team should review the proposed design from a road safety perspective and heck the following aspects

CONTENTS	ITEMS	
Aspects to be checked	A. alignment and junction strategy with particular references to expected road users and vehicle types likely to use the road. B. Width options considered for various sections. C. Departures from standards and action taken. D. Provision of pedestrians, cyclists and intermediate transport E. Safety implications of the scheme beyond its physical limits i.e. how the scheme fits into its environs and road Hierarchy	
A1 : General	<ul> <li>Departures from standards</li> <li>Cross-sectional variation</li> <li>Drainage</li> <li>Climatic conditions</li> <li>Landscaping</li> <li>Services apparatus</li> <li>Lay-byes</li> <li>Footpath</li> <li>Pedestrian crossings</li> <li>Access (minimize number of private accesses)</li> </ul>	
	Emergency vehicles	

	<ul><li>Public Transport</li></ul>
	Future widening
	Staging of contracts
	<ul><li>Adjacent development</li></ul>
A2 : Local Alignment	Visibility
112 ( 200 11 11 8 11 11 11 11	New/Existing road interface
	<ul><li>Safety Aids on steep hills</li></ul>
	, Salety Thas on steep mile
A3 : Junctions	Minimise potential conflicts
	➤ Layout
	Visibility
A4 : Non-Motorised	
road users Provision	Adjacent land
	Pedestrians
	Cyclists
	Non-motorised vehicles
A5 : Signs and Lighting	Lighting
	Signs/Markings
A6 : Construction and	Buildability
Operation	Operational
	Network Management

## Stage 1 – Completion of Preliminary Design

1. The audit team should review the proposed check the following aspects design from a road safety perspective and check the following aspects

Todd safety perspective and effect the following aspects				
CONTENTS ITEMS				
Aspects to be checked	A. Safety and operational implications of proposed alignment and junction strategy with particular references to expected road users and vehicle types likely to use the road.  B. Width options considered for various sections.  C. Departures from standards and action taken.  D. Provision of pedestrians, cyclists and intermediate transport			
	E. Safety implications of the scheme beyond its physical limits i.e. how the scheme fits into its environs and road hierarchy			
B1 : General	Departures from standards			

1	1
	Cross-sectional variation
	Drainage
	Climatic conditions
	Landscaping
	Services apparatus
	Lay-byes
	> Footpaths
	Pedestrian crossings
	Access (minimize number of private accesses)
	Emergency vehicles
	Public Transport
	Future widening
	Staging of contracts
	Adjacent development
B2 : Local Alignment	> Visibility
	New/Existing road interface
	> Safety Aids on steep hills
B3 : Junctions	Minimize potential conflicts
	> Layout
	> Visibility
B4 : Non-Motorised road	➤ Adjacent land
users Provision	> Pedestrians
	> Cyclists
	Non-motorized vehicles
B5 : Signs and Lighting	> Lighting
	➤ Signs/Markings
B6: Construction and	Build ability
Operation	Operational
	<ul><li>Network Management</li></ul>

 $Stage\ 2-Completion\ of\ Detailed\ Design$ 

1. The audit team should satisfy itself that all issues raised at Stage 1 have been resolved. Items may require further consideration where significant design changes have occurred.

2. If a scheme has not been subject to a stage 1 audit, the items listed in Checklists B1 to B6 should be considered together with the items listed below.

CONTENTS	ITEMS	
Aspects to be checked	A. Any design changes since Stage 1.  B. The detailed design from a road safety viewpoint, including the road safety implications of future maintenance (speed limits; road signs and markings; visibility; maintenance of street lighting and Central reserves).	
C1 : General	<ul> <li>Departures from standards</li> <li>Drainage</li> <li>Climatic conditions</li> <li>Landscaping</li> <li>Services apparatus</li> <li>Lay-byes</li> <li>Access</li> <li>Skid-resistance</li> <li>Agriculture</li> <li>Safety Fences</li> <li>Adjacent development</li> </ul>	
C2 : Local Alignment	<ul> <li>Visibility</li> <li>New/Existing road interface</li> </ul>	
C3 : Junctions	<ul> <li>Layout</li> <li>Visibility</li> <li>Signing</li> <li>Lighting</li> <li>Road Marking</li> <li>T,X,Y-junctions</li> <li>All roundabouts</li> <li>Traffic signals</li> </ul>	
C4: Non-Motorized road users Provision	<ul> <li>Adjacent land</li> <li>Pedestrians</li> <li>Cyclists</li> <li>Non-motorized vehicles</li> </ul>	
C5 : Signs and Lighting	<ul> <li>Advanced direction signs</li> <li>Local traffic signs</li> <li>Variable message signs</li> </ul>	

	<ul><li>Other traffic signs</li><li>Lighting</li></ul>
C6 : Construction and Operation	<ul> <li>Build ability</li> <li>Operational</li> <li>Network Management</li> </ul>

## Annex-6

## **Payment Schedule**

(Refer Clause 6.3)

S. No	Item	Payment as % of contract value
1	Submission of final Inception Report	15%
2	Submission of final Alignment Report	10%
3	Submission of final Feasibility Report	5%
4	Submission of final Land Acquisition Report	10%
5	Submission of clearance proposals	5%
6	Submission of Draft DPR	10%
7	Approval of Final DPR	10%
8	Approval of Technical Schedules	5%
9	3D publication	10%
10	Clearance – Stage I Approval	5%
11	Clearance – Stage II/Final Approval	5%
12	Retention to be released after 3 years	10%
	Total:	100%
13	Bonus on submission of draft 3A within 1 month of alignment finalization	2.5% bonus
14	Bonus on submission of draft clearance proposals within 1 month of alignment finalization	2.5% bonus

## **Enclosure-I**

## Deleted.

		losure-	П
Fr	16	INCLIFA-	
		LUJUI C	

Deleted.

## **Enclosure-III**

## Schedule for submission of Reports and Documents

Stage No.	Activity	No. of copies	Time Period in days from date of commencement
1	Monthly Reports	3	By 10 <sup>th</sup> day of every month
2	Inception Report  (i) Draft Inception Report including QAP document	3	14
	(ii) Inception Report including QAP document	3	20
3	F.S. REPORT  i) Draft Feasibility Study Report including option study report including draft 3(a) report	4	40
	ii) Comments of client	1	50
	iii) Final Feasibility Study Report		
	incorporating compliance of comments of Client	4	60
4	LA & Clearances I Report		
	i) Draft LA & Clearances I Report including draft 3(A) report	4	70
	ii) Comments of client	1	80
	iii) Final LA & Clearances I Report incorporating compliance of comments of	4	90
	Client		
5	Detailed Project Report  i) Draft DPR	4	120
	ii) Comments of client	1	130
	iii) Final DPR incorporating compliance of comments of Client	6	140

6	Technical Schedules		
	i) Draft Technical Schedules	4	145
	ii) Comments of client	1	150
	iii) Final technical schedule	6	155
7	Land Acquisition II	4	170
	Submission of draft 3D publication report		
8	Land Acquisition III, Award determination (3G)		175
9	Project Clearances & LA IV Report	6	180
	Approval of Project clearances from	Original	
	Concerned agencies e.g. from MOEF; Rly	letters from	
	for approval of GAD and detail engineering	the	
	drawing of ROB/RUB; Irrigation Dept., Utility Report and Possession of Land	concerned	
	Curry report and rossession of Land	agencies and 5 photocopies of	
		each	

The checklist for different stages of submission of report has been enclosed as under and the same shall be appended with proper references and page numbering. The checklist/s shall be appended with the report without which no payment shall be made.

### Enclosure IV: Formats for submission of Reports and Documents

#### 1. Standard formats for deliverables

- During the course of the assignment to prepare detailed project report, several reports, drawings and documents will need to be submitted by the consultants to NHIDCL.
- viii. For the purposes of submission, format requirements have been laid out for some of the reports and drawing deliverables in this enclosure, which shall be adhered to strictly
- ix. In addition, consultants are to align and agree with NHIDCL officials the format of submission for all reports, during the inception stage as mentioned in clause 10.2 of this terms of reference

#### 2 Format for submission of report deliverables

#### 2.1 Printed hard copies of reports

- i. All reports and documents shall be submitted in both printed hard copy and digital formats
- ii. For hard copies, the consultant shall submit bound volumes (and not in spiral binding form) after completion of each stage of work as per the schedule and in the number of copies as given in Enclosure III

### 2.2 Digital copies of reports

- 1. Every report shall also be submitted in digital format to the authority in the following formats:
- i. The final report as submitted in the portable document format (.pdf)
- ii. An editable document in the relevant Open Document Format for Office Applications (ODF) and if available the relevant Microsoft Office document format (MS Office)
- iii. All tables and models used to and referred to in the reports shall also be submitted as spread-sheets in the relevant ODF format and MS Office format
- iv. The digital copies of reports shall be submitted in the form of removable storage devices (CD or USB pen drive) and also hosted on a secured online document storage and retrieval platform as described in clause 2 Data products
- 2. The removable storage device submitted at each deliverable stage shall contain:
- i. Reports for that stage
- ii. All draft and final reports previously submitted
- iii. Correspondence with NHIDCL
- iv. Clients' comments on submitted reports
- v. Any communication, letters and approvals to and from other government and local agencies and any other relevant body
- vi. An updated index of all the contents on the removable storage device
- Every submission will be accompanied by a table of contents and index of all documents submitted for ease of reference

#### 2 Data products

1 During the course of the assignment, the consultant shall perform several surveys and collect data that will be used for the design of the road and delivered to the client.

- 2. Consultants are encouraged to keep commonly available software and data packages, and typical uses for data while deciding final formats of data within the constraints of this document or where a format has not been defined
- 3. As required in clause Error! Reference source not found. Error! Reference source not found., consultants are required to agree with NHIDCL all actual data formats proposed to be used for the project
- 4. In order to standardize data formats and simplify hand over and re-use of data, some requirements for minimum content and format are laid down below.

	Data product	Contents required (definition)	Data format	
Sr No	•	Contents required (definition)	Data format	
0	Formats to be used	List of data, drawing and design outputs, reporting format, digital format suggested, key data to be included (column headers), units and system to be used	CSV or ODF sheet, .xlsx optional	
1	Traffic surveys			
1.1	List of traffic survey points	Point no, location coordinates (lat, long), location of survey point, chainage, no lanes/type of junction, type of survey, date of survey, length of survey, any commentary, equipment/technique used, link to survey output	CSV or ODF sheet, .xlsx optional	
1.2	Classified traffic volume count survey	Survey point, survey location, location id (ihmcl), no of lanes, chainage, location coordinates (lat, long), date, time and period of survey	Raw data: IHMCL Traffic survey data format Processed, corrected with AADT: CSV or ODF sheet, .xlsx optional	
1.3	O-D, turning movement, axle load and other surveys	Survey point, survey location, location lat, long, chainage, date, time, period of survey, technique/equipment used in addition to the survey data itself	CSV or ODF sheet, .xlsx optional	
2	Engineering survey	s and investigations		
2.1	Raw DGPS data	Notes must contain Date, time of survey, equipment used, corrections applied is any. Data: Survey benchmarks, benchmark points, location data points	CSV or ODF, Receiver Independent Exchange Format (RINEX)	
2.2	Deleted.			
2.3	Deleted.			
2.4	Video	Traverse video of entire project length	Audio video interleave (.avi) σ MPEG-4 file (.mp4)	

Sr No	Data product	Contents required (definition)	Data format	
2.5	Topographic map + contours	1:1000 scale map with 50 cm contours with roadway marked on maps	Contours: geo- referenced shape files (.shp)or .dxf files, .dwg/.dgn files options	
2.6	Deleted.			
2.7	Longitudinal and cross sections	Location of cross section - existing, design chainage, lat, long, Cross section drawing	.dxf files, .dwg/.dgn files options	
2.8	As-is road map	3D digital map of as-is project highway containing earth surface, road layers, utilities, buildings and trees with feature data extracted and mapped in layers, marked on the map and tabulated data provided separately. All road, surface, sub surface inventory, pavement investigation and soil survey data to be super-imposed as layers	Digital terrain model and maps in LandXML/.dxf format, .dwg/.dgn files options Separate CSV or ODF sheet, .xlsx optional of feature data in addition to map	
2.9	Details of utility	type of utility, no, class and category of utility installation (e.g. 66 kV xlpe), location, distance from centerline, user agency	CSV or ODF sheet, .xlsx optional	
2.10	Utility maps	geo-referenced schematic map, existing and design road centerline, type of utility, size, no, class and category	georeferenced shape files (.shp) and drawings in .dxf format	
2.11	Road inventory surveys	All data as required in clause 4.11.3.1, geo-referencing for each row of data in lat, long form	CSV or ODF sheet	
2.12	Pavement investigation	Test pit reference id, location, chainage, geo-reference (lat, long), pavemet composition - layer no, material type, thickness, sub grade type, and condition	CSV or ODF sheet	
2.13	Pavement condition survey	Data as required in clause 4.11.3.2, along with location/chainage and geo-reference for all data	CSV or ODF sheet	
2.14	Pavement structural strength	FWD results as per IRC guidelines, geo-referencing for test points	CSV or ODF sheet	
2.15	Sub-grade and soil strength	In the format of the testing lab, summary details must be tabulated and must include: test pit no, location, chianage, lat/long, date, time of test, tester/lab details, in-situ density, moisture content, field CBR, characterization, in-lab moisture and density, lab CBR	Summary in CSV or ODF sheet	
3	Proposed roadway designs			

Sr No	Data product	Contents required (definition)	Data format
3.1	geometry	Georeferenced centerlinehorizontal and vertical alignment for the road To be presented superimposed on surface map, satellite imagery and digital elevation model of region	Geometry or shape files : .shp/.dxf, .dwg/.dgn files options
3.2	Final alignment geometry	Georeferenced centerline horizontal and vertical alignment for the road. Additional detail on lanes, super elevation, junctions, structures, under/overpasses, PUP/CUP, wayside amenities etc	Geometry or shape files : .shp/.dxf, .dwg/.dgn files options
3.3	Proposed roadway model	Proposed digital roadway model and design data- including embankment, road way, road layers, roadside amenities, signals, road furniture, markings and other construction elements in 3D	.dxf/.dtm
3.4	Proposed structures	Geo referenced location and alignment, 3D model of structure and appurtenances, cross section, plan and profile drawings for construction as per IRC	.dxf/.dtm
3.5	Proposed highway cross sections	Roadway cross sections for homogenous sections	.dxf files Digital surface model in .dxf format
4	Other deliverables		
4.1	Deleted.		
4.2	Deleted.		

### 3 Online hosting and archival of deliverables

### 3.1 Hosting deliverables online

- i. The consultant shall store all deliverables from this assignment on a secure online file hosting platform that is remotely accessible by authorised users on the world wide web
- ii. The consultant shall provide read only access to all relevant officers of NHIDCL and provide further access to additional users as and when requested by NHIDCL
- iii. Consultant shall provide a point of contact for access to these files, solving any technical issues and shall respond to all requests in a timely manner
- iv. Consultant shall ensure that the files are hosted in a platform that conforms to any file hosting and file sharing security standards as may be laid down by the government of India

#### 3.2 Deliverables to be hosted

- i. Data and deliverables to be hosted in an online accessible format shall include but not be limited to:
- ii. All draft and final deliverables in the digital formats prescribed in this TOR and in file formats in wide use where formats are not specified
- iii. Data, images and videos from all surveys and investigations conducted of this enclosure
- iv. All correspondence to and from NHIDCL including clients' comments on submitted reports
- v. Any communication, letters and approvals to and from other government local agencies and any other relevant body
- vi. The platform shall also contain an index and table of contents of information being hosted for ease of access and use

### 33 Time period and costs

- i. Access to above mentioned files will be provided till the end of construction (final commercial operations date of contractor/ concessionaire) of all packages that form a part of this assignment at the cost of the consultant
- ii. Access to additional users shall also be at no additional cost to the authority

#### **APPENDIX-II**

### **Proof of Eligibility**

### Form-E1

Letter of Proposal (On Applicant's letter head)

(Date and Reference) To, *******
******* ******
Sub: Appointment of Consultant for preparation of Detailed Project Report for
Dear Sir,
With reference to your RFP Document dated, I/we i.e. M/s(Name of Bidder) having examined all relevant documents and understood their contents, hereby submit our Proposal for selection as Consultant. The proposal is unconditional and unqualified.

- 2. All information provided in the Proposal and in the Appendices **and uploaded on INFRACON** is true and correct and all documents accompanying such Proposal are true copies of their respective originals. Our INFRACON Team ID is......
- 3. This statement is made for the express purpose of appointment as the Consultant for the aforesaid Project.
- 4. I/We shall make available to the Authority any additional information it may deem necessary or require for supplementing or authenticating the Proposal.
- 5. I/We acknowledge the right of the authority to reject our application without assigning any reason or otherwise and hereby waive our right to challenge the same on any account whatsoever.
- 6. I/We certify that in the last three years, we or any of our Associates have neither failed to perform on any contract, as evidenced by imposition of a penalty by an arbitral or judicial authority or a judicial pronouncement or arbitration award against the Applicant, nor been expelled from any project or contract by any public authority nor have had any contract terminated by any public authority for breach on our part.
- 7. I/We understand that you may cancel the Selection Process at any time and that you are neither bound to accept any Proposal that you may receive nor to select the Consultant, without incurring any liability to the Applicants in accordance with Clause 1.7 of the RFP document.

- 8. I/We declare that we/any member of the consortium, are/is not a Member of any other Consortium applying for Selection as a Consultant.
- 9. I/We certify that in regard to matters other that security and integrity of the country, we or any of our Associates have not been convicted by a Court of Law or indicted or adverse orders passed by a regulatory authority which would cast a doubt on our ability to undertake the Consultancy for the Project or which relates to a grave offence that outrages the moral sense of the community.
- 10. I/We further certify that in regard to matters relating to security and integrity of the country, we have not been charge-sheeted by any agency of the Government or convicted by a Court of Law for any offence committed by us or by any of our Associates.
- 11. I/We further certify that no investigation by a regulatory authority is pending either against us or against our Associates or against our CEO or any of our Directors/Managers/employees.
- 12. I/We hereby irrevocably waive any right or remedy which we may have at any stage at law or howsoever otherwise arising to challenge or question any decision taken by the Authority [and/ or the Government of India] in connection with the selection of Consultant or in connection with the Selection Process itself in respect of the above mentioned Project.
- 13. The Bid Security of Rs. \*\*\*\*\* (Rupees \*\*\*\*\*) in the form of a Bank Guarantee is attached, in accordance with the RFP document.
- 14. I/We agree and understand that the proposal is subject to the provisions of the RFP document. In no case, shall I/we have any claim or right of whatsoever nature if the Consultancy for the Project is not awarded to me/us or our proposal is not opened or rejected.
- 15. I/We agree to keep this valid for 120 (One hundred and twenty) days from the Proposal Due Date specified in the RFP.
- 16. A Power of Attorney in favor of the authorized signatory to sign and submit this Proposal and documents is attached herewith.
- 17. In the event of my/our firm/consortium being selected as the Consultant, I/we agree to enter into any Agreement in accordance with the form Appendix V of the RFP. We agree not to seek any changes in the aforesaid form and agree to abide by the same.
- 18. I/We have studied RFP and all other documents carefully and also surveyed the Project site. We understand that except to the extent as expressly set forth in the Agreement, we shall have no claim, right or title arising out of and documents or information provided to us by the Authority or in respect of any matter arising out of or concerning or relating to the Selection Process including the award of Consultancy.
- 19. The Proof of Eligibility and Technical proposal are being submitted in separate covers in hard copy and they are being submitted online also. Financial Proposal is being submitted online only. This Proof of Eligibility read with Technical Proposal and Financial

Proposal shall constitute the Application which shall be binding on us.

20. I/We agree and undertake to abide by all the terms and conditions of the RFP Document. In witness thereof, I/we submit this Proposal under and in accordance with the terms of the RFP Document.

Yours faithfully, (Signature, name and designation of the authorized signatory) (Name and seal of the Applicant/Lead Member)

## Appendix- II

Form-E2/T3

**Deleted** 

## **APPENDIX-II**

Form- E3

Deleted

#### Form- E4

#### BANK GUARANTEE FORMAT FOR BID SECURITY

(To be stamped in accordance with Stamp Act if any, of the country of issuing bank)

Ref.: <b>Tender No.</b> Bank Guarantee: Date:	, dated		
WHEREAS,submitted his bid dated (hereinafter called "the Bi [Name of Bank] of (hereinafter called "the called "the Employer") in payment will and truly t successors and assigns by this day of 201	(date) for to did. KNOW ALL MEN by [Name of Countre Bank") are bound use the sum of Rs to be made to the saithese presents. SEALEI	the <b>Tender No.</b> these presents that V y] having our registered into [name of emp (RupeesLa d employer the ban	, dated Ve,ed office at loyer] (hereinafter akhs only) for which k binds himself, his
THE CONDITIONS of this ob	oligation are:		

- 1. If the Bidder withdraws his Bid during the period of bid validity specified in the Bid document; or
- 2. If the Bidder does not accept the correction of arithmetical errors of his Bid Price in accordance with the Instructions to Bidder; or
- 3. If the Bidder having been notified of the acceptance of his Bid by the Employer during the period of bid validity,
  - a. fails or refuses to execute the Form of Agreement in accordance with the Instructions to Bidders, if required; or
  - b. fails or refuses to furnish the Performance Security, in accordance with the letter of invitation, we undertake to pay the Employer up to the above amount upon receipt of his first written demand, without the Employer having to substantiate his demand, provided that in his demand the Employer will note that the amount claimed by him is due to him owing to the occurrence of one or any of the conditions, specifying the occurred condition or conditions.

This Guarantee will remain in force up to and including the date 150 (one hundred and fifty) days after the deadline for submission of bids as such deadline is stated in the Instructions to Bidders or as it may be extended by the Employer, notice of which extension(s) to the Bank is hereby waived. Any demand in respect of this Guarantee should reach the Bank not later than the above date.

This guarantee shall also be operatable at our...... Branch at New Delhi, from whom, confirmation regarding the issue of this guarantee or extension / renewal thereof shall be made available on demand. In the contingency of this guarantee being invoked and payment

thereunder claimed, the said branch shall accept such invocation letter and make payment of amounts so demanded under the said invocation. The liability of Bank under this Guarantee shall not be affected by any change in the constitution of the consultant or the bank.

Notwithstanding ar	ything contain	ed herein befo	ore, our liability under this guarantee is
restricted to	Rs	(Rs	) and the guarantee shall remain
valid till Un	less a claim or a	a demand in wr	iting is made upon us on or before
ll our liability und	er this guarant	ee shall cease	
DATE			
SIGNATURE OF T			
SEAL OF THE BAN			
SIGNATURE OF TH	IE WITNESS		
Name and Addres	s of the Witnes	s	
The bank guarant	ee shall he issu	ed by a bank (I	Nationalized/Scheduled) located in India

#### **NOTE:**

- (i) The bank guarantee(s) contains the name, designation and code number of the officer(s) signing the guarantee(s).
- (ii) The address, telephone no. and other details of the Head Office of the Bank as well as of issuing branch should be mentioned on the covering letter of issuing Branch.
- (iii) The bank guarantee for Rs. 10,000 and above is signed by at least two officials (or as per the norms prescribed by the RBI in this regard).
- (iv) The Guarantor shall also send information about the issuance of this Guarantee through SFMS gateway to the Syndicate Bank, Transport Bhawan, New Delhi-110001(SYNB0009062) to aid the process of confirmation of Bank Guarantee.

## Appendix III

## (Form-T1)

TECHNICAL PROPOSAL					
From	То				
Sir:					
Subject: Consultancy Service for					
Regarding Technical Proposal					
I/We ID)Consultant/Consultancy F my/our firm/organization as Consulta		enclose Techni		l for selec	Team ction of
	١	ours faithfully	,		
		Signature	:		
		Full Name	:		
		Designation	:		
		Address	:		
(Authorized Representative)					

(Form-T-2)

Deleted.

## **Deleted**

#### **SITE APPRECIATION**

Shall give details of site as per actual site visit and data provided in RFP and collected from site supported by photographs to demonstrate that responsible personnel of the Consultant have actually visited the site and familiarized with the salient details/complexities and scope of services.

## Form- T5

Deleted.

### APPROACH PAPER ON METHODOLOGY PROPOSED FOR PERFORMING THE <u>ASSIGNMENT</u>

The approach and methodology will be detailed precisely under the following topics.

- Methodology for services, surveying, data collection [not more than 2 pages]
   and analysis
- 2) Quality Assurance system for consultancy assignment [not more than 1 page]
- 3) The key challenges foreseen and proposed solutions will be detailed precisely under the following topics
  - a) proposed alignment and bypass required
  - b) land acquisition requirements
  - c) access control, rehabilitation of existing road, drainage and utilities
  - d) adoption of superior technology along with proof (to be submitted in Form T9)

Replies to items 3) a) to c) should be limited to six A4 size pages in 1.5 space and 12 font including photographs, if any

### **Details of Material Testing Facility**

- 1. State whether the Applicant has in-house Material Testing Facility Available / Outsourced / Not Available
- 2. In case answer to 1 is Available, attach a list of Lab equipment and facility for testing of materials and location of laboratory
- 3. In case laboratory is located at a distance of more than 400 km from the project site, state arrangements made / proposed to be made for testing of materials
- 4. In case answer to 1 is Outsourced / Not Available state arrangements made / proposed to be made for testing of materials.

### Facility for Field investigation and Testing

- 1. State whether the Applicant has in-house Facility for
- a) Geo-technical investigation Available (created in-house at site)/ Outsourced/ Not Available
- b) Pavement investigation Available (created in-house at site)/ Outsourced/ Not Available
- 2. In case answer to 1 is Available (created in-house at site) a list of field investigation and testing equipments available in-house
- 3. In case answer to 1 is Outsourced/ Not Available arrangements made/proposed to be made for each of above Field investigation and testing
- 4. Deleted.

### Form- T9

# Office Equipment and software

Attach a list of office equipment and software owned by the Applicant

Form- T10

Deleted.

# UNDERTAKING FROM THE KEY PERSONNEL

Deleted.

### UNDERTAKING FROM THE CONSULTING FIRM

Name of Work:
The undersigned on behalf of
Date:
Place: Signature (Name of Authorized Signatory)

# **Appendix IV**

### (Form-I)

### FINANCIAL PROPOSAL (To be uploaded Online only)

FRC	DM: 	TO:
Sir: Subject: Consultants' Serv		
Regarding	Price Proposal	
I/Weherewith enclose *Financial Proceedings of the Consultant for	roposal for selection of	ltant/consultancy firn my/our firm/organization a
Signature		Yours faithfully,
Full Name		
Designation		Address
(Authorized Representative)		

\*The Financial proposal is to be filled strictly as per the format given in RFP.

### Format of Financial Proposal Summary of Cost in Local Currency

No.	Description	Amount (INR)
<u> </u>		
	Remuneration for Technical Staff (inclusive of per diem allowance)	
II	Remuneration of Support Staff (inclusive of per diem allowance)	
III	Transportation	
IV	Duty Travel to Site	
V	Office Rent	
VI	Office Supplies, Utilities and Communication	
VII	Office Furniture and Equipment (Rental)	
VIII	Reports and Document Printing	
IX A B	Surveys & Investigations Topographical Survey Investigations	
Х	Cost of supply and fixing Boundary Pillars	
XI	Land Acquisition Team including support staff and logistics/transportation	
	Total Cost Net of Tax :	
Taxes and Duties	I. Income Tax (Expatriate)     II. Other Taxes/ Duties (if any) Specify clearly	
	Total Cost net of Goods & Service tax*	
-	Goods & Service Tax	
	TOTAL COSTS (Including GST)	

<sup>\*</sup> Total Cost Net of Goods & Service Tax shall be considered for financial evaluation

Note: No escalation will be payable during the services Insurances shall not be allowed separately. These will be incidental to main items. Rates for all items shall be quoted in figures as well as in words.

(Form-III)

# **Estimate of Local Currency Costs**

# Remuneration for Technical Staff (including per diem allowance)

Sr. No.	Decription	Rate (INR)	Staff Months	Amt.(INR)
1	Key Professional Staff Remuneration			
	Sub-Total:			
2	Sub-Professional Staff Remuneration			
	Sub-Total:			
	TOTAL(1+2)			

II. Support Staff

No.	Descripton	Staff Months	Billing Rate( INR)	Amount ( INR)
1	Remuneration of Support Staff			
			Total :	

III. Transportation (Fixed costs)

S. No	Description	Qty.	Nos. of months	Rate/ Month (INR)	Amount (INR)
1	The vehicles provided by the Consultants shall include the cost for rental, drivers, operation, maintenance, repairs, insurance, etc.  A. For use of consultants				
	Total				

# IV. Duty Travel to Site (Fixed Costs)

Trips	No.	Rate (INR)	Amount (INR)

### V. Office Rent (Fixed Costs)

The rent cost includes maintenance, cleaning, repairs, etc. months  $\boldsymbol{\boldsymbol{x}}$ 

_		
	OTAL	

VI. Office Supplies, Utilities and Communication (Fixed Costs)

No.	Item	Months	Monthly Rate (INR)	Amount In INR.
1	Office Supplies Drafting			
2	Supplies Computer			
3	Running Costs			
	Domestic and International Communication			

-----TOTAL:

# VII. Office Furniture and Equipment (Rental)

No.	Description	Unit	Quantity	Rate (INR)	Amount (INR)
1	Office Furniture and Equipment		LS		
				Total	

### VIII. Reports and Document Printing

No.	Description	No. of Copies	Rate per Copy (INR.)	Amount (INR.)
1	Monthly Report	3 Per Month		
2	Inception Report & QAP	3		
3	Environment and Social Impact Screening Report	4		
4	Draft Feasibility Report	4		
5	Final Feasibility Report	6		
6	Strip Plan with L.A. Reports	6		
7	Draft LA and Clearances I Report	4		
8	Final LA and Clearances I Report	4		
9	Draft Environmental Assessment report & RAP	4		
10	Final Environmental Assessment report & RAP	6		
11	Draft Detailed Design Report & Drawings etc.	4		
	Draft EMP	4		

12	Draft Bidding Documents	4		
13	Final Detailed Project Report with Bill of	6		
	Quantities, Cost Estimates, Updated Drawings			
	etc.			
	Final EMP	6		
14	Final Bidding Documents	6		
	. man Brauming Becamerite			
15	Draft 3(a) ,3(A) and 3(D) notification for land	9		
	acquisition ( 3 copies each)			
16	LA & Clearances II Report	6		
			Total	

# IX. Survey and Investigation

A. Topographical Survey (Fixed Rate)

No.	Item	•	Amount (INR)
1	Topographic Survey including hire charges for equipment and supply of survey teams comprising of project survey filed staff etc. inclusive of cost of materials, labourer		

# B. Investigation (Fixed cost)

No.	Description		Quantity	Amount (INR)
1	Road and Bridge Inventory			
2	FWD Test and Pavement Evaluation	on		
3	Roughness Survey			
4	Axle Load Survey			
5	Material Survey and Investigation			
6	Sub-grade Investigation			
7	Traffic Survey			
8	Socio-economic & Census Survey	/Studies		
9	Land Acquisition Studies			
10	Any other investigations/surveys			
11	*Sub-Soil Investigation (Boring)	Rate	Qty.	Amount (Rs)
	a) Boring in all type of soils (other than hard rock)		1500m (for projects of length < 110 km) or 2000m (for projects of length > 110 km)	

b)Boring in hard rock	200m (for
	projects of
	length < 110
	km) or 300m
	(for projects of
	length > 110
	km)
Total	

Note: \* Quantities of borings shall be taken from Financial Proposal Form No. V. For financial evaluation, these quantities and rates quoted by the consultant will be considered. However, Payment shall be made on the actual quantity of boring at rates quoted above by the Consultant, which may be substantially more or less than the estimated quantities.

### X. Cost of supply and fixing Boundary Pillars

Item	Amount (INR.)
Procuring and fixing boundary pillars and its installation, complete in all respect as per IRC:25,1967:	
Wherever the proposed alignment follows the existing alignment, the boundary pillars shall be fixed at an interval of 200m on either side of proposed Right of Way. Wherever there is a proposal of realignment of the existing Highway and/or construction of New Bypasses,	
Consultant shall fix boundary pillars along the proposed alignment on the extreme boundary on either side of the project Highway at 50 m interval. (lumpsum)	

(Form-IV)

Deleted.

# TENTATIVE QUANTITIES FOR SUB-SOIL INVESTIGATIONS

# (BORING) (Form V)

S. No		No.	Approximate Length (in Km.)	Package No.	State	Cumulative Ter Quantities ( in In Soils other than hard rock	m ) In hard
1	For projects of length < 110 km <details of="" packages=""></details>	•	As per List at Annex-1	As per List at Annex-1	As per List at Annex-1	1500	200
2	J		As per List at Annex-1	As per List at Annex-1	As per List at Annex-1	2000	300

### **DETAILED EVALUATION CRITERIA**

### 1. First Stage Evaluation -Proof of Eligibility (Para 12.1 of Data Sheet)

### 1.1 Eligibility criteria for sole applicant firm.

The sole applicant firm shall satisfy the following 3 (Three) Nos. of criteria.

(a) & (b) Firm should have experience of preparation of DPR/Feasibility of 4/6 lane of aggregate length as given below. The firm should have also prepared DPR/Feasibility of at least one project of 4/6 laning of minimum length as indicated below in the last 7 years (i.e. from 2010-11 onwards)

Sr. No.	Package No.	Tentative Length (in km )	Minimum Aggregate Length required (in Km)	Minimum length of a Eligible Project (4/6 lane)		
			DPR/ Feasibility = Tentative Length	DPR = 0.4 x Tentative Length	Feasibility = 0.6 x Tentative Length	
1	2	3	4	5	6	
1	NHIDCL/Chatham- Bambooflat/Pre- feasibility/2016	1.6	1.0	400 mtr	600 mtr	

Note: Similar project means 2/4/6 lane as applicable for the project for which RFP is invited. For 2-lane projects experience of 4/6 lane also to be considered with a multiplication factor of 1.5. Experience of 4/6 lane shall be considered interchangeably for 4/6 laning projects. For 4/6 laning projects, experience of 2 lane will be considered with a multiplication factor of 0.4, but only for those 2 lane projects whose cost of consultancy services was more than Rs. 1.0 Crore.

(c) Annual Average Turn Over for the last 5 years {In cases where, Audited/Certified copy of Balance Sheet for the FY 2016-17 is available, last five years shall be counted from 2012-13 to 2016-17. However, where audited/certified copy of the Balance Sheet for the FY 2016-17 is not available (as certified by the Statutory auditor) then in such cases last five years shall be considered from 2011-12 to 2015-16} of the firm from Consultancy services should be equal to more than Rs.5 crore.

### 1.2 Eligibility criteria for Lead Partner/Other Partner in case of JV.

In case of JV, the Lead Partner should fulfill at least 75% of all eligibility requirements and the other partner shall fulfill at least 50% of all eligibility requirements as given at 1.1 above. Thus a Firm applying as Lead Partner/Other Partner in case of JV/Associate should satisfy the following

(a) & (b) Firm should have experience of preparation of DPR/Feasibility of 4/6 lane of aggregate length as given below. The firm should have also prepared DPR/Feasibility of at least one project of 4/6 laning of minimum length as indicated below in the last 7 years (i.e. from 2010-11 onwards)

S. No.	Package No.	Length	m Aggregate required of easibility km)	Minimun	n length of a Elig	gible Project (4/ 6 lane)		
			D		DPR			
		Lead in JV	Other Partner in JV	Lead in JV	Other Partner in JV	Lead in JV	Other Partner in JV	
1	NHIDCL/Chatham- Bambooflat/Pre- feasibility/2016	0.750	0.500	0.45	0.200	0.300	0.300	

c ) Minimum Annual Average Turn Over for the last 5 years { In cases where, Audited/Certified copy of Balance Sheet for the FY 2016-17 is available, last five years shall be counted from 2012-13 to 2016-17. However, where audited/certified copy of the Balance Sheet for the FY 2016-17 is not available (as certified by the Statutory auditor) then in such cases last five years shall be considered from 2011-12 to 2015-16} of a firm applying as Lead Partner/Other Partner in case of JV from Consultancy services should be as given below:

No.	Mode of Submission by a firm	Annual Average Turn Over for the last 5 years
1	Lead Partner in a JV	Rs.3.75 crore
2	Other Lead partner in a JV	Rs.2.50 crore

**Note:** (i) Weightage to be given when experience by a Firm as Sole Firm/Lead Partner in a JV/Other Partner in a JV/As Associate

No.	Status of the firm in carrying out DPR/ Feasibility Study	Weightage for experience
1	Sole firm	100%
2	Lead partner in a JV	75%
3	Other partner in a JV	50 %
4	As Associate	25%

(ii) The experience of a firm in preparation of DPR for a private Concessionaire/contractor shall not be considered.

### 2. Second Stage Evaluation -Technical Evaluation (Para 12.2 of Data Sheet)

A Firms Relevant Experience (75)

S. No	Description Description	Maximu m Points	Sub- Points
1	Specific experience of the DPR consultancy related to the assignment for eligibility	36	
1.1	Aggregate Length of DPR / Feasibility study of 2/4/6 lane Bridge projects	18	
1.1.1	More than the indicative Length of the package applied for		12
1.1.2	More than 2 times the indicative length of the package applied for		15
1.1.3	More than 3 times the indicative length of the package applied for		18
1.2	DPR for 2/4/6 laning projects each equal to or more than 40 % of indicative length of a package applied for (or Feasibility Study for 2/4/6 laning projects each equal to or more than 60 % of indicative length of a package applied for)	18	
1.2.1	1 project		12
1.2.2	2 projects		15
1.2.3	3 or more projects		18
2	DPR of Bridge having length more than 200 m	12	
2.1	1 bridge		3
2.2	2 bridges		6
2.3	3 bridges		9
2.4	4 or more bridges		12

3	Specific experience of firms in terms of turnover	12	
3.1	Firm's Average Turnover of last 5 years >= 10 crore		12
3.2	Firm Average Turnover of last 5 years >= 5 crore but < 10 crore		9
3.3	Firm Average Turnover of last 5 years < 5 crore		0
4	DPR for special category projects (Special bridges)	15	
4.1	DPR of number of special bridges project having Span length of 100 mtr or more	15	
4.1.1	Span of 100 mtr or more upto 150 mtr		2
4.1.2	Span of 150 mtr or more upto 200 mtr		4
4.1.3	Span of 200 mtr or more upto 250 mtr		11
4.1.4	Span of 250 mtr or more upto 300 mtr		13
4.1.5	Span of 300 mtr or more		15

#### Note:

- **A.** In case feasibility study is a part of DPR services the experience shall be counted in DPR only. In case bridge is included as part of DPR of highway the experience will be (1) and (2)
- **B.** Similar project means 2/4/6 lane as applicable for the project for which RFP is invited. For 2-lane projects experience of 4/6 lane also to be considered with a multiplication factor of 1.5. Experience of 4/6 lane shall be considered interchangeably for 4/6 laning projects. For 4/6 laning projects, experience of 2 lane will be considered with a multiplication factor of 0.4, but only for those 2 lane projects whose cost of consultancy services was more than Rs.1.0 crore

**Note:** (i) Weightage to be given when experience by a Firm as Sole Firm/Lead Partner in a JV/Other Partner in a JV/As Associate

No.	Status of the firm in carrying out DPR/ Feasibility Study	Weightage for experience	
1	Sole firm	100 %	
2	Lead partner in a JV	75%	
3	Other partner in a JV	50 %	
4	As Associate	25%	

(ii) The experience of a firm in preparation of DPR for a private Concessionaire/contractor shall not be considered.

### B. Adequacy of approach and methodology (10)

S. No	Description	Points	
1	Site appreciation	2	
2	Team composition and task assignment	2	
3	Methodology*	6	
3.1	Approach and initial view on project plan including key challenges envisaged and potential solutions for		
3.1.1	Proposed alignment and bypasses required and land acquisition requirements		2
3.1.2	Access control, rehabilitation of existing road, drainage and utilities		2
3.1.3	Adoption of superior technology along with proof of past use vendor association		2
	Total	10	

# C Material testing, survey and investigation, equipment and software proposed to be used (15)

S. No.	Description	Maximum Points	Sub-Points
1	Availability of in-house material testing facility	5	
1.1	Available		5
1.2	Outsourced		
	(a) Through NABL accredited Labs / IIT / NIT labs (b) Other than (a)		4 3
2	Field Investigation Facilities	5	
2.1	Available (Created in-house at site)		5
2.2	Outsourced		
	(a) Through NABL accredited Labs / IIT / NIT labs (b) Other than (a)		4
	, ,		3
3	Office Equipment and Software	5	
3.1	Available		5
3.2	Outsourced		4
3.3	Not Available		0

# **2.4.** Qualification and Competence of the Key Staff for adequacy of the assignment (para 12.2 of Data Sheet and Enclosure II of ToR.

Sr. no.	Key Personnel	Points/Marks
1.	Project Coordinator*	0

<sup>\*</sup>The Project coordinator would be coordinating with NHIDCL and local authorities during execution of the assignment. He shall be B. Tech in Civil Engineering and M. Tech in Structures with atleast 10 years total professional experience in handling Preparation of DPR Bridge Project alongwith minimum one Bridge at with maximum age 60 years.

	Appendix VI
DRAFT CONTRACT AGREEMENT	
Between	
National Highways and Infrastructure Development Corporati	on Ltd.
(Ministry of Road Transport and Highways), New Delhi-75	
And	
M/s in JV with M/s Association with M/s	And in
For	
Consultancy Services for preparation of Detailed Project Report for Description>	< <u>Project</u>

(From km \_\_\_\_\_\_\_ of NH in the State of \_ )

# **CONTENTS**

Sl. No.	Description	Page No. I.
	CONTRACT FORCONSULTANT'S	SERVICES
i	GENERAL CONDITIONS OF CON	TRACT
1.	General Provisions	
1.1 1.2 1.3	Definitions Relation between the Par Law Governing the Contro	
1.4 1.5 1.6	Language Headings Notices	
1.7 1.8 1.9 1.10	Location Authority of Member in C Authorized Representativ Taxes and Duties	
<b>2.</b> 2.1	Commencement, Completion Effectiveness of Contract	n, Modification and Termination of Contract
2.2 2.3 2.4 2.5 2.6 2.7 2.7.1 2.7.2	Termination of Contract f Commencement of Service Expiration of Contract Entire Agreement Modification Force Majeure Definition No Breach of Contract	or Failure to Become Effective res
2.7.3 2.7.4 2.7.5 2.7.6 2.8	Measures to be Taken Extension of Time Payments Consultation Suspenstion	
Sus 2.9 2.9.1	Termination By the Client	
2.9.2 2.9.3 2.9.4 2.9.5 2.9.6	By the Consultants Cessation of Rights and O Cessation of Services Payment upon Terminatio Disputes about Events of	on
3. 3.1 3.1.1 3.1.2 3.2.1	Obligations of the Cons General Standard of Performance Law Governing Services3 Consultants not to Benefit	

3.2.2 3.2.3 3.3 3.4 3.5 3.6 3.7 3.8 3.9 3.10	Consultants and Affiliates not to be otherwise interested in Project Prohibition of Conflicting Activities Confidentiality Liability of the Consultants Insurance to be taken out by the Consultants Accounting, Inspection and Auditing Consultants' Actions requiring Client's prior Approval Reporting Obligations Documents prepared by the Consultants to be the Property of the Client Equipment and Materials furnished by the Client
4. 4.1 4.2 4.3 4.4 4.5 4.6	General Description of Personnel Approval of Personnel Working Hours, Overtime, Leave etc. Removal and/or Replacement of Personnel Resident Project Manager
<b>5.</b> 5.1 5.2 5.3 5.4 5.5	Obligations of the Client Assistance and Exemptions Access to Land Change in the Applicable Law Services, Facilities and Property of the Client Payment
<b>6.</b> 6.1 6.2 6.3	Payment to the Consultants Cost Estimates; Ceiling Amount Currency of Payment Mode of Billing and Payment
<b>7.</b> 7.1 7.2 7.3 7.4	Responsibility for accuracy of the project document General Retention money Penalty Action for deficiency in services
<b>8.</b> 8.1 8.2	Fairness and Good Faith Good Faith Operation of the Contract
<b>9.</b> 9.1 9.2	SETTLEMENT OF DISPUTES Amicable Settlement Dispute Settlement

### III. SPECIAL CONDITIONS OF CONTRACT

### IV. APPENDICES

Appendix A: Terms of reference containing, inter-alia, the Description of the

Services and Reporting Requirements

Appendix B: Consultants' Sub consultants

Appendix C: Deleted

Appendix D: Duties of the Client

Appendix E: Cost Estimate

Appendix F: Copy of Letter of Invitation
Appendix G: Copy of Letter of Acceptance

Appendix H(1): Format for Bank Guarantee for Performance Security for individual

work

Appendix H(2): Deleted

Appendix I: Minutes of the Pre-bid meeting

# DRAFT CONTRACT FOR CONSULTANTS SERVICES

### **CONTRACT FOR CONSULTANTS' SERVICES**

### Consultancy Services for <Project Description>

(	(From km	<b>to</b> km	Of NH in the State of)
month Develo on the	of 2015 ,between,on oppment Corporation Ltd. (Nhe other hand,M/s	the one hand HIDCL), New De	ct") is made on the day of the I, National Highways & Infrastructure this (hereinafter called the "Client") and in JV with (hereinafter called the
WHER (A)	the Client has requested th		to provide certain consulting services as to this Contract (hereinafter called the
(B)		nel and techni	the Client that they have the required cal resources, have agreed to provide set forth in this Contract;
NOW THEREFORE the parties hereto hereby agree as follows:			
1	The following documents at of this Contract:	ttached hereto	shall be deemed to form an integral part
(a) (b) (c)		ditions of co	ontract (hereinafter called "GC"); ntract (hereinafter called "SC"); ces:
Appendix A: Terms of reference containing, inter-alia, the Description of the Services and Reporting Requirements			
Appendix B: Consultants' Sub consultants			
Appendix C: Deleted			
Appendix D: Duties of the Client			
Apper	ndix E: Cost Estimate		
Appendix F: Copy of Letter of Invitation			
Appendix G: Copy of Letter of Acceptance			

Appendix H: Copy of Bank Guarantee for Performance Security

Appendix-I: Minutes of the pre-bid meeting

- 2. The mutual rights and obligations of the Client and the Consultants shall be as set forth in the Contract; in particular:
- (a) The Consultants shall carry out the Services in accordance with the provisions of the Contract; and
- (b) Client shall make payments to the Consultants in accordance with the provisions of the Contract.

IN WITNESS WHEREOF, the Parties hereto have caused this Contract to be signed in their respective names as of the day and year first above written.

FOR AND ON BEHALF OF (National Highways & Infrastructure Development Corporation Ltd. )

Witness

1. Signature

Name Address

By

Authorized Representative

SignatureNameAddress

FOR AND ON BEHALF OF (Consultant)

Witness

1. Signature Name Address

Ву

Authorized Representative

2. Signature Name

Address

# GENERAL CONDITIONS OF CONTRACT

### GENERAL CONDITIONS OF CONTRACT

#### 1. GENERAL PROVISIONS

#### 1.1 Definitions

Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:

- (a) "Applicable Law means the laws and any other instruments having the force of lawin the Government's country as they may be issued and in force from time to time:
- (b) "Contract" means the Contract signed by the Parties, to which these General Conditions of Contract are attached, together with all the documents listed in Clause 1 of such signed Contract;
- (c) "Effective Date" means the date on which this Contract comes into force and effect pursuant to Clause GC 2.1;
- (d) "foreign currency" means any currency other than the currency of the Government;
- (e) "GC" means these General Conditions of Contract;
- (f) "Government" means the Government of India;
- (g) "local currency" means the currency of the Government;
- (h) "Member", in case the Consultants consist of a joint venture or consortium of more than one entity, means any of these entities, and "Members" means all of these entities;
- (i) "Personnel" means persons hired by the Consultants or by any Sub consultant as employees and assigned to the performance of the Services or any part thereof; "foreign Personnel" means such persons who at the time of being so hired had their domicile outside India; and "local Personnel" means such persons who at the time of being so hired had their domicile inside India;
- (j) "Party" means the Client or the Consultants, as the case may be, and Parties means both of them;
- (k) "Services" means the work to be performed by the Consultants pursuant to this Contract for the purposes of the Project, as described in Appendix A hereto;
- (I) "SC" means the Special Conditions of Contract by which these General Conditions of Contract may be amended or supplemented;

- (m) "Sub consultant" means any entity to which the Consultants subcontract any part of the Services in accordance with the provisions of Clause GC 3.7; and
- (n) "Third Party" means any person or entity other than the Government, the Client, the Consultants or a Sub consultant.

#### 1.2 Relation between the Parties

Nothing contained herein shall be construed as establishing a relation of master and servant or of agent and principal as between the Client and the Consultants. The Consultants, subject to this Contract, have complete charge of Personnel performing the Services and shall be fully responsible for the Services performed by them or on their behalf hereunder.

### 1.3 Governing Law and Jurisdiction

This Contract, its meaning and interpretation, and the relation between the Parties shall be governed by the Applicable Laws of India and the Courts at shall have exclusive jurisdiction over matters arising out of or relating to this Agreement.

### 1.4 Language

This Contract has been executed in the language specified in the SC, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.

### 1.5 Table of Contents and Headings

The table of contents, headings or sub-headings in this agreement are for convenience for reference only and shall not be used in, and shall not limit, alter or affect the construction and interpretation of this Contract.

#### 1.6 **Notices**

1.6.1 Any notice, request or consent required or permitted to be given or made pursuant to this Contract shall be in writing. Any such notice, request or consent shall be deemed to have been given or made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent by registered mail, facsimile or e-mail to such Party at the address specified in the SC.

- 1.6.2 Notice will be deemed to be effective as specified in the SC.
- 1.6.3 A party may change its address for notice hereunder by giving the other Party notice of such change pursuant to the provisions listed in the SC with respect to Clause GC 1.6.2.

#### 1.7 Location

The Services shall be performed at such locations as are specified in **Letter of Acceptance** (**Appendix-I**) hereto and, where the location of a particular task is not so specified, at such locations, whether in India or elsewhere, as the Client may approve.

### 1.8 Authority of Member in Charge

In case the Consultants consist of a joint venture of more than one entity, with or without an Associate the Members hereby authorize the entity specified in the SC to act on their behalf in exercising all the Consultants' rights and obligations towards the Client

under this Contract, including without limitation the receiving of instructions and payments from the Client.

### 1.9 Authorized Representatives

Any action required or permitted to be taken, and any document required or permitted to be executed, under this Contract by the Client or the Consultants may be taken or executed by the officials specified in the SC.

#### 1.10 Taxes and Duties

Unless otherwise specified in the SC, the Consultants shall pay all such taxes, duties, fees and other impositions as may be levied under the Applicable Law.

# 2. COMMENCEMENT, COMPLETION, MODIFICATION AND TERMINATION OF CONTRACT

#### 2.1 Effectiveness of Contract

This Contract shall come into force and effect on the date of the Client's notice to the Consultants instructing the Consultants to begin carrying out the Services. This notice shall confirm that the effectiveness conditions, if any, listed in the SC have been met.

### 2.2 Termination of Contract for Failure to Become Effective

If this Contract has not become effective within such time period after the date of the Contract signed by the Parties as shall be specified in the SC, either Party may, by not less than four (4) weeks' written notice to the other Party, declare this Contract to be null and void, and in the event of such a declaration by either Party, neither Party shall have any claim against the other Party with respect hereto.

### 2.3 Commencement of Services

The Consultants shall begin carrying out the Services at the end of such time period after the Effective Date as shall be specified in the SC.

### 2.4 Expiration of Contract

Unless terminated earlier pursuant to Clause GC 2.9 hereof, this Contract shall expire when services have been completed and all payments have been made at the end of such time period after the Effective Date as shall be specified in the SC.

### 2.5 Entire Agreement

This Contract contains all covenants, stipulations and provisions agreed by the Parties. No agent or representative of either Party has authority to make, and the Parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein.

#### 2.6 Modification

Modification of the terms and conditions of this Contract, including any modification of the scope of the Services, may only be made by written agreement between the Parties. Pursuant to Clause GC <u>8.2</u> hereof, however, each party shall give due consideration to any proposals for modification made by the other Party.

### 2.7 Force Majeure

#### 2.7.1 **Definition**

- (a) For the purposes of this Contract, "Force Majeure" means an event which is beyond the reasonable control of a Party, and which makes a Party's performance of its obligations hereunder impossible or so impractical as reasonably to be considered impossible in the circumstances, and includes, but is not limited to, war, riots, civil disorder, earthquake, fire, explosion, storm, flood or other adverse weather conditions, strikes, lockouts or other industrial action (except where such strikes, lockouts or other industrial action are within the power of the Party invoking Force Majeure to prevent), confiscation or any other action by government agencies.
- (b) Force Majeure shall not include (i) any event which is caused by the negligence or intentional action of a Party or such Party's Sub consultants or agents or employees, nor (ii) any event which a diligent Party could reasonably have been expected to both (A) take into account at the time of the conclusion of this Contract and (B) avoid or overcome in the carrying out of its obligations hereunder.
- (c) Force Majeure shall not include insufficiency of funds or failure to make any payment required hereunder.

#### 2.7.2 No Breach of Contract

The failure of a Party to fulfill any of its obligations hereunder shall not be considered to be a breach of, or default under, this Contract insofar as such inability arises from an event of Force Majuere, provided that the Party affected by such an event has taken all reasonable precautions, due care and reasonable alternative measures, all with the objective of carrying out the terms and conditions of this Contract.

### 2.7.3 Measures to be Taken

- (a) A party affected by an event of Force Majeure shall take all reasonable measures to remove such Party's inability to fulfill its obligations hereunder with a minimum of delay.
- (b) A party affected by an event of Force Majuere shall notify the other Party of such event as soon as possible, and in any event not later than fourteen (14) days following the occurrence of such event, providing evidence of the nature and cause of such event, and shall similarly give notice of the restoration of normal conditions as soon as possible.
- (c) The Parties shall take all reasonable measures to minimize the consequences of any event of Force Majeure.

#### 2.7.4 Extension of Time

Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.

### 2.7.5 Payments

During the period of their inability to perform the Services as a result of an event of Force Majeure, the Consultants shall be entitled to be reimbursed for additional costs reasonably and necessarily incurred by them during such period for the purposes of the Services and in reactivating the Services after the end of such period.

#### 2.7.6 Consultation

Not later than thirty (30) days after the Consultants, as the result of an event of Force Majeure, have become unable to perform a material portion of the Services, the Parties shall consult with each other with a view to agreeing on appropriate measures to be taken in the circumstances.

#### 2.8 Suspension

The Client may, by written notice of suspension to the Consultants, suspend all payments to the Consultants hereunder if the Consultants fail to perform any of their obligations under this Contract, including the carrying out of the Services, provided that such notice of suspension (i) shall specify the nature of the failure, and (ii) shall request the Consultants to remedy such failure within a period not exceeding thirty (30) days after receipt by the Consultants of such notice of suspension.

#### 2.9 Termination

#### 2.9.1 By the Client

The Client may, by not less than thirty (30) days' written notice of termination to the Consultants (except in the event listed in paragraph (f) below, for which there shall be a written notice of not less than sixty (60) days), such notice to be given after the occurrence of any of the events specified in paragraphs (a) through (f) of this Clause2.9.1, terminate this Contract:

- (a) if the Consultants fail to remedy a failure in the performance of their obligations are under, as specified in a notice of suspension pursuant to Clause 2.8 hereinabove, within thirty (30) days of receipt of such notice of suspension or within such further period as the Client may have subsequently approved in writing;
- (b) if the Consultants become (or, if the Consultants consist of more than one entity, if any of their Members becomes) insolvent or bankrupt or enter into any agreements with their creditors for relief of debt or take advantage of any law for the benefit of debtors or go into liquidation or receivership whether compulsory or voluntary;
- (c) if the Consultants fail to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause 8 hereof;
- (d) if the Consultants submit to the Client a statement which has a material effect on the rights, obligations or interests of the Client and which the Consultants know to be false;
- (e) if, as the result of Force Majeure, the Consultants are unable to perform a material portion of the Services for a period of not less than sixty (60) days; or
- (f) if the Client, in its sole discretion and for any reason whatsoever, decides to terminate this Contract.

## 2.9.2 By the Consultants

The Consultants may, by not less than thirty (30) day's written notice to the Client, such notice to be given after the occurrence of any of the events specified in paragraphs (a) through (d) of this Clause 2.9.2, terminate this Contract:

- (a) if the Client fails to pay any money due to the Consultants pursuant to this contract and not subject to dispute pursuant to Clause 8 hereof within forty-five(45) days after receiving written notice from the Consultants that such payment is overdue;
- (b) if the Client is in material breach of its obligations pursuant to this Contract and has not remedied the same within forty-five (45) days (or such longer period as the Consultants may have subsequently approved in writing) following the receipt by the Client of the Consultants' notice specifying such breach;
- if, as the result of Force Majeure, the Consultant are unable to perform a material portion of the Services for a period of not less than sixty (60) days; or
- (d) if the Client fails to comply with any final decision reached as a result of arbitration pursuant to Clause 8 hereof.

#### 2.9.3 Cessation of Rights and Obligations

Upon termination of this Contract pursuant to Clauses 2.2 or 2.9 hereof, or upon expiration of this Contract pursuant to Clause 2.4 hereof, all rights and obligations of the Parties hereunder shall cease, except (i) such rights and obligations as may have accrued on the date of termination or expiration, (ii) the obligation of confidentiality set forth in Clause 3.3 hereof, (iii) the Consultant's obligation to permit inspection, copying and auditing of their accounts and records set forth in Clause 3.6 (ii) hereof, and (iv) any right which a Party may have under the Applicable Law.

#### 2.9.4 Cessation of Services

Upon termination of this Contract by notice of either Party to the other pursuant to Clauses 2.9.1 or 2.9.2 hereof, the Consultants shall, immediately upon dispatch or receipt of such notice, take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Consultants and equipment and materials furnished by the Client, the Consultants shall proceed as provided, respectively, by Clauses 3.9 or 3.10 hereof.

#### 2.9.5 Payment upon Termination

Upon termination of this Contract pursuant to Clauses 2.9.1 or 2.9.2 hereof, the Client shall make the following payments to the Consultants (after offsetting against these payments any amount that may be due from the Consultant to the Client):

- (i) remuneration pursuant to Clause 6 hereof for Services satisfactorily performed prior to the effective date of termination.
- (ii) reimbursable expenditures pursuant to Clause 6 hereof for expenditures actually incurred prior to the effective date of termination; and
- (iii) except in the case of termination pursuant to paragraphs (a) through (d) of Clause 2.9.1 hereof, reimbursement of any reasonable cost incident to the prompt and orderly termination of the Contract including the cost of the return travel of the Consultants' personnel and their eligible dependents.

#### 2.9.6 Disputes about Events of Termination

If either Party disputes whether an event specified in paragraphs (a) through (e) of Clause 2.9.1 or in Clause 2.9.2 hereof has occurred, such Party may, within forty-five (45) days after receipt of notice of termination from the other Party, refer the matter to arbitration pursuant to Clause 8 hereof, and this Contract shall not be terminated on account of such event except in accordance with the terms of any resulting arbitral award.

#### 3. OBLIGATIONS OF THE CONSULTANTS

#### 3.1 General

#### 3.1.1 Standard of Performance

The Consultants shall perform the Services and carry out their obligations here under with all due diligence, efficiency and economy, in accordance with generally accepted professional techniques and practices, and shall observe sound management practices, and employ appropriate advanced technology and safe and effective equipment, machinery, materials and methods. The Consultants shall always act, in respect of any matter relating to this Contract or to the Services, as faithful advisers to the Client, and shall at all times support and safeguard the Client's legitimate interests in any dealings with Sub consultants or Third Parties.

#### 3.1.2 Law Governing Services

The Consultants shall perform the Services in accordance with the Applicable Law and shall take all practicable steps to ensure that any Sub consultants, as well as the Personnel and agents of the Consultants and any Sub consultants, comply with the Applicable Law. The Client shall advise the Consultants in writing of relevant local customs and the Consultants shall, after such notifications, respect such customs.

#### 3.2 Conflict of Interests

#### 3.2.1 Consultants not to Benefit from Commissions, Discounts, etc.

The remuneration of the Consultants pursuant to Clause 6 hereof shall constitute the Consultants' sole remuneration in connection with this Contract or the Services and the Consultants shall not accept for their own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or to the Services or in the Discharge of their obligations hereunder, and the Consultants shall use their best efforts to ensure that any Sub consultants, as well as the Personnel and agents of either of them, similarly shall not receive any such additional remuneration.

#### 3.2.2 Consultants and Affiliates not to be otherwise interested in Project

The Consultants agree that, during the term of this Contract and after its termination, the Consultants and any entity affiliated with the Consultants, as well as any Sub consultant and any entity affiliated with such Sub consultant, shall be disqualified from providing goods, works or services (other than the Services and any continuation thereof) for any project resulting from or closely related to the Services.

#### 3.2.3 **Prohibition of Conflicting Activities**

Neither the Consultants nor their Sub consultants nor the Personnel of either of them shall engage, either directly or indirectly, in any of the following activities:

(a) during the term of this Contract, any business or professional activities in the Government's country which would conflict with the activities assigned to them under this Contract; or

(b) after the termination of this Contract, such other activities as may be specified in the SC.

#### 3.3 Confidentiality

The Consultants, their Sub consultants and the Personnel of either of them shall not, either during the term or within two (2) years after the expiration of this Contract, disclose any proprietary or confidential information relation to the Project, the Services, this Contract or the Client's business or operations without the prior written consent of the Client.

#### 3.4 Liability of the Consultants

Subject to additional provisions, if any, set forth in the SC, the Consultants' liability under this Contract shall be as provided by the Applicable Law.

#### 3.5 Insurance to be taken out by the Consultants

The Consultants (i) shall take out and maintain, and shall cause any Sub consultants to take out and maintain, at their (or the Sub consultants', as the case may be) own cost but on terms and conditions approved by the Client, insurance against the risks, and for the coverage, as shall be specified in the Special Conditions (SC), and (ii) at the Client's request, shall provide evidence to the Client showing that such insurance has been taken out and maintained and that the current premiums therefore have been paid.

#### 3.6 Accounting, Inspection and Auditing

The Consultants (i) shall keep accurate and systematic accounts and records in respect of the Services hereunder, in accordance with internationally accepted accounting principles and in such form and detail as will clearly identify all relevant time charges and cost, and the bases thereof (including the bases of the Consultants' costs and charges), and (ii) shall permit the Client or its designated representative periodically, and up to one year from the expiration or termination of this Contact, to inspect the same and make copies thereof as well as to have them audited by auditors appointed by the Client.

### 3.7 Consultants' Actions requiring Client's prior Approval

The Consultants shall obtain the Client's prior approval in writing before taking any of the following actions:

- (a) Deleted.
- (b) entering into a subcontract for the performance of any part of the Services, it being understood (i)that the selection of the Sub-consultant and the terms and conditions of the subcontract shall have been approved in writing by the Client prior to the execution of the subcontract, and (ii) that the Consultants shall remain fully liable for the performance of the Services by the Sub-consultant and its Personnel pursuant to this Contract;
- (c) any other action that may be specified in the SC.

#### 3.8 Reporting Obligations

The Consultants shall submit to the Client the reports and documents specified in **Appendix A/E** here to, in the form, in the numbers and within the time periods set forth in the said Appendix. Reporting stages, review progress and checklist shall be as reflected in the DPR.

3.9 Documents prepared by the Consultants to be the Property of the Client All plans, drawings, specifications, designs, reports and other documents prepared by the Consultants in performing the Services shall become and remain the property of the Client, and the Consultants shall, not later than upon termination or expiration of this Contract, deliver all such documents to the Client, together with a detailed inventory thereof. The Consultants may retain a copy of such documents. Restrictions about the future use of these documents, shall be as specified in the SC.

#### 3.10 Equipment and Materials furnished by the Client

Equipment and materials made available to the Consultants by the Client, or purchased by the Consultants with funds provided by the Client, shall be the property of the Client and shall be marked accordingly. Upon termination or expiration of this Contract, the Consultants shall make available to the Client an inventory of such equipment and materials and shall dispose of such equipment and materials in accordance with the Client's I instructions. While in possession of such equipment and materials, the Consultants, unless otherwise instructed by the Client in writing, shall insure them in an amount equal to their full replacement value.

#### 4. CONSULTANTS' PERSONNEL

#### 4.1. General.

The Consultants shall employ and provide such qualified and experienced Personnel as are required to carry out the Services.

- 4.2 Deleted.
- 4.3 Deleted.
- 4.4 Deleted.
- 4.5 Deleted.

### 4.6 Resident Project Manager

If required by the SC, the Consultants shall ensure that at all times during the Consultants' performance of the Services in the Government's country a resident project manager, acceptable to the Client, shall take charge of the performance of such Services.

#### 5. OBLIGATION OF THE CLIENT

- 5.1 Assistance and Exemptions unless otherwise specified in the SC, the Client shall use its best efforts to ensure that the Government shall:
- (a) provide the Consultants, Sub consultants and Personnel with work permits and such other documents as shall be necessary to enable the Consultants, Sub consultants or Personnel to perform the Services;
- (b) assist for the Personnel and, if appropriate, their eligible dependents to be provided promptly with all supporting papers for necessary entry and exit visas, residence permits, exchange permits and any other documents required for their stay in India;
- (c) facilitate prompt clearance through customs of any property required for the Services;
- (d)issue to officials, agents and representatives of the Government all such instructions as may be necessary or appropriate for the prompt and effective implementation of the Services;

#### 5.2 Access to Land

The Client warrants that the Consultants shall have, free of charge, unimpeded access to all land in the Government's country in respect of which access is required for the performance of the Services. The Client will be responsible for any damage to such land or any property thereon resulting from such access and will indemnify the Consultants and each of the Personnel in respect of liability for any such damage, unless such damage is caused by the default or negligence of the Consultants or any Sub consultants or the Personnel of either of them.

### 5.3 Change in the Applicable Law

If, after the date of this Contract, there is any change in the Applicable Law with respect to taxes and duties which increases or decreases the cost or reimbursable expenses incurred by the Consultants in performing the Services, then the remuneration and reimbursable expenses otherwise payable to the Consultants under this Contract shall be increased or decreased accordingly by agreement between the Parties hereto, and corresponding adjustments shall be made to the ceiling amounts specified in Clause 6.1(b),

### 5.4 Services, Facilities and Property of the Client

The client shall make available to the Consultants and the Personnel, for the purposes of the Services and free of any charge, the services, facilities and property described in Appendix D at the times and in the manner specified in said Appendix D, provided that if such services, facilities and property shall not be made available to the Consultants as and when so specified, the Parties shall agree on (i) any time extension that may be appropriate to grant to the Consultants for the performance of the Services, (ii) the manner in which the Consultants shall procure any such services, facilities and property from other sources, and (iii) the additional payments, if any, to be made to the Consultants as a result thereof pursuant to Clause 6.1(c) hereinafter.

#### 5.5 Payment

In consideration of the Services performed by the Consultants under this Contract, the Client shall make to the Consultants such payments and in such manner as is provided by Clause 6 of this Contract.

#### 6. PAYMENT TO THE CONSULTANTS

#### 6.1 Cost Estimates: Ceiling Amount

- (a) An abstract of the cost of the Services payable in **local currency (Indian Rupees)** is set forth in **Appendix E.**
- (b) Except as may be otherwise agreed under Clause 2.6 and subject to Clause 6.1(c), the payments under this Contract shall not exceed the ceiling specified in the SC. The Consultants shall notify the Client as soon as cumulative charges incurred for the Services have reached 80% of the ceiling.

(c) Notwithstanding Clause 6.1(b) hereof, if pursuant to Clauses 5.4 hereof, the Parties shall agree that additional payments shall be made to the Consultants in order to cover any necessary additional expenditures not envisaged in the cost estimates referred to in Clause 6.1(a) above, the ceiling set forth in Clause 6.1(b) above shall be increased by the amount or amounts, as the case may be, of any such additional payments.

## 6.2 Currency of Payment

(a) The payment shall be made in Indian Rupees.

### 6.3 Mode of Billing and Payment

Billing and payments in respect of the Services shall be made as follows:-

(a) The Client shall cause to be paid to the Consultants an advance payment as specified in the SC, and as otherwise set forth below. The advance payment will be due after provision by the Consultants to the Client of a bank guarantee by a bank acceptable to the Client in an amount (or amounts) and in a currency (or currencies) specified in the SC, such bank guarantee (i) to remain effective until the advance payment has been fully set off as provided in the SC, and ii) in such form as the Client shall have approved in writing.

#### (b) Payment Schedule

The Consultant will be paid stage-wise as a percentage of the contract value as per the schedule given below:

S. No	Item	Payment as % of contract value
1	Submission of final Inception Report	15%
2	Submission of final Alignment Report	10%
3	Submission of final Feasibility Report	5%
4	Submission of final Land Acquisition Report	10%
5	Submission of clearance proposals	5%
6	Submission of Draft DPR	10%
7	Approval of Final DPR	10%
8	Approval of Technical Schedules	5%
9	3D publication	10%
10	Clearance – Stage I Approval	5%
11	Clearance – Stage II/Final Approval	5%
12	Retention to be released after 3 years	10%
	Total:	100%
13	Bonus on submission of draft 3A within 1 month of alignment finalization	2.5% bonus

14	Bonus on submission of draft clearance proposals within 1 month of alignment	2.5% bonus
	finalization	

**Note:** Consultants have to provide a certificate that all key personnel as envisaged in the Contract Agreement has been actually deployed in the project. They have to submit this certificate at the time of submission of bills to NHIDCL from time to time.

- \* The Concerned Project Director or his authorized representative shall ensure and certify at least 5% test check of all the data collected by the Consultant before releasing the payment to the Consultant.
- (c) No payment shall become eligible for the next stage till the consultant completes to the satisfaction of the client the work pertaining to the preceding stage. The payment for the work of sub-soil investigation (Boring)will be as per plan approved by the client and will be paid as per actual at the rates quoted by the consultants .The payment for the quantity given by the client for boring will be deemed to be included in the above mentioned payment schedule. Any adjustment in the payment to the consultants will be made in the final payment only.
- (d) The Client shall cause the payment of the Consultants in Para 6.4 (b) above as given in schedule of payment within thirty (30) days after the receipt by the Client of bills. Interests at the rate specified in the SC shall become payable as from the above due date on any amount due by, but not paid on, such due date.
- (e) The final payment under this Clause shall be made only after the final report and a final statement, identified as such, shall have been submitted by the Consultants and approved as satisfactory by the Client. The Services shall be deemed completed and finally accepted by the Client and the final report and final statement shall be deemed approved by the Client as satisfactory one hundred and eighty (180) calendar days after receipt of the final report and final statement by the Client unless the Client, within ninety(90) day period, gives written notice to the Consultants specifying in detailed deficiencies in the Services, the final report or final statement. The Consultants shall thereupon promptly make any necessary corrections, and upon completion of such corrections, the foregoing process shall be repeated. Any amount which the Client has paid or caused to be paid in accordance with this Clause in excess of the amounts actually payable in accordance with the provisions of this Contract shall be reimbursed by the Consultants to the Client within thirty (30) days after receipt by the Consultants of notice thereof. Any such claim by the Clientfor reimbursement must be made within twelve (12) calendar months after receipt by the Client of a final report and a final statement approved by the Client in accordance with the above.
- **(f)** All payments under this Contract shall be made to the account of the Consultants specified in the SC.
- **(g)** Efforts need to be made by the Consultant to submit the schedule reports of each road stretchs of a package. However, due to reasons beyond the reasonable control of Consultant, if the schedule submission of reports / documents of each road stretch /s of a

package is not done, the payment shall be made on pro-rata basis.

### 7. Responsibility for Accuracy of Project Documents

#### 7.1 General

- 7.1.1 The Consultant shall be responsible for accuracy of the data collected, by him directly or procured from other agencies/authorities, the designs, drawings, estimates and all other details prepared by him as part of these services. He shall indemnify the Authority against any inaccuracy in the work which might surface during implementation of the project. The Consultant will also be responsible for correcting, at his own cost and risk, the drawings including any re-survey / investigations and correcting layout etc. if required during the execution of the Services.
- 7.1.2 The Consultant shall be fully responsible for the accuracy of design and drawings of the bridges and structures. All the designs and drawings for bridges and structures including all their components shall be fully checked by a Senior Engineer after completion of the designs. All drawings for bridges and structures shall be duly signed by the (a) Designer, (b) Senior Checking Engineer, and (c) Senior Bridge / Structure Expert. The designs and drawings not signed by the three persons mentioned above shall not be accepted. The Consultant shall indemnify the Client against any inaccuracy / deficiency in the designs and drawings of the bridges and structures noticed during the construction and even thereafter and the Client shall bear no responsibility for the accuracy of the designs and drawings submitted by the Consultants.
- 7.1.3 The survey control points established by the Consultant shall be protected by the Consultants till the completion of the Consultancy Services.

#### 7.2. Retention Money

An amount equivalent to 15% of the contract value shall be retained at the end of the contract for accuracy of design and quantities submitted and the same will be released after the completion of civil contract works or after 3 years from completion of consultancy services, whichever is earlier. Out of this 15%, 10% shall be in the form of performance security and 5% shall be the amount retained from Consultancy fee payable to the Consultant.

#### 7.3. Penalty

#### 7.3.1. Penalty for Error/Variation

- i. If variation in project cost occurs due to Change of scope requests of more than 10% of the total project cost as estimated by the consultant and these change of scope requests arise due to deficiencies in the design provided by the consultant, the penalty equivalent to 4% of the contract value shall be imposed. For this purpose retention money equivalent to 4% of the contract value will be forfeited. This shall exclude any additional/deletion of items/works ordered by the client during the execution
- ii. If there is a discrepancy in land to be acquired during the execution of the project upto an extent of +/-5% of the area of land, a penalty equivalent to 3% of the contract value shall be imposed. For this purpose retention money equivalent to 3% of the contract

value will be forfeited. This shall exclude any additional/deletion of items/works ordered by the client during the execution

iii. If there is a variation in utilities shifting payments to be made during the execution of the project upto an extent of +/- 10% of the value estimated by the design consultant, a penalty equivalent to 3% of the contract value shall be imposed. For this purpose retention money equivalent to 3% of the contract value will be forfeited. This shall exclude any additional/deletion of items/works ordered by the client during the execution

iv. For inaccuracies in survey/investigation/design work the penalties shall be

imposed as per details given in Table below:

Sr. No.	Item	Penalty (%age of contract value)
1	Topographic Surveys	0.5 to 1.0
	a) The horizontal alignment does not match with ground condition.	
	b) The cross sections do not match with existing ground.	
	c) The co-ordinates are defective as instruments	
	of desired accuracy not used.	
2	Geotechnical Surveys	0.5 to 1.0
	a) Incomplete surveys	
	b) Data not analyzed properly	
	c) The substrata substantialy different from the	
	actual strata found during construction.	
3	Traffic data found to be varying by more than 25% on resurvey at a	0.2 to 0.5
	later date, unless there are justifiable reasons.	
	Axle load data found to be varying by more than 25% on resurvey at a later	0.20 to 0.5
4	date, unless there are justifiable reasons.	
	Structural Designs found to be unsafe or grossly over	1.0 to 2.0
5		

#### 7.3.2 Penalty for delay

In case of delay in completion of services, a penalty equal to 0.05% of the contract price per day subject to a maximum 5% of the contract value will be imposed and shall be recovered from payments due/performance security. However in case of delay due to reasons beyond the control of the consultant, suitable extension of time will be granted on case to case basis.

- **7.3.3** Total amount of recovery from all penalties shall be limited to 15% of the Consultancy Fee.
- **7.3.4** NHIDCL is in process of evolving performance based rating system for DPR Consultants. Performance of Consultants shall be monitored by NHIDCL and will be taken into account in technical evaluation of future DPR projects. For this purpose, performance of Consultant in the current project shall also be taken into account to create rating of Consultant.

#### 7.4 ACTION FOR DEFICIENCY IN SERVICES

### 7.4.1 Consultants liability towards the Client

Consultant shall be liable to indemnify the client for any direct loss or damage accrued or likely to accrue due to deficiency in service rendered by him.

#### 7.4.2 Warning / Debarring

In addition to the penalty as mentioned in para 7.3, warning may be issued to the erring consultants for minor deficiencies. In the case of major deficiencies in the Detailed Project Report involving time and cost overrun and adverse effect on reputation of NHIDCL, other penal action including debarring for certain period may also be initiated as per policy of NHIDCL.

#### 8. FAIRNESS AND GOOD FAITH

#### 8.1 Good Faith

The Parties undertake to act in good faith with respect to each other's rights under this Contract and to adopt all reasonable measures to ensure the realization of the objectives of this Contract.

#### 8.2 Operation of the Contract

The Parties recognize that it is impractical in this Contract to provide for every contingency which may arise during the life of the Contract, and the Parties hereby agree that it is their intention that this Contract shall operate fairly as between them, and without detriment to the interest of either of them, and that, if during the term of this Contract either Party believes that this Contract is operating unfairly, the Parties will use their best efforts to agree on such action as may be necessary to remove the cause or causes of such unfairness, but no failure to agree on any action pursuant to this Clause shall give rise to a dispute subject to arbitration in accordance with Clause 9 hereof.

#### 9. SETTLEMENT OF DISPUTES

#### 9.1 Amicable Settlement

The Parties shall use their best efforts to settle amicably all disputes arising out of or in connection with this Contract or the interpretation thereof. In case of unsettled dispute arising out of the agreement, either party may require such dispute to be referred to the MD of NHIDCL and the head of the Consultant. The said two Authorities shall meet within 7 (seven) of the date of such request to discuss and attempt to amicably resolve the dispute. If such meeting doesn't take place within the said period or the dispute is not amicably settled within 15 (fifteen) days of such meeting between the said two authorities, either party may refer the dispute through arbitration as per the provisions of the agreement.

#### 9.2 Dispute Settlement

Any dispute between the Parties as to matters arising pursuant to this Contract which cannot be settled amicably within thirty (30) days after receipt by one Party of the other Party's request for such amicable settlement may be submitted by either Party for settlement in accordance with the provisions specified in the SC.

### 10. Change of Scope

The change of Scope on account of variation of total length as well as 4 laned length of project Highway from the indicative length as given at Annex-1 of Letter of Invitation of the RFP shall be dealt as follows

- i) During the course of consultancy services in case it is considered necessary to increase/decrease the scope of services (of total length or 4 laned length as compared to indicative Length as given in the RFP) by the client the same shall be notified by Change of scope notice. Similarly, if the Consultant determines that change of scope is needed, he shall inform of the same to the Client. The Client will examine and shall either reject the proposal or issue change of scope notice.
- ii) The Consultancy fee shall be revised on account of change of scope as below:
  - In case the total length of project increase/ decrease up to 10% of indicative length given in the RFP: No change in Consultancy Fees.
  - In case the increase/ decrease in total length of project is more than 10 % of the indicative length as given in the RFP: The consultancy fee shall be increased/ decreased in the same proportion in which the length of the project road is increased/ decreased beyond 10%.
- **iii)** Length of Bypass / realignment shall not be treated as additionality to the existing length of the highway for the purpose of change / variation in length. Increase/decrease in length on account of bypasses shall not be considered as change of scope. However, the total length of the project highway (including bypasses and realignment) along the finally approved alignment shall be compared with the indicative length in the RFP for the purpose of variation.
- 10.1 The Consultancy fee shall be increased on account of change of scope as below:
- a) In case of Lanes increase in the project after the submission of Final Report: 10% of the original consultancy charges
- b) In case of change of mode of delivery is involved after submission of Final Report / due to revision of specifications / IRC Codes etc.

(i)	Revision of DPR after submission due to changes in IRC	2.5% of the original Consultancy
	codes / specification etc.	charges.
(ii)	Revision of DPR due to changes in mode EPC / BOT / HAM	2.5% of the original Consultancy
	etc	charges.

# SPECIAL CONDITIONS OF CONTRACT

#### **Number of GC Clause**

A. Amendments of, and Supplements to, Clauses in the General Conditions

1.1(a) The words "in the Government's country" are amended to read "in INDIA"

1.4 The language is: English

1.6.1 The addresses are:

For the Client: MD, National Highways & Infrastructure Development

Corporation Ltd,

3<sup>rd</sup> Floor PTI Building, 4 Parliament Street, New Delhi-110001

Attention: National Highways & Infrastructure Development

Corporation Ltd,

3<sup>rd</sup> Floor PTI Building, 4 Parliament Street, New Delhi-110001

For the Consultants:

Attention: Name

Designation Address

Tel: Fax: E-mail address

- 1.6.2 Notice will be deemed to be effective as follows:
- (a) in the case of personal delivery or registered mail, on delivery;
- (b) In the case of facsimiles, 24 hours following confirmed transmission.
- (c) In case of E mail, 24 hours following confirmed transmission.
- 1.8 Entity to Act as Member in charge (In case of Joint Venture of Consultants) with or without an Associate: ......
- 1.9 The Authorized Representatives are:

For the Client: (--)

MD, NHIDCL ( -- )

For the Consultant:

Name

Designation

1.10 The Consultants and the personnel shall pay the taxes, duties, fees, levies and other impositions levied under the existing, amended or enacted laws (prevailing 7 days before the last date of submission of bids) during life of this contract and the Client shall perform such duties in regard to the deduction of such tax as may be lawfully imposed.

#### 2.1 The effectiveness conditions are the following:

- a) The contract has been approved by NHIDCL.
- b) The consultant will furnish within 15 days of the issue of letter of acceptance, an unconditional Bank Guarantee an amount equivalent to 10% of the total contract value to be received by him from a Nationalized Bank, IDBI or ICICI/ICICI Bank/Foreign Bank/EXIM Bank / Any Scheduled Commercial Bank approved by RBI having a net worth of not less than 500 crore as per latest Annual Report of the Bank. In the case of a Foreign Bank (issued by a Branch in India) the net worth in respect of Indian operations shall only be taken into account. In case of Foreign Bank, the BG issued by Foreign Bank should be counter guaranteed by any Nationalized Bank in India. In case of JV, the BG shall be furnished on behalf of the JV or lead partner of JV for an amount equivalent to 10 % of the total contract value to be received by him towards Performance Security valid for a period of *three years* beyond the date of completion of services.
- 2.2 The time period shall be <u>"four months"</u> or such other time period as the parties may agree in writing.
- 2.3 The time period shall be <u>"fifteen days"</u> or such other time period as the Parties may agree in writing.
- 2.4 The time period shall be --- months or such other time period as the parties may agree in writing.
- 3.4 Limitation of the Consultants' Liability towards the Client
- (a) Except in case of negligence or willful misconduct on the part of the Consultants or on the part of any person or firm acting on behalf of the Consultants in carrying out the Services, the Consultants, with respect to damage caused by the Consultants to the Client's property, shall not be liable to the Client:
  - (i) for any indirect or consequential loss or damage; and
- (ii) for any direct loss or damage that exceeds (A) the total payments for Professional Fees and Reimbursable Expenditure made or expected to be made to the Consultants hereunder, or (B) the proceeds the Consultants may be entitled to receive from any insurance maintained by the Consultants to cover such a liability, whichever of (A) or (B) is higher.
- (b) This limitation of liability shall not affect the Consultants' liability, if any, for damage to Third Parties caused by the Consultants or any person or firm acting on behalf of the Consultants in carrying out the Services.
- 3.5 The risks and the coverage shall be as follows:
- (a) Third Party motor vehicle liability insurance as required under Motor Vehicles Act, 1988 in respect of motor vehicles operated in India by the Consultants or their Personnel or any Sub consultants or their Personnel for the period of consultancy.
- (b) Third Party liability insurance with a minimum coverage, for Rs.1.00 million for the period of consultancy.

- (c) (i) The Consultant shall provide to NHIDCL Professional Liability Insurance (PLI) for a period of **Five years** beyond completion of Consultancy services or as per Applicable Law, whichever is higher.
- (ii) The Consultant will maintain at its expense PLI including coverage for errors and omissions caused by Consultant's negligence in the performance of its duties under this agreement, (A) For the amount not exceeding total payments for Professional Fees and Reimbursable Expenditures made or expected to be made to the Consultants hereunder OR (B) the proceeds, the Consultants may be entitled to receive from any insurance maintained by the Consultants to cover such a liability, whichever of (A) or (B) is higher.
- iii) The policy should be issued only from an Insurance Company operating in India.
- iv) The policy must clearly indicate the limit of indemnity in terms of "Any One Accident" (AOA) and "Aggregate limit on the policy" (AOP) and in no case should be for an amount less than stated in the contract.
- v) If the Consultant enters into an agreement with NHIDCL in a joint venture or 'in association', the policy must be procured and provided to NHIDCL by the joint venture/in association entity and not by the individual partners of the joint venture/ association.
- vi) The contract may include a provision thereby the Consultant does not cancel the policy midterm without the consent of NHIDCL. The insurance company may provide an undertaking in this regard.
- (d) Employer's liability and workers' compensation insurance in respect of the Personnel of the Consultants and of any Sub consultant, in accordance with the relevant provisions of the Applicable Law, as well as, with respect to such Personnel, any such life, health, accident, travel or other insurance as may be appropriate; and all insurances and policies should start from the date of commencement of services and remain effective as per relevant requirements of contract agreement.
- 3.9 The Consultants shall not use these documents for purposes unrelated to this contract without the prior written approval of the Client.
- 4.6 To be designated by the Consultant.
- 6.1 (b) The ceiling amount in local currency is Rs\_Excluding Goods & Service Tax)
- 6.3 (a) No advance payment will be made.
- 6.3 (e) The interest rate is: @ 12% per annum
- 6.3 (f) The account is:
- 9.2 Disputes shall be settled by arbitration in accordance with the following provisions:

#### 9.2.1 Selection of Arbitrators

Each dispute submitted by a Party to Arbitration shall be heard by a sole arbitrator. NHIDCL shall within 30 days propose names of five (5) Arbitrators from the list of Arbitrators maintained by SAROD (Society for Affordable Redressal of Disputes) and Consultant shall within 30 days select one name from the list of five and the name so selected by the Consultant shall be the Sole Arbitrator for the matter in dispute. In case NHIDCL delays in providing the list of 5 names, President, SAROD will provide 5 names within 30 days of receipt of reference from aggrieved party in this regard. In case the Consultant fails in selecting one from the list of five, President, SAROD shall select one from the list of five provided by NHIDCL within 30 days of receipt of reference from aggrieved party in this regard.

#### 9.2.2 Rules of Procedure

Arbitration proceedings shall be conducted in accordance with the procedures of the Arbitration and Conciliation (Amendment) Act, 2015 of India unless the Consultant is a foreign national/ firm, where arbitration proceedings shall be conducted in accordance with the rules of procedure of arbitration of the United Nations Commission on International Trade Law (UNCITRAL) as in force on the date of this Contract.

### 9.2.3 Substitute Arbitrators

If for any reason an arbitrator is unable to perform his function, a substitute shall be appointed in the same manner as the original arbitrator.

#### 9.2.4 Qualifications of Arbitrators

The list of five and the sole arbitrator selected pursuant to Clause 8.2.1 hereof shall be expert(s) with extensive experience in relation to the matter in dispute.

#### 9.2.5 Miscellaneous

In any arbitration proceeding hereunder:

(a) Proceedings shall, unless otherwise agreed by the parties be held in Delhi.

The English language shall be the official language for all purposes;

- (b) The decision of sole arbitrator shall be final and binding and shall be enforceable in any court of competent jurisdiction, and the Parties hereby waive any objections to or claims of immunity in respect of such enforcement; and
- (C) Fee structure shall be as given below:

S. No.	Particulars of fee and other charges	Schedule Amount payable per Arbitrator / per case
1.	Arbitrator fee	Rs.25,000/- per day Or Rs.7 lakhs (lump-sum) subject to publishing the Award within 6 months. Or Rs.5 lakhs (lump-sum) subject to publishing the Award after 6 months but before 12 months. Note:- The amount of fees already paid for the days of hearing @ Rs.25,000/- would be adjusted in the lump- sum payment.
2.	Reading Charges	Rs.25,000/- per Arbitrator per case including counter claim.
3.	Secretarial Assistance and Incidental Charges (telephone, fax, postage etc.)	Rs.20,000/-
4.	Charges for Publishing / declaration of the Award	Rs.35,000/-
5.	Other expenses (As of the prescribed co Traveling Expenses Lodging and Boarding	per actuals against bills subject to maximum eiling given below)  Economy class (by air), First class AC (by train) and AC car (by road)  (a) Rs.15,000/- per day (Metro cities)  (b) Rs.7,000/- per day (in other cities)  (c) Rs.3,000/- per day, if any Arbitrator makes own arrangement.
6.	Local Travel	Rs.1,500/- per day

7.	Extra charges for days other than hearing / meeting days (maximum for 2 days)	Rs.5,000/- per day for outstation Arbitrator
Note:	for those members who are meeting.	nd travelling expenses shall be allowed only e residing 100 kms. away from place of i, Kolkata, Bangalore and Hyderabad shall be ties.

# Appendix A

Terms of reference containing, inter-alia, the Description of the Services and Reporting Requirements

# Appendix B

# Consultants' Sub consultants

# Appendix C Deleted.

# Appendix D

# **Duties of the Client**

# Appendix E

# Cost Estimate

# Appendix F: Copy of Letter of Invitation

# Appendix G: Copy of Letter of Acceptance

## Appendix - H (I)

# Format for Bank Guarantee for Performance Security (For individual work) BANK GUARANTEE FOR PERFORMANCE SECURITY

To.

MD, National Highways & Infrastructure Development Corporation Ltd, 3<sup>rd</sup> Floor PTI Building, 4 Parliament Street, New Delhi-110001

In consideration of "National Highways & Infrastructure Development Corporation Ltd" (hereinafter referred as the "Client", which expression shall, unless repugnant to the context or meaning thereof include its successors, administrators and assigns) having awarded to M/s having its office (Hereinafter referred to as the "Consultant" which expression shall repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns), a contract by issue of client's Contract Agreement no. / Letter of Acceptance No. ...... dated ...... and the same having been unequivocally accepted by the Consultant, resulting in a Contract valued at Rs ....../- (Rupees ......) excluding Goods Et service tax for "Consultancy Services for preparation of Detailed Project Report of <Project Description> ......(Total Length -.....) on NH-....in the states of under - Contract Package No. .... (Hereinafter called the "Contract"), and the Consultant having agreed to furnish a Bank Guarantee to the Client as "Performance Security as stipulated by the Client in the said contract for performance of the above Contract amounting to Rs /- (Rupees).

The Client shall have the fullest liberty without affecting in any way the liability of the Bank under this Guarantee, from time to time to vary or to extend the time for performance of the contract by the Consultant. The Client shall have the fullest liberty without affecting this guarantee, to postpone from time to time the exercise of any powers vested in them or of any right which they might have against the consultant and to exercise the same at any time in any manner, and either to enforce or to forbear to enforce any covenants, contained or implied, in the Contract between the Client and the Consultant any other course or remedy or security available to the Client. The bank shall not be relieved of its obligations under these presents by any exercise by the Client

of its liberty with reference to the matters aforesaid or any of them or by reason of any other act or forbearance or other acts of omission or commission on the part of the Client or any other indulgence shown by the Client or by any other matter or thing whatsoever which under law would but for this provision have the effect of relieving the Bank.

The Bank also agrees that the Client at its option shall be entitled to enforce this Guarantee against the Bank as a principal debtor, in the first instance without proceeding against the Consultant and notwithstanding any security or other guarantee that the Client may have in relation to the Consultant's liabilities.

The liability of Bank under this Guarantee shall not be affected by any change in the constitution of the consultant or the bank.

Notwithstanding anything contained herein,

- a) Our liability under this Bank Guarantee is limited to Rs. ......(Rupees......) and it shall remain in force up to and including ......and shall be extended from time to time for such period as may be desired by M/s....., on whose behalf this guarantee has been given.
- b) This Bank Guarantee shall be valid up to ......
- c) We are liable to pay the guaranteed amount or any part thereof under this Bank Guarantee only and only if you serve upon us a written claim or demand on or before ......(date of expiry of Guarantee).

(Signature of the Authorized Official)

(Name Et Designation with Bank Stamp)

#### NOTE:

- (i)The bank guarantee(s) contains the name, designation and code number of the officer(s) signing the guarantee(s).
- (ii)The address, telephone no. and other details of the Head Office of the Bank as well as of issuing branch should be mentioned on the covering letter of issuing Branch.
- (iii) The bank guarantee for Rs 10,000 and above is signed by at least two officials (or as per the norms prescribed by the RBI in this regard).

# Appendix H (2): Deleted.

# Appendix I: Minutes of Pre-bid meeting

# **APPENDIX-VII**

# DPR Checklist Stage 1 Inception Report (Pavements)

General Details	
Project Name	
Consultant's Name	
Date of Review	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details / Specifications	Remarks
1	Executive Summary	Yes ☐ No ☐ NA ☐	NA	
2	Project Appreciation	Yes ☐ No ☐ NA ☐	NA	
2.1	Location of site office	Yes ☐ No ☐ NA ☐		
2.2	Review of scope of ToR and gap identification	Yes 🗆 No 🗅 NA 🗅	NA	
2.3	Key departments identified for various documents	Yes ☐ No ☐ NA ☐		
2.4	Start and end location of project verified with client (Mention details)	Yes 🗖 No 🗖 NA 🗖		
2.5	Project description Start and End Chainage Village/District	Yes ☐ No ☐ NA ☐		
2.6	Project location map On State Map On District Map	Yes ☐ No ☐ NA ☐	NA	
2.7	Site photos and data of project alignment	Yes ☐ No ☐ NA ☐	NA	
2.8	Overview of land use plans	Yes ☐ No ☐ NA ☐	NA	
2.9	Overview of existing pavement conditions Number of Lanes Type of Pavement (Flexible/Rigid/Surfaced/ Unsurfaced)	Yes ☐ No ☐ NA ☐		
2.10	Existing right of way details	Yes ☐ No ☐ NA ☐		
2.11	Number/ Location of major and minor bridges	Yes 🗆 No 🗅 NA 🗅		
2.12	Number/ Location of level crossings	Yes 🗆 No 🗅 NA 🗅		
2.13	Number/ Location of ROB and RUB	Yes ☐ No ☐ NA ☐		
2.14	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
3	Approach Methodology	Yes ☐ No ☐ NA ☐	NA	
3.1	Engineering survey and investigations	Yes 🗆 No 🗅 NA 🗅	NA	
3.2	Design of road, pavements and structures	Yes 🗆 No 🗅 NA 🗅	NA	
3.3	Environment and social impact assessment	Yes ☐ No ☐ NA ☐	NA	
3.4	Estimation of project cost, viability and financing options	Yes ☐ No ☐ NA ☐	NA	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details / Specifications	Remarks
3.5	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
4	Task Assignment and Manning Schedule	Yes ☐ No ☐ NA ☐	NA	
4.1	Number of key personnel provided	Yes ☐ No ☐ NA ☐		
4.2	Specific tasks assigned to each key personnel	Yes ☐ No ☐ NA ☐	NA	
4.3	Manning schedule for key personnel	Yes ☐ No ☐ NA ☐	NA	
4.4	Number of key personnel deployed at site	Yes ☐ No ☐ NA ☐		
5	Performa for data collection	Yes ☐ No ☐ NA ☐	NA	
6	Indicative design standards and cross sections	Yes ☐ No ☐ NA ☐	NA	
7	Development plans	Yes ☐ No ☐ NA ☐	NA	
7.1	Overview of development plans being implemented/ proposed by local bodies	Yes ☐ No ☐ NA ☐	NA	
7.2	Overview of impact of such development plans	Yes 🗆 No 🗅 NA 🗅	NA	
8	Quality Assurance Plan	Yes ☐ No ☐ NA ☐	NA	
8.1	Engineering surveys and investigation	Yes 🗆 No 🗅 NA 🗅	NA	
8.2	Traffic surveys	Yes ☐ No ☐ NA ☐	NA	
8.3	Material geo-technical and sub-soil investigations	Yes 🗆 No 🗆 NA 🗅	NA	
8.4	Road and pavement investigations	Yes ☐ No ☐ NA ☐	NA	
8.5	Investigation and design of bridges and structures	Yes 🗆 No 🗆 NA 🗅	NA	
8.6	Environment and R&R assessment	Yes ☐ No ☐ NA ☐	NA	
8.7	Economic and financial analysis	Yes ☐ No ☐ NA ☐	NA	
8.8	Drawing and documentation	Yes ☐ No ☐ NA ☐	NA	
8.9	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
8.10	Discussion of draft QAP document with client	Yes 🗆 No 🗆 NA 🗅	NA	
8.11	Approval of final QAP document by client	Yes ☐ No ☐ NA ☐	NA	
9	Draft design Standards	Yes ☐ No ☐ NA ☐	NA	
9.1	Geometric design standards of highway (Plain)	Yes 🗆 No 🗆 NA 🗅	NA	
9.2	Geometric design standards of highway (Hilly)	Yes 🗆 No 🗅 NA 🗅	NA	
10	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
10.1	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
10.2	Report fulfils project objectives and scope as per RFP	Yes 🗆 No 🗅 NA 🗅	NA	
10.3	Report reviewed for errors and omissions	Yes ☐ No ☐ NA ☐	NA	
10.4	Compliance report prepared on client observations	Yes 🗆 No 🗅 NA 🗅	NA	

# DPR Checklist Stage 2 Feasibility Report (Pavements)

General Details		
Project Name		
Consultant's Name		
Date of Review		

S.No	SECTION OF THE REPORT	YES/ NO/ NA	Details / Specifications	Remarks
1	Executive Summary	Yes ☐ No ☐ NA ☐	NA	
2	Overview of client organization / activities	Yes ☐ No ☐ NA ☐	NA	
3	Methodology adopted for feasibility study	Yes 🗆 No 🗆 NA 🗅	NA	
4	Socioeconomic profile of the project areas	Yes 🗆 No 🗆 NA 🗅	NA	
4.1	Regional economic profile basis last 10 years data as per IRC	Yes 🗆 No 🗅 NA 🗅	NA	
4.2	Economic profile of project influence area basis last 10 years data as per IRC	Yes ☐ No ☐ NA ☐	NA	
4.3	Socio Economic status of project influence area	Yes ☐ No ☐ NA ☐	NA	
5	Indicative design standards, methodologies, and specifications	Yes ☐ No ☐ NA ☐	NA	
6	Traffic surveys and analysis	Yes ☐ No ☐ NA ☐	NA	
6.1	Classified traffic volume counts using IHMCL data (7 day data)	Yes ☐ No ☐ NA ☐	NA	
6.2	Traffic projection methodology as per IRC:108	Yes ☐ No ☐ NA ☐	NA	
6.3	Projected Traffic data for 20 years	Yes ☐ No ☐ NA ☐	NA	
6.4	Current and Projected PCU	Yes ☐ No ☐ NA ☐		
6.5	Current and Projected TVU	Yes ☐ No ☐ NA ☐		
6.6	Origin destination surveys as per IRC: 102	Yes ☐ No ☐ NA ☐	NA	
6.7	Speed and delay studies as per IRC:102	Yes ☐ No ☐ NA ☐	NA	
6.8	Traffic surveys for the design of road junctions as per data in IRC: SP:41	Yes ☐ No ☐ NA ☐	NA	
6.9	Analysis for replacing railway level crossings with over bridges/ subways	Yes ☐ No ☐ NA ☐	NA	
6.10	Axle load survey as per IRC:SP:19	Yes ☐ No ☐ NA ☐	NA	
6.11	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
6.12	Traffic surveys monitored and reviewed by the client	Yes ☐ No ☐ NA ☐	NA	
7	Reconnaissance survey	Yes ☐ No ☐ NA ☐	NA	
7.1	Road Inventory Survey as per IRC:SP:19	Yes ☐ No ☐ NA ☐	NA	
7.2	Review of Road Inventory survey by client	Yes ☐ No ☐ NA ☐	NA	

S.No	SECTION OF THE REPORT	YES/ NO/ NA	Details / Specifications	Remarks
7.3	Chainage wise details of pavement composition surrey	Yes ☐ No ☐ NA ☐	NA	
7.4	Geological Survey Geological Map of the Area Seismicity	Yes 🗆 No 🗅 NA 🗅	NA	
7.5	Climatic Conditions Temperature Rainfall Wind	Yes ☐ No ☐ NA ☐	NA	
7.6	Pavement composition and condition survey as per IRC:SP:19	Yes ☐ No ☐ NA ☐	NA	
7.7	Review of pavement composition and condition survey by client	Yes ☐ No ☐ NA ☐	NA	
7.8	Pavement roughness survey as per IRC:SP:16	Yes ☐ No ☐ NA ☐	NA	
7.9	Review of pavement roughness survey by client	Yes ☐ No ☐ NA ☐	NA	
7.10	Pavement structural strength survey as per IRC:81	Yes ☐ No ☐ NA ☐	NA	
7.11	Review of pavement structural strength survey by client	Yes ☐ No ☐ NA ☐	NA	
7.12	Sub grade characteristics and strengths	Yes 🗆 No 🗅 NA 🗅	NA	
7.13	Topographical survey as per IRC:SP:19	Yes ☐ No ☐ NA ☐	NA	
7.14	Review of topographical survey by client	Yes ☐ No ☐ NA ☐	NA	
7.15	Inventory of bridges, culverts and structures	Yes 🗆 No 🗅 NA 🗅	NA	
7.16	Condition survey for bridges, culverts and structures	Yes ☐ No ☐ NA ☐	NA	
7.17	Review of condition survey for bridges, culverts and structures by client	Yes ☐ No ☐ NA ☐	NA	
7.18	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
8	Geotechnical Survey	Yes ☐ No ☐ NA ☐	NA	
8.1	Geo-technical and sub-soil explorations as per IRC:78	Yes ☐ No ☐ NA ☐	NA	
8.2	Bore holes dug for every pier and abutment	Yes ☐ No ☐ NA ☐	NA	
8.3	Review of geo-technical and sub-soil explorations by client	Yes ☐ No ☐ NA ☐	NA	
8.4	Field testing, soil sampling, laboratory testing in accordance with BIS/ AASHTO/ BS	Yes 🗆 No 🗅 NA 🗅	NA	
8.5	Recommendation of Foundation Type and Depth	Yes ☐ No ☐ NA ☐	NA	
8.6	Any other details relevant to the project	Yes 🗆 No 🗅 NA 🗅	NA	

S.No	SECTION OF THE REPORT	YES/ NO/ NA	Details / Specifications	Remarks
9	Hydraulic and Hydrological Survey	Yes ☐ No ☐ NA ☐	NA	
9.1	Hydraulic and hydrological investigations as per IRC:SP:13 and IRC:5	Yes ☐ No ☐ NA ☐	NA	
9.2	High Flood Level specified	Yes ☐ No ☐ NA ☐	NA	
9.3	Depth of Water Table specified	Yes ☐ No ☐ NA ☐	NA	
9.4	Ponded Water Level specified	Yes ☐ No ☐ NA ☐	NA	
9.5	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
9.6	Review of hydrological investigations by client	Yes 🗆 No 🗅 NA 🗅	NA	
10	Materials Survey	Yes ☐ No ☐ NA ☐	NA	
10.1	Materials Survey conducted as per IRC:SP:19	Yes ☐ No ☐ NA ☐	NA	
10.2	Sources of Naturally Occurring Aggregates specified Details of Borrow Pits with Distance from Project Site Cost of Material/ Transportation	Yes ☐ No ☐ NA ☐		
10.3	Sources of Manufactured Items specified Details of suppliers with distance from project site Cost of material/ transportation	Yes ☐ No ☐ NA ☐	NA	
10.4	Sources of water for construction specified as per IS: 456	Yes ☐ No ☐ NA ☐	NA	
10.5	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
11	Environmental screening/ preliminary environmental assessment	Yes ☐ No ☐ NA ☐	NA	
11.1	Analysis basis Initial Environment Examination in IRC: SP: 19	Yes ☐ No ☐ NA ☐	NA	
11.2	Recommended feasible mitigation measures	Yes ☐ No ☐ NA ☐	NA	
12	Initial social assessment/ preliminary LA resettlement plan	Yes ☐ No ☐ NA ☐	NA	
12.1	Analysis basis Initial Environment Examination in IRC: SP: 19	Yes ☐ No ☐ NA ☐	NA	
12.2	Details of consultation with potentially affected persons	Yes 🗆 No 🗅 NA 🗅	NA	
12.3	Names/ Details of consultation with local NGOs	Yes 🗆 No 🗅 NA 🗅		
12.4	Names/ Details of consultation with municipal authorities	Yes 🗆 No 🗅 NA 🗅		
12.5	Preliminary resettlement plan	Yes ☐ No ☐ NA ☐	NA	
12.6	Any other details relevant to the project	Yes 🗆 No 🗅 NA 🗅	NA	
13	Cost estimates	Yes ☐ No ☐ NA ☐	NA	
13.1	Item rates and rate analysis	Yes ☐ No ☐ NA ☐	NA	
13.2	Escalation	Yes  No NA	NA	
14	Economic and financial analysis	Yes ☐ No ☐ NA ☐	NA	

S.No	SECTION OF THE REPORT	YES/ NO/ NA	Details / Specifications	Remarks
14.1	Estimated cost details	Yes 🗖 No 🗖 NA 🗖	NA	
14.2	Projected revenues details	Yes ☐ No ☐ NA ☐	NA	
14.3	Assumptions stated	Yes ☐ No ☐ NA ☐	NA	
14.4	Analysis and results (IRR, Sensitivity Analysis, Financial Viability)	Yes 🗆 No 🗅 NA 🗅	NA	
15	Strip plan and Alignment	Yes ☐ No ☐ NA ☐	NA	
15.1	Details of center line of proposed highway	Yes ☐ No ☐ NA ☐	NA	
15.2	Details of existing RoW	Yes ☐ No ☐ NA ☐	NA	
15.3	Details of proposed RoW	Yes ☐ No ☐ NA ☐	NA	
15.4	Details about ownership of land to be acquired	Yes ☐ No ☐ NA ☐	NA	
15.5	Strip plan basis reconnaissance and topographic surveys	Yes ☐ No ☐ NA ☐	NA	
15.6	Strip plan reviewed and approved by the client	Yes ☐ No ☐ NA ☐	NA	
16	Alignment Options Study	Yes ☐ No ☐ NA ☐	NA	
16.1	At least two alignments proposed  Details of Alignments on Map	Yes ☐ No ☐ NA ☐	NA	
16.2	Review of options with client	Yes ☐ No ☐ NA ☐	NA	
16.2.1	Review of options with local authority	Yes ☐ No ☐ NA ☐	NA	
16.3	Length of the project along proposed alignment options	Yes ☐ No ☐ NA ☐		
16.4	Land Acquisition required along alignment options	Yes ☐ No ☐ NA ☐		
16.4.1	Environmental impact of each option	Yes ☐ No ☐ NA ☐		
16.4.2	Review of road geometry and safety for each option	Yes ☐ No ☐ NA ☐		
16.5	Cost Estimates of alternatives	Yes ☐ No ☐ NA ☐		
16.6	Recommended Alignment with Justification	Yes □ No □ NA □	NA	
16.7	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
17	Technical Specifications	Yes ☐ No ☐ NA ☐	NA	
17.1	MoRTH technical specifications for Roads and Bridge works followed	Yes □ No □ NA □	NA	
17.2	Details of technical specifications	Yes ☐ No ☐ NA ☐	NA	
18	Rate Analysis	Yes ☐ No ☐ NA ☐	NA	
18.1	Rate analysis for all relevant items as per latest SoR	Yes ☐ No ☐ NA ☐	NA	
19	Cost Estimates	Yes ☐ No ☐ NA ☐	NA	
19.1	Cost estimates for all relevant items as per latest SoR	Yes ☐ No ☐ NA ☐	NA	
20	Bill of quantities	Yes ☐ No ☐ NA ☐	NA	
21	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
21.1	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	

S.No	SECTION OF THE REPORT	YES/ NO/ NA	Details / Specifications	Remarks
21.2	Report fulfils project objectives and scope as per RFP	Yes ☐ No ☐ NA ☐	NA	
21.3	Report reviewed for errors and omissions	Yes ☐ No ☐ NA ☐	NA	
21.4	Compliance report prepared on client observations	Yes ☐ No ☐ NA ☐	NA	

# DPR Checklist . Stage 3 . LA and Clearances I Report (Pavements)

General Details		
Project Name		
Consultant's Name		
Date of Review		

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
1	Executive Summary	Yes ☐ No ☐ NA ☐	NA	
2	Strip plan- additional details added	Yes ☐ No ☐ NA ☐	NA	
2.1	Details of centreline, existing structures, road furniture and other features	Yes ☐ No ☐ NA ☐	NA	
2.2	Widening scheme	Yes ☐ No ☐ NA ☐	NA	
2.3	New construction/ reconstruction of structures and amenities	Yes ☐ No ☐ NA ☐	NA	
2.4	Existing and proposed right of way	Yes ☐ No ☐ NA ☐	NA	
2.5	Clearances impacting each chainage	Yes ☐ No ☐ NA ☐	NA	
3	Forest Clearance	Yes 🗆 No 🗅 NA 🗅	NA	
3.1	Requirement for forest clearance identified	Yes ☐ No ☐ NA ☐	NA	
3.2	Date/ Details of initial consultation with competent authority	Yes ☐ No ☐ NA ☐		
3.3	Details/cost of trees being felled basis concerned District Forest Office	Yes ☐ No ☐ NA ☐		
3.4	Date of submission of proposal for forest clearance	Yes ☐ No ☐ NA ☐	NA	
3.5	Review of proposal by client	Yes ☐ No ☐ NA ☐	NA	
4	Wildlife Clearance	Yes 🗆 No 🗅 NA 🗅	NA	
4.1	Requirement for wildlife clearance identified	Yes ☐ No ☐ NA ☐	NA	
4.2	Date/ Details of initial consultation with competent authority	Yes ☐ No ☐ NA ☐		
4.3	Details/cost of trees being felled basis concerned District Forest Office	Yes ☐ No ☐ NA ☐		
4.4	Date of submission of proposal for wildlife clearance	Yes ☐ No ☐ NA ☐		
4.5	Review of proposal by client	Yes 🗆 No 🗅 NA 🗅	NA	
5	Utility Clearances (Electricity)	Yes 🗆 No 🗅 NA 🗅	NA	
5.1	Identification of overground utilities	Yes ☐ No ☐ NA ☐	NA	
5.2	Identification of underground utilities	Yes ☐ No ☐ NA ☐	NA	
5.3	Name/ Details of consultation with local authority/ people	Yes ☐ No ☐ NA ☐		
5.4	Utility relocation plan with existing / proposed location showing existing RoW and topographic details	Yes 🗆 No 🗀 NA 🗅	NA	
5.5	Cost for relocation as per authority	Yes ☐ No ☐ NA ☐		

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
5.6	Date of proposal submission to competent authority	Yes ☐ No ☐ NA ☐		
5.7	Review of utility relocation plan/ proposal by client	Yes ☐ No ☐ NA ☐	NA	
6	Utility Clearances (Water)	Yes ☐ No ☐ NA ☐	NA	
6.1	Identification of overground utilities in RoW	Yes ☐ No ☐ NA ☐	NA	
6.2	Identification of underground utilities	Yes 🗆 No 🗅 NA 🗅	NA	
6.3	Name/ Details of consultation with local authority/ people	Yes ☐ No ☐ NA ☐		
6.4	Utility relocation plan with existing / proposed location showing existing RoW and topographic details	Yes 🗆 No 🗅 NA 🗅	NA	
6.5	Cost for relocation as per authority	Yes 🗆 No 🗅 NA 🗅		
6.6	Date of proposal submission to competent authority	Yes ☐ No ☐ NA ☐	NA	
6.7	Review of utility relocation plan/ proposal by client	Yes ☐ No ☐ NA ☐	NA	
7	<b>Utility Clearances (Others)</b>	Yes ☐ No ☐ NA ☐	NA	
7.1	Identification of overground utilities in RoW	Yes ☐ No ☐ NA ☐	NA	
7.2	Identification of underground utilities	Yes ☐ No ☐ NA ☐	NA	
7.3	Name/ Details of consultation with local authority/ people	Yes ☐ No ☐ NA ☐		
7.4	Utility relocation plan with existing / proposed location showing existing RoW and topographic details	Yes ☐ No ☐ NA ☐	NA	
7.5	Cost for relocation as per authority	Yes ☐ No ☐ NA ☐		
7.6	Date of proposal submission to competent authority	Yes ☐ No ☐ NA ☐		
7.7	Review of utility relocation plan/ proposal by client	Yes ☐ No ☐ NA ☐	NA	
8	Railway Clearances	Yes ☐ No ☐ NA ☐	NA	
8.1	Identification of ROB/ RUB on project corridor	Yes ☐ No ☐ NA ☐	NA	
8.2	Initial consultation with competent authority	Yes ☐ No ☐ NA ☐	NA	
8.3	Date of proposal submission to competent authority	Yes ☐ No ☐ NA ☐		
8.4	Review of GAD/ proposal by client	Yes ☐ No ☐ NA ☐	NA	
9	Other Clearances	Yes ☐ No ☐ NA ☐	NA	
9.1	Requirement for other clearances identified	Yes ☐ No ☐ NA ☐	NA	
9.2	Date of proposal submission to competent authority	Yes ☐ No ☐ NA ☐		
9.3	Review of proposal by client	Yes ☐ No ☐ NA ☐	NA	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
10	Land Acquisition	Yes ☐ No ☐ NA ☐	NA	
10.1	Detailed schedule about acquisition of landholdings as per land records	Yes 🗆 No 🗅 NA 🗅	NA	
10.2	Consultation with affected persons	Yes ☐ No ☐ NA ☐	NA	
10.3	Name/ Details of consultation with NGOs	Yes ☐ No ☐ NA ☐		
10.4	Name/ Details of consultation with concerned government agencies	Yes 🗆 No 🗅 NA 🗅		
10.5	Total land required, land area already available, land to be acquired identified	Yes 🗆 No 🗅 NA 🗅		
10.6	Review of land acquisition using digital cadastral map by client	Yes 🗆 No 🗅 NA 🗅	NA	
10.7	Draft 3a notification submitted	Yes ☐ No ☐ NA ☐	NA	
10.8	Review of 3a notification by client	Yes ☐ No ☐ NA ☐	NA	
10.9	Date of 3a gazette notification	Yes ☐ No ☐ NA ☐		
10.10	Draft 3a notification submitted	Yes ☐ No ☐ NA ☐	NA	
10.11	Review of 3A notification by client	Yes ☐ No ☐ NA ☐	NA	
10.12	Date of 3A gazette notification	Yes ☐ No ☐ NA ☐		
11	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
11.1	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
11.2	Report fulfils project objectives and scope as per RFP	Yes □ No □ NA □	NA	
11.3	Report reviewed for errors and omissions	Yes ☐ No ☐ NA ☐	NA	
11.4	Compliance report prepared on client observations	Yes ☐ No ☐ NA ☐	NA	

# DPR Checklist Stage 4 Detailed Project Report (Pavements)

<b>General Details</b>	General Details		
Project Name			
Consultant's Name			
Date of Review			

S.No	SECTION OF THE REPORT	YES/NO/NA	Details / Specifications	Remarks
1	Main Report	Yes □ No □ NA □	NA	
2	Introduction and project background	Yes □ No □ NA □	NA	
2.1	Overview of project location, project objectives etc.	Yes □ No □ NA □	NA	
2.2	Overview of report structure, deliverables etc.	Yes □ No □ NA □	NA	
3	Social analysis of the project	Yes □ No □ NA □	NA	
3.1	Project impact on stakeholders such as local people	Yes □ No □ NA □	NA	
3.2	Project impact on residential, commercial and public properties	Yes □ No □ NA □	NA	
3.3	Any other details relevant to the project	Yes □ No □ NA □	NA	
4	Reconnaissance survey	Yes □ No □ NA □	NA	
4.1	Geometric Features of the Existing Road Design Speed Sight distance details  * Horizontal Alignment Details Vertical Alignment Details Height of Embankment	Yes □ No □ NA □		
4.2	Topographical Survey as per IRC:SP:19	Yes □ No □ NA □	NA	
4.3	Pavement composition and condition survey as per IRC:SP:19	Yes □ No □ NA □	NA	
4.4	Pavement roughness survey as per IRC:SP:16	Yes □ No □ NA □	Na	
4.5	Pavement structural strength survey as per IRC:81	Yes □ No □ NA □	NA	
4.6	Geological Survey Geological Map of the Area Seismicity	Yes □ No □ NA □	NA	
4.7	Climatic Conditions Temperature Rainfall Wind	Yes □ No □ NA □	NA	
4.8	Land Use along the existing alignment Map of the Project Area depicting Agricultural/Habitation/Forest Area	Yes □ No □ NA □	NA	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details / Specifications	Remarks
4.9	Details of Existing Structures Map of the Project Area depicting Hutments/Buildings/Temples/Public Building/Any Other Significant Structure	Yes ☐ No ☐ NA ☐	NA	
4.10	Inventory and condition survey of culverts	Yes ☐ No ☐ NA ☐	NA	
4.11	Geo-technical and sub-soil explorations as per IRC:78	Yes ☐ No ☐ NA ☐	NA	
4.12	Number of Bore holes dug (holes for every pier and abutment)	Yes ☐ No ☐ NA ☐		
4.13	Field testing, soil sampling, laboratory testing as per IRC: 78	Yes ☐ No ☐ NA ☐	NA	
4.14	Recommendation of Foundation Type and Depth	Yes ☐ No ☐ NA ☐		
4.15	Hydrological investigations as per IRC:5	Yes ☐ No ☐ NA ☐	NA	
4.16	High Flood Level specified	Yes ☐ No ☐ NA ☐	NA	
4.17	Depth of Water Table specified	Yes ☐ No ☐ NA ☐	NA	
4.18	Ponded Water Level specified	Yes ☐ No ☐ NA ☐	NA	
4.19	Materials Survey conducted as per IRC:SP:19	Yes ☐ No ☐ NA ☐	NA	
4.20	Sources of Naturally Occurring Aggregates specified Details of Borrow Pits with Distance from Project Site Cost of Material/Transportation	Yes ☐ No ☐ NA ☐		
4.20.1	Sources of environmentally friendly construction materials identified as per MoRT&H circular	Yes ☐ No ☐ NA ☐	NA	
4.21	Sources of Manufactured Items specified Details of Suppliers with Distancefrom Project Site Cost of Material/Transportation	Yes ☐ No ☐ NA ☐	NA	
4.22	Source of Water for construction specified as per IS:456	Yes ☐ No ☐ NA ☐	NA	
4.23	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
5	Traffic studies and demand forecast designs	Yes ☐ No ☐ NA ☐	NA	
5.1	Classified traffic volume counts using IHMCL data (7 day data)	Yes ☐ No ☐ NA ☐	NA	
5.2	Traffic projection methodology as per IRC:108	Yes ☐ No ☐ NA ☐	NA	
5.3	Projected Traffic data for 20 years	Yes ☐ No ☐ NA ☐	NA	
5.4	Current and Projected PCU	Yes ☐ No ☐ NA ☐		
5.5	Current and Projected TVU	Yes 🗖 No 🗖 NA 🗖		
5.6	Origin destination surveys as per IRC: 102	Yes ☐ No ☐ NA ☐	NA	
5.7	Speed and delay studies as per IRC:102	Yes ☐ No ☐ NA ☐	NA	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details / Specifications	Remarks
5.8	Traffic surveys for the design of road junctions as per data in IRC: SP:41	Yes ☐ No ☐ NA ☐	NA	
5.9	Analysis for replacing railway level crossings with over bridges/ subways	Yes ☐ No ☐ NA ☐	NA	
5.10	Axle load survey as per IRC:SP:19	Yes ☐ No ☐ NA ☐	NA	
5.11	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
5.12	Traffic surveys monitored and reviewed by the client	Yes □ No □ NA □	NA	
6	Cost estimates	Yes ☐ No ☐ NA ☐	NA	
6.1	Project costing as per latest SoR	Yes ☐ No ☐ NA ☐	NA	
7	Environmental aspects	Yes ☐ No ☐ NA ☐	NA	
7.1	Environment profile of the project region	Yes ☐ No ☐ NA ☐	NA	
7.2	Details of Public consultation at residential and commercial settlements affected	Yes ☐ No ☐ NA ☐	NA	
7.3	Impact analysis and mitigation measures	Yes ☐ No ☐ NA ☐	NA	
8	Economic and commercial analysis	Yes ☐ No ☐ NA ☐	NA	
8.1	Estimated cost details	Yes ☐ No ☐ NA ☐	NA	
8.2	Projected revenues details	Yes ☐ No ☐ NA ☐	NA	
8.3	Assumptions stated	Yes ☐ No ☐ NA ☐	NA	
8.4	Analysis and results (IRR, Sensitivity Analysis, Financial Viability)	Yes ☐ No ☐ NA ☐	NA	
8.5	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
8.6	Financial model shared with client and reviewed	Yes ☐ No ☐ NA ☐	NA	
9	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
9.1	Report fulfils project objectives and scope as per RFP	Yes ☐ No ☐ NA ☐	NA	
9.2	Report reviewed for errors and omissions	Yes ☐ No ☐ NA ☐	NA	
9.3	Compliance report prepared on client observations	Yes ☐ No ☐ NA ☐	NA	
10	Design Report	Yes ☐ No ☐ NA ☐	NA	
10.1	Highway improvement proposals	Yes ☐ No ☐ NA ☐	NA	
10.2	Highway geometric designs	Yes ☐ No ☐ NA ☐	NA	
10.3	Roadside drainage	Yes ☐ No ☐ NA ☐	NA	
10.4	Intersections	Yes ☐ No ☐ NA ☐	NA	
10.5	Urban service roads	Yes ☐ No ☐ NA ☐	NA	
10.6	Bus-stops	Yes ☐ No ☐ NA ☐	NA	
10.7	Toll plazas	Yes ☐ No ☐ NA ☐	NA	
10.8	Pedestrian crossings	Yes ☐ No ☐ NA ☐	NA	
10.9	Utility relocation	Yes ☐ No ☐ NA ☐	NA	
10.10	Pavement	Yes ☐ No ☐ NA ☐	NA	
10.11	Structures	Yes ☐ No ☐ NA ☐	NA	
10.12	Any other details relevant to the project	Yes 🗆 No 🗅 NA 🗅	NA	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details / Specifications	Remarks
10.13	Pavement deflection survey as per IRC 81-1997	Yes 🗆 No 🗅 NA 🗅	NA	
10.14	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
11	Materials Report	Yes ☐ No ☐ NA ☐	NA	
11.1	Material investigations as per IRC:10	Yes ☐ No ☐ NA ☐	NA	
11.2	Review of material investigations by client	Yes ☐ No ☐ NA ☐	NA	
11.3	Multiple borrow areas identified	Yes ☐ No ☐ NA ☐	NA	
11.4	Material survey as per IRC: SP: 19	Yes ☐ No ☐ NA ☐	NA	
11.5	Review of material survey by client	Yes ☐ No ☐ NA ☐	NA	
11.6	Geo-technical and sub-soil explorations as per IRC:78	Yes ☐ No ☐ NA ☐	NA	
11.7	Review of geo-technical and sub-soil explorations by client	Yes ☐ No ☐ NA ☐	NA	
11.8	Field testing, soil sampling, laboratory testing in accordance with BIS/ AASHTO/ BS	Yes 🗆 No 🗅 NA 🗅	NA	
11.9	Pavement composition and condition survey as per IRC:SP:19	Yes ☐ No ☐ NA ☐	NA	
11.10	Review of pavement composition and condition survey by client	Yes 🗖 No 🗖 NA 🗖	NA	
11.11	Pavement roughness survey as per IRC:SP:16	Yes ☐ No ☐ NA ☐	NA	
11.12	Review of pavement roughness survey by client	Yes ☐ No ☐ NA ☐	NA	
11.13	Pavement structural strength survey as per IRC:81	Yes ☐ No ☐ NA ☐	NA	
11.14	Review of pavement structural strength survey by client	Yes ☐ No ☐ NA ☐	NA	
11.15	Water sample tests as per MoRTH specifications	Yes ☐ No ☐ NA ☐	NA	
11.16	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
12	Environmental Assessment Report/ Resettlement and Rehabilitation Plan	Yes ☐ No ☐ NA ☐	NA	
12.1	Option for alignment alternatives considered and conclusions	Yes ☐ No ☐ NA ☐	NA	
12.2	Land environment data collection and details/ impact/ mitigation measures	Yes ☐ No ☐ NA ☐	NA	
12.3	Air environment data collection and details/ impact/ mitigation measures	Yes ☐ No ☐ NA ☐	NA	
12.4	Water resources details/ impact/ mitigation measures	Yes ☐ No ☐ NA ☐	NA	
12.5	Noise environment details/ impact/ mitigation measures	Yes 🗆 No 🗅 NA 🗅	NA	
12.6	Biological environment details/ impact/ mitigation measures	Yes 🗆 No 🗅 NA 🗅	NA	
12.7	Details of public consultation	Yes ☐ No ☐ NA ☐	NA	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details / Specifications	Remarks
12.8	Environment monitoring and management plan	Yes □ No □ NA □	NA	
12.9	Details of social impact assessment	Yes □ No □ NA □	NA	
12.10	Details of resettlement and rehabilitation action plan	Yes □ No □ NA □	NA	
12.11	Measures to minimize resettlement	Yes □ No □ NA □	NA	
12.12	Details of public consultation with stakeholders	Yes □ No □ NA □	NA	
12.13	Details of implementation arrangement / budget	Yes □ No □ NA □	NA	
12.14	Any other details relevant to the project	Yes □ No □ NA □	NA	
13	Technical Specifications	Yes □ No □ NA □	NA	
13.1	MoRTH technical specifications for Roads and Bridge works followed	Yes □ No □ NA □	NA	
13.2	Details of technical specifications	Yes □ No □ NA □	NA	
14	Rate Analysis	Yes □ No □ NA □	NA	
14.1	Rate analysis for all relevant items as per latest SoR	Yes □ No □ NA □	NA	
15	Cost Estimates	Yes □ No □ NA □	NA	
15.1	Cost estimates for all relevant items as per latest SoR	Yes □ No □ NA □	NA	
16	Bill of quantities	Yes □ No □ NA □	NA	
17	Drawing Volume	Yes □ No □ NA □	NA	
18	Digital drawings of road			
18.1	Highway cross sections	Yes □ No □ NA □		
18.2	3D engineered models of: Road alignment geometry Proposed highway Proposed structures	Yes □ No □ NA □		

# DPR Checklist Stage 5 Technical Schedules (Pavements)

General Details		
Project Name		
Consultant's Name		
Date of Review		

S.No	SECTION OF THE REPORT	YES/NO/NA	Details / Specifications	Remarks
1	Bid documents- EPC	Yes ☐ No ☐ NA ☐	NA	
2	Bid documents- BOT/PPP	Yes ☐ No ☐ NA ☐	NA	
3	Bid documents- other, if any	Yes ☐ No ☐ NA ☐	NA	
4	Draft concession agreement	Yes ☐ No ☐ NA ☐	NA	
4	Schedule D - Specifications and standards	Yes 🗆 No 🗀 NA	NA	
5	Any other relevant details	Yes 🗆 No 🗅 NA	NA	

# DPR Checklist Stage 6 LA and Clearances II Report (Pavements)

General Details		
Project Name		
Consultant's Name		
Date of Review		

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
1	Executive Summary	Yes ☐ No ☐ NA ☐	NA	
2	Environment Clearance	Yes ☐ No ☐ NA ☐	NA	
2.1	Details of public hearings completed	Yes ☐ No ☐ NA ☐		
2.2	Date of final environment clearance by competent authority	Yes ☐ No ☐ NA ☐		
3	Forest Clearance	Yes ☐ No ☐ NA ☐	NA	
3.1	Date/ Details of Joint site inspection with DFO/ competent authority	Yes ☐ No ☐ NA ☐		
3.2	Date of Stage I forest clearance approval by competent authority	Yes ☐ No ☐ NA ☐		
3.3	Date of final forest clearance approval by competent authority	Yes ☐ No ☐ NA ☐		
4	Wildlife Clearance	Yes ☐ No ☐ NA ☐	NA	
4.1	Date/ Details of joint site inspection with DFO/ competent authority	Yes 🗆 No 🗅 NA 🗅		
4.2	Date of final wildlife clearance approval by competent authority	Yes 🗖 No 🗖 NA 🗖		
5	Utility Clearances (Electricity)	Yes ☐ No ☐ NA ☐	NA	
5.1	Date/ Details of Joint site inspection with competent authority	Yes 🗆 No 🗅 NA 🗅		
5.2	Date of estimate submission by competent authority	Yes ☐ No ☐ NA ☐		
5.3	Date of estimate approval by competent authority	Yes ☐ No ☐ NA ☐		
5.4	Approved utility shifting proposal including strip plan	Yes ☐ No ☐ NA ☐		
5.5	Details of approved contractors, SoR and deposit details for user agency	Yes ☐ No ☐ NA ☐	NA	
5.6	Utilities checklist, no upgradation certificate attached	Yes ☐ No ☐ NA ☐		
6.2	Date of estimate submission by competent authority	Yes ☐ No ☐ NA ☐		
6.3	Date of estimate approval by competent authority	Yes ☐ No ☐ NA ☐		
6.4	Approved utility shifting proposal including strip plan	Yes 🗆 No 🗅 NA 🗅		
6.5	Details of approved contractors, SoR and deposit details for user agency	Yes ☐ No ☐ NA ☐	NA	
6.6	Utilities checklist, no upgradation certificate attached	Yes 🗆 No 🗅 NA 🗅		

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
7.2	Date of estimate submission by competent authority	Yes ☐ No ☐ NA ☐		
7.3	Date of estimate approval by competent authority	Yes ☐ No ☐ NA ☐		
7.4	Approved utility shifting proposal including strip plan	Yes ☐ No ☐ NA ☐		
7.5	Details of approved contractors, SoR and deposit details for user agency	Yes ☐ No ☐ NA ☐	NA	
7.6	Utilities checklist, no upgradation certificate attached	Yes ☐ No ☐ NA ☐		
8.2	Date of final approval of GAD by competent authority	Yes ☐ No ☐ NA ☐		
9	Other Clearances	Yes 🗖 No 🗖 NA 🗖	NA	
9.1	Date of final approval by competent authority	Yes ☐ No ☐ NA ☐		
10	Land Acquisition	Yes ☐ No ☐ NA ☐	NA	
10.1	Draft 3a notification submitted	Yes ☐ No ☐ NA ☐		
10.2	Review of 3a notification by client	Yes ☐ No ☐ NA ☐		
10.3	Date of 3a gazette notification	Yes ☐ No ☐ NA ☐		
10.4	Draft 3a notification submitted	Yes ☐ No ☐ NA ☐		
10.5	Review of 3A notification by client	Yes ☐ No ☐ NA ☐		
10.6	Date of 3A gazette notification	Yes 🗖 No 🗖 NA 🗖		
10.7	Date of Joint Measurement Survey with competent authority	Yes ☐ No ☐ NA ☐		
10.7.1	Date of survey - village wise	Yes ☐ No ☐ NA ☐	NA	
10.7.2	Land type ₋by survey number	Yes ☐ No ☐ NA ☐	NA	
10.7.3	Nature of Land ₋by survey number	Yes ☐ No ☐ NA ☐	NA	
10.7.4	Ownership status of plots- by survey number	Yes ☐ No ☐ NA ☐	NA	
10.7.5	Verification of area to be acquired _ by survey number	Yes ☐ No ☐ NA ☐	NA	
10.7.6	List of structures on each plot	Yes ☐ No ☐ NA ☐	NA	
10.7.7	Sketches of updated alignment by village	Yes ☐ No ☐ NA ☐	NA	
10.7.8	Verification from Land revenue department	Yes ☐ No ☐ NA ☐	NA	
10.7.9	Verification by CALA office	Yes ☐ No ☐ NA ☐	NA	

# 1.1.1 DPR Checklist Stage 7 Award determination (Pavements)

General Details		
Project Name		
Consultant's Name		
Date of Review		

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
1	Executive Summary	Yes ☐ No ☐ NA ☐	NA	
2	Village level summary	Yes ☐ No ☐ NA ☐	NA	
2.1	Total private and public land being acquired	Yes ☐ No ☐ NA ☐	NA	
2.2	Variation in area and nature of land against 3D with justification	Yes ☐ No ☐ NA ☐	NA	
2.3	Method used by CALA to arrive at award	Yes ☐ No ☐ NA ☐	NA	
2.4	Date of award by CALA and approval by NHIDCL along with valuation report			
2.5	Total award calculated and deviation from RFCTLARR act	Yes ☐ No ☐ NA ☐	NA	
3	In detail for each Village	Yes ☐ No ☐ NA ☐	NA	
	Updated land acquisition tracker with status of:			
3.1	Notifications	Yes 🗆 No 🗅 NA 🗅	NA	
	Award			
	Disbursement			
3.2	Valuation report and details of award calculation- verification by state authority to be included	Yes ☐ No ☐ NA ☐	NA	
3.3	Claims report	Yes ☐ No ☐ NA ☐	NA	
3.4	Copies of notifications published	Yes ☐ No ☐ NA ☐	NA	
3.5	Copies of land possession certificates received	Yes ☐ No ☐ NA ☐	NA	
4	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
4.1	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
4.2	Report fulfils project objectives and scope as per RFP	Yes ☐ No ☐ NA ☐	NA	
4.3	Report reviewed for errors and omissions	Yes ☐ No ☐ NA ☐	NA	
4.4	Compliance report prepared on client observations	Yes ☐ No ☐ NA ☐	NA	

# 1.1.2 DPR Checklist Stage 8 Land possession report (Pavements)

General Details	
Project Name	
Consultant's Name	
Date of Review	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
1	Executive Summary	Yes ☐ No ☐ NA ☐	NA	
2	Village level summary	Yes ☐ No ☐ NA ☐	NA	
2.1	Total private and public land being acquired	Yes ☐ No ☐ NA ☐	NA	
2.2	Date of final award by CALA and approval by NHIDCL			
2.3	Status of disbursement on date of receipt of Land possession certificate	Yes ☐ No ☐ NA ☐	NA	
2.4	Key issues being faced in completing land acquisition, if any	Yes ☐ No ☐ NA ☐	NA	
3	In detail for each Village	Yes ☐ No ☐ NA ☐	NA	
3.1	Updated land acquisition tracker with status of:  Notifications Award Disbursement	Yes ☐ No ☐ NA ☐	NA	
3.2	Final award and claims report	Yes ☐ No ☐ NA ☐	NA	
3.3	Copies of notifications published, land possession certificates received	Yes ☐ No ☐ NA ☐	NA	
4	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
4.1	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
4.2	Report fulfils project objectives and scope as per RFP	Yes ☐ No ☐ NA ☐	NA	
4.3	Report reviewed for errors and omissions	Yes ☐ No ☐ NA ☐	NA	
4.4	Compliance report prepared on client observations	Yes ☐ No ☐ NA ☐	NA	
5	GIS Map containing digitsed details of land parcels acquired with all relevant details	Yes 🗆 No 🗆 NA 🗅	NA	

### Annexure III: Checklists for Structures such as ROB/ RUB

### DPR Checklist Stage 1 Inception Report (Structures)

General Details		
Project Name		
Consultant's Name		
Date of Review		

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
1	Executive Summary	Yes  No NA NA	NA	
2	Project Appreciation	Yes ☐ No ☐ NA ☐	NA	
2.1	Location of site office	Yes ☐ No ☐ NA ☐		
2.2	Review of scope of ToR and gap identification	Yes 🗆 No 🗅 NA 🗅	NA	
2.3	Details of key departments for documents	Yes ☐ No ☐ NA ☐		
2.4	Project description * Existing LC number Start and End Chainage Village/District	Yes  No NA NA		
2.5	Project location map On State Map On District Map Latitude & Longitude Coordinates of the LC	Yes □ No □ NA □		
2.6	Details of Existing Level Crossing Number of Railway Tracks Type of Railway Tracks (Broad/Metre/Narrow) No. of trains per day	Yes □ No □ NA □		
2.7	Justification for need of an ROB/RUB (on basis of TVU count)	Yes 🗆 No 🗀 NA 🗀	NA	
2.8	Overview of land use plans	Yes ☐ No ☐ NA ☐	NA	
2.9	Overview of existing pavement conditions Number of Lanes Type of Pavement (Flexible/Rigid/Surfaced/Unsurfaced)	Yes  No NA NA		
2.10	Existing right of way details	Yes ☐ No ☐ NA ☐		
2.11	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
3	Approach Methodology	Yes ☐ No ☐ NA ☐	NA	
3.1	Engineering survey and investigations	Yes ☐ No ☐ NA ☐	NA	
3.2	Design of road, pavements and structures	Yes 🗆 No 🗅 NA 🗅	NA	
3.3	Environment and social impact assessment	Yes 🗆 No 🗅 NA 🗅	NA	
3.4	Estimation of project cost, viability and financing options	Yes ☐ No ☐ NA ☐	NA	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
3.5	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
4	Task Assignment and Manning Schedule	Yes ☐ No ☐ NA ☐	NA	
4.1	Number of key personnel provided	Yes ☐ No ☐ NA ☐		
4.2	Specific tasks assigned to each key personnel	Yes 🗆 No 🗅 NA 🗅	NA	
4.3	Manning schedule for key personnel	Yes ☐ No ☐ NA ☐	NA	
4.4	Number of key personnel deployed at site	Yes ☐ No ☐ NA ☐		
5	Performa for data collection	Yes ☐ No ☐ NA ☐	NA	
6	Indicative Design standards and cross sections	Yes ☐ No ☐ NA ☐	NA	
7	Development plans	Yes ☐ No ☐ NA ☐	NA	
7.1	Overview of development plans being implemented/ proposed by local bodies	Yes 🗆 No 🗀 NA 🗀	NA	
7.2	Overview of impact of such development plans	Yes 🗆 No 🗆 NA 🗅	NA	
8	Quality Assurance Plan	Yes ☐ No ☐ NA ☐	NA	
8.1	Engineering surveys and investigation	Yes ☐ No ☐ NA ☐	NA	
8.2	Traffic surveys	Yes ☐ No ☐ NA ☐	NA	
8.3	Material geo-technical and sub-soil investigations	Yes 🗆 No 🗀 NA 🗅	NA	
8.4	Road and pavement investigations	Yes ☐ No ☐ NA ☐	NA	
8.5	Investigation and design of bridges and structures	Yes 🗆 No 🗀 NA 🗀	NA	
8.6	Environment and R&R assessment	Yes ☐ No ☐ NA ☐	NA	
8.7	Economic and financial analysis	Yes ☐ No ☐ NA ☐	NA	
8.8	Drawing and documentation	Yes ☐ No ☐ NA ☐	NA	
8.9	Discussion of draft QAP document with client	Yes 🗆 No 🗀 NA 🗅	NA	
8.10	Approval of final QAP document by client	Yes ☐ No ☐ NA ☐	NA	
8.11	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
9	Draft design standards	Yes ☐ No ☐ NA ☐	NA	
9.1	Geometric design standards of bridges (Plain)	Yes 🗆 No 🗆 NA 🗅	NA	
9.2	Geometric design standards of bridges (Hilly)	Yes 🗆 No 🗅 NA 🗅	NA	
9.3	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
10	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
10.1	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
10.2	Report fulfils project objectives and scope as per RFP	Yes 🗆 No 🗀 NA 🗀	NA	
10.3	Report reviewed for errors and omissions	Yes ☐ No ☐ NA ☐	NA	
10.4	Compliance report prepared on client observations	Yes ☐ No ☐ NA ☐	NA	

# DPR Checklist Stage 2 Feasibility Report (Structures)

General Details	
Project Name	
Consultant's Name	
Date of Review	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
1	Executive Summary	Yes ☐ No ☐ NA ☐	NA	
2	Overview of client organization / activities	Yes ☐ No ☐ NA ☐	NA	
3	Methodology adopted for feasibility study	Yes ☐ No ☐ NA ☐	NA	
4	Socioeconomic profile of the project areas	Yes ☐ No ☐ NA ☐	NA	
4.1	Regional economic profile basis last 10 years data as per IRC	Yes ☐ No ☐ NA ☐	NA	
4.2	Economic profile of project influence area basis last 10 years data as per IRC	Yes ☐ No ☐ NA ☐	NA	
4.3	Socio Economic status of project influence area	Yes ☐ No ☐ NA ☐	NA	
5	Indicative design standards, methodologies, and specifications	Yes ☐ No ☐ NA ☐	NA	
6	Traffic surveys and analysis	Yes ☐ No ☐ NA ☐	NA	
6.1	Classified traffic volume counts using IHMCL data (7 day)	Yes ☐ No ☐ NA ☐	NA	
6.2	Traffic projection methodology as per IRC:108	Yes ☐ No ☐ NA ☐	NA	
6.3	Projected Traffic data for 20 years	Yes ☐ No ☐ NA ☐	NA	
6.4	Current and Projected PCU	Yes ☐ No ☐ NA ☐		
6.5	Current and Projected TVU	Yes 🗖 No 🗖 NA 🗖		
6.6	Axle load survey as per IRC:SP:19	Yes 🗖 No 🗖 NA 🗖	NA	
6.7	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
6.8	Traffic surveys monitored and reviewed by the client	Yes ☐ No ☐ NA ☐	NA	
7	Reconnaissance survey	Yes ☐ No ☐ NA ☐	NA	
7.1	Road Inventory as per IRC:SP:19	Yes ☐ No ☐ NA ☐	NA	
7.2	Review of Road Inventory Survey by client	Yes ☐ No ☐ NA ☐	NA	
7.3	Geometric Features of the Existing Road Design Speed Sight distance elements Horizontal Alignment Details Vertical Alignment Details Height of Embankment	Yes □ No □ NA □	NA	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
	Topographical Survey as per IRC:SP:19			
7.4		Yes 🗅 No 🗅 NA 🗅	NA	
7.5	Review of topographical survey by client	Yes ☐ No ☐ NA ☐	NA	
7.6	Pavement composition and condition survey as per IRC:SP:19	Yes ☐ No ☐ NA ☐	NA	
7.7	Geological Survey Geological Map of the Area Seismicity	Yes 🗆 No 🗅 NA 🗅	NA	
7.8	Climatic Conditions  * Temperature Rainfall Wind	Yes ☐ No ☐ NA ☐	NA	
7.9	Land Use along the existing alignment Map of the Project Area depicting Agricultural/Habitation/Forest Area	Yes ☐ No ☐ NA ☐	NA	
7.10	Details of Existing Structures  * Map of the Project Area depicting Hutments/Buildings/Temples/Public Building/Any Other Significant Structure	Yes ☐ No ☐ NA ☐	NA	
7.11	Inventory and condition survey of culverts	Yes ☐ No ☐ NA ☐	NA	
7.12	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
8	Geotechnical Survey	Yes ☐ No ☐ NA ☐	NA	
8.1	Geo-technical and sub-soil explorations as per IRC:78	Yes ☐ No ☐ NA ☐	NA	
8.2	Number of Bore holes dug (holds for every pier and abutment)	Yes ☐ No ☐ NA ☐		
8.3	Review of geo-technical and sub-soil explorations by client	Yes ☐ No ☐ NA ☐	NA	
8.4	Field testing, soil sampling, laboratory testing as per IRC:78	Yes ☐ No ☐ NA ☐	NA	
8.5	Recommendation of Foundation Type and Depth	Yes ☐ No ☐ NA ☐		
8.6	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
9	Hydraulic & Hydrological Survey	Yes ☐ No ☐ NA ☐	NA	
9.1	Hydrological investigations as per IRC:5 and IRC: 13	Yes ☐ No ☐ NA ☐	NA	
9.2	High Flood Level specified	Yes ☐ No ☐ NA ☐	NA	
9.3	Depth of Water Table specified	Yes ☐ No ☐ NA ☐	NA	
9.4	Ponded Water Level specified	Yes ☐ No ☐ NA ☐	NA	
9.5	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
9.6	Review of Hydrological Survey by the client	Yes ☐ No ☐ NA ☐	NA	
10	Materials Survey	Yes ☐ No ☐ NA ☐	NA	
10.1	Materials Survey conducted as per IRC:SP:19	Yes No NA	NA	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
10.2	Sources of Naturally Occurring Aggregates specified Details of Borrow Pits with Distance from Project Site Cost of Material/Transportation	Yes ☐ No ☐ NA ☐		
10.3	Sources of Manufactured Items specified Details of Suppliers with Distance from Project Site Cost of Material/Transportation	Yes ☐ No ☐ NA ☐	NA	
10.4	Source of Water for construction specified as per IS:456	Yes 🗆 No 🗅 NA 🗅	NA	
10.5	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
11	Determination of whether ROB or RUB is appropriate	Yes ☐ No ☐ NA ☐	NA	
11.1	Justification of whether ROB or RUB should be built	Yes ☐ No ☐ NA ☐	NA	
11.2	Review of justification by client	Yes ☐ No ☐ NA ☐	NA	
12	Alignment Options Study	Yes ☐ No ☐ NA ☐	NA	
12.1	At least two alignments proposed  Details of Alignments on Map	Yes 🗆 No 🗅 NA 🗅	NA	
12.2	Review of options with client	Yes ☐ No ☐ NA ☐	NA	
12.2.1	Review of options with local authority	Yes ☐ No ☐ NA ☐	NA	
12.3	Length of the project along proposed alignment options	Yes ☐ No ☐ NA ☐	NA	
12.4	Land Acquisition required along alignment options	Yes ☐ No ☐ NA ☐	NA	
12.5	Cost Estimates of alternatives	Yes ☐ No ☐ NA ☐	NA	
12.6	Recommended Alignment with Justification	Yes ☐ No ☐ NA ☐		
12.7	Skew Angle of Proposed Alignment Specified	Yes ☐ No ☐ NA ☐		
12.7.1	Environmental impact of each option	Yes ☐ No ☐ NA ☐		
12.7.2	Review of road geometry and safety for each option	Yes ☐ No ☐ NA ☐	NA	
12.9	Traffic Diversion Route Specified	Yes ☐ No ☐ NA ☐	NA	
12.10	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
13	Environmental screening/ preliminary environmental assessment	Yes ☐ No ☐ NA ☐	NA	
13.1	Analysis basis Initial Environment Examination in IRC: SP: 19	Yes ☐ No ☐ NA ☐	NA	
13.2	Recommended feasible mitigation measures	Yes ☐ No ☐ NA ☐	NA	
14	Initial social assessment/ preliminary LA resettlement plan	Yes ☐ No ☐ NA ☐	NA	
14.1	Analysis basis Initial Environment Examination in IRC: SP: 19	Yes ☐ No ☐ NA ☐	NA	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
14.2	Details of consultation with potentially affected persons	Yes ☐ No ☐ NA ☐	NA	
14.3	Details of consultation with local NGOs	Yes ☐ No ☐ NA ☐	NA	
14.4	Details of consultation with municipal authorities	Yes ☐ No ☐ NA ☐	NA	
14.5	Preliminary resettlement plan	Yes ☐ No ☐ NA ☐	NA	
14.6	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
15	Cost estimates	Yes ☐ No ☐ NA ☐	NA	
15.1	Item rates and rate analysis	Yes ☐ No ☐ NA ☐	NA	
15.2	Escalation	Yes ☐ No ☐ NA ☐	NA	
16	Economic and financial analysis	Yes ☐ No ☐ NA ☐	NA	
16.1	Estimated cost details	Yes ☐ No ☐ NA ☐	NA	
16.2	Projected revenues details	Yes ☐ No ☐ NA ☐	NA	
16.3	Assumptions stated	Yes ☐ No ☐ NA ☐	NA	
16.4	Analysis and results  R Sensitivity Analysis Financial Viability	Yes 🗆 No 🗅 NA 🗅	NA	
16.5	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
17	Strip Plan		NA	
17.1	Details of center line of proposed structure	Yes  No NA	NA	
17.2	Details of existing RoW	Yes ☐ No ☐ NA ☐		
17.3	Details of proposed RoW	Yes ☐ No ☐ NA ☐		
17.4	Details about ownership of land to be acquired	Yes 🗆 No 🗅 NA 🗅	NA	
17.5	Strip plan basis reconnaissance and topographic surveys	Yes 🗆 No 🗅 NA 🗅	NA	
17.6	Strip plan reviewed and approved by the client	Yes ☐ No ☐ NA ☐	NA	
17.7	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
11	Strip plan- additional details added	Yes ☐ No ☐ NA ☐	NA	
11.1	Details of centreline, existing structures, road furniture and other features	Yes □ No □ NA □	NA	
11.2	Widening scheme	Yes ☐ No ☐ NA ☐	NA	
11.3	New construction/ reconstruction of structures and amenities	Yes 🗆 No 🗅 NA 🗅	NA	
11.4	Existing and proposed right of way	Yes ☐ No ☐ NA ☐	NA	
11.5	Clearances impacting each chainage	Yes ☐ No ☐ NA ☐	NA	

# DPR Checklist Stage 3 LA and Clearances I Report (Structures)

General Details		
Project Name		
Consultant's Name		
Date of Review		

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
1	Executive Summary	Yes ☐ No ☐ NA ☐	NA	
2	<b>Environment Clearance</b>	Yes ☐ No ☐ NA ☐	NA	
2.1	Requirement for environment clearance identified	Yes 🗆 No 🗅 NA 🗅	NA	
2.2	Date/ Details of Initial consultation with competent authority	Yes ☐ No ☐ NA ☐		
2.3	Date of submission of draft EIA report/ proposal for clearance	Yes 🗆 No 🗅 NA 🗅	NA	
2.4	Review of proposal/ EIA report by client	Yes ☐ No ☐ NA ☐	NA	
3	Forest Clearance	Yes ☐ No ☐ NA ☐	NA	
3.1	Requirement for forest clearance identified	Yes ☐ No ☐ NA ☐	NA	
3.2	Date/ Details of initial consultation with competent authority	Yes 🗆 No 🗅 NA 🗅		
3.3	Details/cost of trees being felled basis concerned District Forest Office	Yes ☐ No ☐ NA ☐		
3.4	Date of submission of proposal for forest clearance	Yes 🗆 No 🗅 NA 🗅	NA	
3.5	Review of proposal by client	Yes ☐ No ☐ NA ☐	NA	
4	Wildlife Clearance	Yes ☐ No ☐ NA ☐	NA	
4.1	Requirement for wildlife clearance identified	Yes ☐ No ☐ NA ☐	NA	
4.2	Date/ Details of initial consultation with competent authority	Yes 🗆 No 🗅 NA 🗅		
4.3	Details/cost of trees being felled basis concerned District Forest Office	Yes 🗆 No 🗅 NA 🗅		
4.4	Date of submission of proposal for wildlife clearance	Yes 🗆 No 🗅 NA 🗅		
4.5	Review of proposal by client	Yes ☐ No ☐ NA ☐	NA	
5	Utility Clearances (Electricity)	Yes ☐ No ☐ NA ☐	NA	
5.1	Identification of overground utilities	Yes 🗆 No 🗅 NA 🗅	NA	
5.2	Identification of underground utilities	Yes 🗆 No 🗅 NA 🗅	NA	
5.3	Name/ Details of consultation with local authority/ people	Yes ☐ No ☐ NA ☐		
5.4	Utility relocation plan with existing / proposed location showing existing RoW and topographic details	Yes 🗆 No 🗅 NA 🗅	NA	
5.5	Cost for relocation as per authority	Yes ☐ No ☐ NA ☐		

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
5.6	Date of proposal submission to competent authority	Yes ☐ No ☐ NA ☐		
5.7	Review of utility relocation plan/ proposal by client	Yes ☐ No ☐ NA ☐	NA	
6	Utility Clearances (Water)	Yes ☐ No ☐ NA ☐	NA	
6.1	Identification of overground utilities in RoW	Yes ☐ No ☐ NA ☐	NA	
6.2	Identification of underground utilities	Yes 🗆 No 🗅 NA 🗅	NA	
6.3	Name/ Details of consultation with local authority/ people	Yes ☐ No ☐ NA ☐		
6.4	Utility relocation plan with existing / proposed location showing existing RoW and topographic details	Yes 🗆 No 🗅 NA 🗅	NA	
6.5	Cost for relocation as per authority	Yes ☐ No ☐ NA ☐		
6.6	Date of proposal submission to competent authority	Yes ☐ No ☐ NA ☐	NA	
6.7	Review of utility relocation plan/ proposal by client	Yes ☐ No ☐ NA ☐	NA	
7	Utility Clearances (Others)	Yes ☐ No ☐ NA ☐	NA	
7.1	Identification of over ground utilities in RoW	Yes ☐ No ☐ NA ☐	NA	
7.2	Identification of underground utilities	Yes ☐ No ☐ NA ☐	NA	
7.3	Name/ Details of consultation with local authority/ people	Yes ☐ No ☐ NA ☐		
7.4	Utility relocation plan with existing / proposed location showing existing RoW and topographic details	Yes ☐ No ☐ NA ☐	NA	
7.5	Cost for relocation as per authority	Yes 🗆 No 🗅 NA 🗅		
7.6	Date of proposal submission to competent authority	Yes ☐ No ☐ NA ☐		
7.7	Review of utility relocation plan/ proposal by client	Yes ☐ No ☐ NA ☐	NA	
8	Railway Clearances	Yes ☐ No ☐ NA ☐	NA	
8.1	Identification of ROB/ RUB on project corridor	Yes ☐ No ☐ NA ☐	NA	
8.2	Initial consultation with competent authority	Yes ☐ No ☐ NA ☐	NA	
8.3	Date of proposal submission to competent authority	Yes ☐ No ☐ NA ☐		
8.4	Review of GAD/ proposal by client	Yes ☐ No ☐ NA ☐	NA	
9	Other Clearances	Yes ☐ No ☐ NA ☐	NA	
9.1	Requirement for other clearances identified	Yes 🗆 No 🗅 NA 🗅	NA	
9.2	Date of proposal submission to competent authority	Yes ☐ No ☐ NA ☐		
9.3	Review of proposal by client	Yes ☐ No ☐ NA ☐	NA	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
10	Land Acquisition	Yes ☐ No ☐ NA ☐	NA	
10.1	Detailed schedule about acquisition of landholdings as per land records	Yes ☐ No ☐ NA ☐	NA	
10.2	Consultation with affected persons	Yes ☐ No ☐ NA ☐	NA	
10.3	Name/ Details of consultation with NGOs	Yes 🗆 No 🗅 NA 🗅		
10.4	Name/ Details of consultation with concerned government agencies	Yes ☐ No ☐ NA ☐		
10.5	Total land required, land area already available, land to be acquired identified	Yes ☐ No ☐ NA ☐		
10.6	Review of land acquisition using digital cadastral map by client	Yes ☐ No ☐ NA ☐	NA	
11	Strip plan- additional details added	Yes 🗆 No 🗀 NA 🗅	NA	11
11.1	Details of centreline, existing structures, road furniture and other features	Yes 🗖 No 🗖 NA 🗖	NA	11.1
11.2	Widening scheme	Yes  No  NA	NA	11.2
11.3	New construction/ reconstruction of structures and amenities	Yes 🗆 No 🗀 NA 🗀	NA	11.3
11.4	Existing and proposed right of way	Yes 🗆 No 🗀 NA 🗀	NA	11.4
11.5	Clearances impacting each chainage	Yes 🗆 No 🗀 NA 🗀	NA	11.5
12	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
12.1	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
12.2	Report fulfils project objectives and scope as per RFP	Yes ☐ No ☐ NA ☐	NA	
12.3	Report reviewed for errors and omissions	Yes ☐ No ☐ NA ☐	NA	
12.4	Compliance report prepared on client observations	Yes ☐ No ☐ NA ☐	NA	

# DPR Checklist Stage 4 Detailed Project Report (Structures)

General Details	
Project Name	
Consultant's Name	
Date of Review	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
1	Project background	Yes ☐ No ☐ NA ☐	NA	
1.1	Project description  * Existing LC number Start and End Chainage Village/District	Yes ☐ No ☐ NA ☐		
1.2	Project location map On State Map On District Map Latitude & Longitude Coordinates of the LC	Yes □ No □ NA □		
1.3	Details of Existing Level Crossing Number of Railway Tracks Type of Railway Tracks (Broad/Metre/Narrow) No. of trains per day	Yes □ No □ NA □		
1.4	Justification for need of an ROB/RUB (on basis of TVU count)	Yes 🗆 No 🗅 NA 🗅	NA	
1.5	Overview of land use plans	Yes 🗆 No 🗅 NA 🗅	NA	
1.6	Qverview of existing pavement conditions Number of Lanes Type of Pavement (Flexible/Rigid/Surfaced/Unsurfaced)	Yes ☐ No ☐ NA ☐		
1.7	Existing right of way details	Yes ☐ No ☐ NA ☐		
1.8	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
2	Social analysis of the project	Yes ☐ No ☐ NA ☐	NA	
2.1	Project impact on stakeholders such as local people	Yes ☐ No ☐ NA ☐	NA	
2.2	Project impact on residential, commercial and public properties	Yes ☐ No ☐ NA ☐	NA	
2.3	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
3	Reconnaissance survey	Yes ☐ No ☐ NA ☐	NA	
3.1	Geometric Features of the Existing Road Design Speed * Sight distance details Horizontal Alignment Details Vertical Alignment Details Height of Embankment	Yes □ No □ NA □		

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
3.2	Topographical Survey as per IRC:SP:19	Yes □ No □ NA □	NA	
3.3	Pavement composition and condition surrey as per IRC:SP:19	Yes ☐ No ☐ NA ☐	NA	
3.4	Geological Survey Geological Map of the Area Seismicity	Yes 🗆 No 🗅 NA 🗅	NA	
3.5	Climatic Conditions Temperature Rainfall Wind	Yes ☐ No ☐ NA ☐	NA	
3.6	Land Use along the existing alignment Map of the Project Area depicting Agricultural/Habitation/Forest Area	Yes ☐ No ☐ NA ☐	NA	
3.7	Details of Existing Structures Map of the Project Area depicting Hutments/Buildings/Temples/Public Building/Any Other Significant Structure	Yes ☐ No ☐ NA ☐	NA	
3.8	Inventory and condition survey of culverts	Yes ☐ No ☐ NA ☐	NA	
3.9	Geo-technical and sub-soil explorations as per IRC:78	Yes 🗆 No 🗅 NA 🗅	NA	
3.10	Number of Bore holes dug (holds for every pier and abutment)	Yes ☐ No ☐ NA ☐		
3.11	Field testing, soil sampling, laboratory testing as per IRC: 78	Yes ☐ No ☐ NA ☐	NA	
3.12	Recommendation of Foundation Type and Depth	Yes ☐ No ☐ NA ☐		
3.13	Hydraulic and Hydrological investigations as per IRC:5	Yes ☐ No ☐ NA ☐	NA	
3.14	High Flood Level specified	Yes ☐ No ☐ NA ☐	NA	
3.15	Depth of Water Table specified	Yes 🗆 No 🗅 NA 🗅	NA	
3.16	Ponded Water Level specified	Yes ☐ No ☐ NA ☐	NA	
3.17	Materials Survey conducted as per IRC:SP:19	Yes 🗆 No 🗅 NA 🗅	NA	
3.18	Sources of Naturally Occurring Aggregates specified Details of Borrow Pits with Distance from Project Site Cost of Material/Transportation	Yes □ No □ NA □		
3.19	Sources of Manufactured Items specified Details of Suppliers with Distance from Project Site Cost of Material/Transportation	Yes ☐ No ☐ NA ☐	NA	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
3.19.1	Sources of environmentally friendly construction materials identified as per MoRT&H circular	Yes ☐ No ☐ NA ☐	NA	
3.20	Source of Water for construction specified as per IS:456	Yes 🛭 No 🗖 NA 🗖	NA	
3.21	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
4	Traffic surveys and analysis	Yes ☐ No ☐ NA ☐	NA	
4.1	Classified traffic volume counts using IHMCL data (7 day)	Yes ☐ No ☐ NA ☐	NA	
4.2	Traffic projection as per IRC:108	Yes 🗖 No 🗖 NA 🗖	NA	
4.3	Projected Traffic data for 20 years	Yes ☐ No ☐ NA ☐	NA	
4.4	Current and Projected PCU	Yes ☐ No ☐ NA ☐		
4.5	Current and Projected TVU	Yes ☐ No ☐ NA ☐		
4.6	Axle load survey as per IRC:SP:19	Yes ☐ No ☐ NA ☐	NA	
4.7	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
5	Determination of whether ROB or RUB is appropriate	Yes ☐ No ☐ NA ☐	NA	
5.1	Justification of whether ROB or RUB should be built	Yes ☐ No ☐ NA ☐	NA	
6	Alignment Options Study	Yes ☐ No ☐ NA ☐	NA	
6.1	At least two alignments proposed Details of Alignments on Map	Yes 🗖 No 🗖 NA 🗖	NA	
6.2	Length of the project along proposed alignment options	Yes ☐ No ☐ NA ☐	NA	
6.3	Land Acquisition required along alignment options	Yes ☐ No ☐ NA ☐	NA	
6.4	Cost Estimates of alternatives	Yes 🗖 No 🗖 NA 🗖	NA	
6.5	Recommended Alignment with Justification	Yes ☐ No ☐ NA ☐		
6.6	Skew Angle of Proposed Alignment Specified	Yes 🗖 No 🗖 NA 🗖		
6.7	Traffic Diversion Route Specified	Yes ☐ No ☐ NA ☐	NA	
6.8	Any other details relevant to the project	Yes ☐ No ☐ NA ☐	NA	
7	Design Specifications	Yes ☐ No ☐ NA ☐	NA	
7.1	Number of Lanes	Yes 🗖 No 🗖 NA 🗖		
7.2	Width of ROB Width of Carriageway Width of Safety Kerbs Width of Footpath Any other	Yes 🗆 No 🗅 NA 🗅		
7.3	Proposed Number of Lanes on ROB in line with PCU as per latest MoRTH guidelines	Yes ☐ No ☐ NA ☐	NA	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
	Proposed Length of the Project Length of ROB			
7.4	Length of Viaduct Length of RE Wall Length of Approach Road Length of Service Road	Yes □ No □ NA □		
7.5	Span Arrangement Span Length Number of Spans	Yes □ No □ NA □		
7.6	Are all spans of standardised length as per Railways standards (https://ircep.gov.in/RCApproval/) If non-standardised, suitable justification provided	Yes □ No □ NA □	NA	
7.7	Details of Proposed Superstructure Design  Type  Details of Material Use Proposed Drawings of Cross-Sections	Yes □ No □ NA □	NA	
7.8	Details of Proposed Substructure Design Type Details of Material Use Proposed Drawings of Cross-Sections	Yes □ No □ NA □	NA	
7.9	Details of Proposed Pavement Design Type Details of Material Use Proposed * Thickness Design MSA Drawings of Cross-Sections	Yes □ No □ NA □	NA	
7.10	Details of Drainage Structures Proposed	Yes □ No □ NA □	NA	
7.11	Any other details relevant to the project	Yes □ No □ NA □	NA	
8	Cost estimates	Yes □ No □ NA □	NA	
8.1	Summary of Cost Estimates (Refer following subsection)	Yes □ No □ NA □		
8.2	Detailed Abstract of Cost	Yes □ No □ NA □	NA	
8.3	Detailed Bills of Quantity	Yes □ No □ NA □	NA	
8.4	Detailed Rate Analysis	Yes □ No □ NA □	NA	
9	Financial Viability	Yes □ No □ NA □	NA	
9.1	Estimated cost details	Yes □ No □ NA □	NA	
9.2	Projected revenues details	Yes □ No □ NA □	NA	
9.3	Assumptions stated	Yes □ No □ NA □	NA	
9.4	Analysis and results  * IRR Sensitivity Analysis Financial Viability	Yes □ No □ NA □		
10	Land Acquisition Study	Yes □ No □ NA □	NA	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
10.1	Land Acquisition Details  * Total Land Required Land Area already available Area of Land to be Acquired	Yes □ No □ NA □		
10.3	Details of LA Cost	Yes ☐ No ☐ NA ☐		
11	Utility Shifting Study	Yes ☐ No ☐ NA ☐	NA	
11.1	Deleted.	Yes ☐ No ☐ NA ☐	NA	
11.2	Utility relocation plan with existing / proposed location showing existing RoW and topographic details	Yes 🗆 No 🗅 NA 🗅	NA	
11.3	Cost for relocation as per authority	Yes 🗆 No 🗅 NA 🗅		
12	General Arrangement Drawing	Yes ☐ No ☐ NA ☐	NA	
12.1	Elevation of Railway Portion	Yes 🗆 No 🗅 NA 🗅	NA	
12.2	Plan of Railway Portion	Yes 🗆 No 🗅 NA 🗅	NA	
12.3	General Elevation	Yes 🗆 No 🗅 NA 🗅	NA	
12.4	General Plan (showing complete ROB/RUB along with diversion)	Yes ☐ No ☐ NA ☐	NA	
12.5	Key Plan	Yes ☐ No ☐ NA ☐	NA	
12.6	Cross-Section of Railway Portion	Yes ☐ No ☐ NA ☐	NA	
12.7	3D engineered models of: 'Existing structure, if any Proposed structure Utilities and other features in RoW	Yes □ No □ NA □		

**Cost Summary Table** 

S.No.	Particulars	Cost Estimate(in '000)
1	Cost of ROB Portion	
	Foundation	
	Substructure	
	Superstructure	
	Total-ROB Portion	
2	Cost of Viaduct	
	Foundation	
	Substructure	
	Superstructure	
	Total-Viaduct	
3	Cost of Approach Road	
4	Cost of RE Wal	

S.No.	Particulars	Cost Estimate(in '000)
5	Cont of Contine Read	
O .	Cost of Service Road	
6	Miscelaneous Costs	
	Cost of Subway	
	Cost of Toll Plaza	
	Cost of Culverts	
	Any Other Costs	
	Civil Cost of the Project	
7	Contingencies @x%	
	Total Civil Cost	
8	Supervision Charges @x%	
9	Cost of Quality Control @x%	
10	Maintenance Charges @x%	
11	Escalation Costs @x%	
12	Land Acquisition Costs	
13	Utility Shifting Costs	
14	Any Other Costs	
	Total Cost of the Project	

# DPR Checklist Stage 5 Technical Schedules (Structures)

General Details	
Project Name	
Consultant's Name	
Date of Review	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
1	Bid documents- EPC	Yes ☐ No ☐ NA ☐	NA	
2	Bid documents- Other, if any	Yes ☐ No ☐ NA ☐	NA	
3	Draft concession agreement	Yes ☐ No ☐ NA ☐	NA	
3	Schedule C - Project facilities	Yes ☐ No ☐ NA ☐	NA	
4	Schedule D - Specifications and standards	Yes ☐ No ☐ NA ☐	NA	
5	Any other relevant details	Yes ☐ No ☐ NA ☐	NA	

# DPR Checklist Stage 6 LA and Clearances II Report (Structures)

General Details	
Project Name	
Consultant's Name	
Date of Review	

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
1	Executive Summary	Yes ☐ No ☐ NA ☐	NA	
2	Environment Clearance	Yes ☐ No ☐ NA ☐	NA	
2.1	Details of public hearings completed	Yes ☐ No ☐ NA ☐		
2.2	Date of final environment clearance by competent authority	Yes □ No □ NA □		
3	Forest Clearance	Yes ☐ No ☐ NA ☐	NA	
3.1	Date/ Details of Joint site inspection with DFO/ competent authority	Yes  No NA		
3.2	Date of Stage I forest clearance approval by competent authority	Yes □ No □ NA □		
3.3	Date of final forest clearance approval by competent authority	Yes  No NA		
4	Wildlife Clearance	Yes ☐ No ☐ NA ☐	NA	
4.1	Date/ Details of joint site inspection with DFO/ competent authority	Yes 🗆 No 🗅 NA 🗅		
4.2	Date of final wildlife clearance approval by competent authority	Yes 🗆 No 🗆 NA 🗅		
5	Utility Clearances (Electricity)	Yes ☐ No ☐ NA ☐	NA	
5.1	Date/ Details of Joint site inspection with competent authority	Yes  No NA		
5.2	Date of estimate submission by competent authority	Yes 🗆 No 🗆 NA 🗅		
5.3	Date of estimate approval by competent authority	Yes  No NA		
5.4	Approved utility shifting proposal	Yes ☐ No ☐ NA ☐		
5.5	Details of approved contractors, SoR and deposit details for user agency	Yes ☐ No ☐ NA ☐	NA	
5.6	Utilities checklist, no upgradation certificate attached	Yes ☐ No ☐ NA ☐		
6.2	Date of estimate submission by competent authority	Yes 🗆 No 🗆 NA 🗅		
6.3	Date of estimate approval by competent authority	Yes □ No □ NA □		
6.4	Approved utility shifting proposal	Yes ☐ No ☐ NA ☐		
6.5	Details of approved contractors, SoR and deposit details for user agency	Yes 🗆 No 🗆 NA 🗅	NA	
6.6	Utilities checklist, no upgradation certificate attached	Yes ☐ No ☐ NA ☐		

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
7.2	Date of estimate submission by competent authority	Yes ☐ No ☐ NA ☐		
7.3	Date of estimate approval by competent authority	Yes ☐ No ☐ NA ☐		
7.4	Approved utility shifting proposal	Yes ☐ No ☐ NA ☐		
7.5	Details of approved contractors, SoR and deposit details for user agency	Yes ☐ No ☐ NA ☐	NA	
7.6	Utilities checklist, no upgradation certificate attached	Yes ☐ No ☐ NA ☐		
8.2	Date of final approval of GAD by competent authority	Yes ☐ No ☐ NA ☐		
9	Other Clearances	Yes ☐ No ☐ NA ☐	NA	
9.1	Date of final approval by competent authority	Yes ☐ No ☐ NA ☐		
10	Land Acquisition	Yes ☐ No ☐ NA ☐	NA	
10.1	Draft 3a notification submitted	Yes ☐ No ☐ NA ☐	NA	
10.2	Review of 3a notification by client	Yes ☐ No ☐ NA ☐	NA	
10.3	Date of 3a gazette notification	Yes ☐ No ☐ NA ☐		
10.4	Draft 3a notification submitted	Yes ☐ No ☐ NA ☐	NA	
10.5	Review of 3A notification by client	Yes ☐ No ☐ NA ☐	NA	
10.6	Date of 3A gazette notification	Yes ☐ No ☐ NA ☐		
10.7	Date of Joint Measurement Survey with competent authority	Yes ☐ No ☐ NA ☐		
10.7.1	Date of survey	Yes ☐ No ☐ NA ☐	NA	
10.7.2	Land type ₋by survey number	Yes ☐ No ☐ NA ☐	NA	
10.7.3	Nature of Land ₋by survey number	Yes ☐ No ☐ NA ☐	NA	
10.7.4	Ownership status of plots- by survey number	Yes ☐ No ☐ NA ☐	NA	
10.7.5	Verification of area to be acquired _ by survey number	Yes ☐ No ☐ NA ☐	NA	
10.7.6	List of structures on each plot	Yes ☐ No ☐ NA ☐	NA	
10.7.7	Sketches of updated alignment	Yes ☐ No ☐ NA ☐	NA	
10.7.8	Verification from Land revenue department	Yes ☐ No ☐ NA ☐	NA	
10.7.9	Verification by CALA office	Yes ☐ No ☐ NA ☐	NA	

# 1.1.3 DPR Checklist Stage 7 Award determination (Structures)

General Details		
Project Name		
Consultant's Name		
Date of Review		

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specifications	Remarks
1	Executive Summary	Yes ☐ No ☐ NA ☐	NA	
2	Village level summary	Yes ☐ No ☐ NA ☐	NA	
2.1	Total private and public land being acquired	Yes ☐ No ☐ NA ☐	NA	
2.2	Variation in area and nature of land against 3D with justification	Yes ☐ No ☐ NA ☐	NA	
2.3	Method used by CALA to arrive at award	Yes ☐ No ☐ NA ☐	NA	
2.4	Date of award by CALA and approval by NHIDCL along with valuation			
2.5	Total award calculated and details of deviation from RFCTLARR act	Yes ☐ No ☐ NA ☐	NA	
3	In detail	Yes ☐ No ☐ NA ☐	NA	
3.1	Updated land acquisition tracker with parcel-wise status of: Notifications Award Disbursement	Yes ☐ No ☐ NA ☐	NA	
3.2	Valuation report and details of award calculation- verification by state authority to be included	Yes ☐ No ☐ NA ☐	NA	
3.3	Claims report	Yes ☐ No ☐ NA ☐	NA	
3.4	Copies of notifications published	Yes ☐ No ☐ NA ☐	NA	
3.5	Copies of land possession certificates received	Yes ☐ No ☐ NA ☐	NA	
4	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
4.1	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
4.2	Report fulfils project objectives and scope as per RFP	Yes ☐ No ☐ NA ☐	NA	
4.3	Report reviewed for errors and omissions	Yes ☐ No ☐ NA ☐	NA	
4.4	Compliance report prepared on client observations	Yes ☐ No ☐ NA ☐	NA	

# 1.1.4DPR Checklist Stage 8 Land possession report (Structures)

General Details		
Project Name		
Consultant's Name		
Date of Review		

S.No	SECTION OF THE REPORT	YES/NO/NA	Details/ Specification s	Remarks
1	Executive Summary	Yes ☐ No ☐ NA ☐	NA	
2	Village level summary	Yes ☐ No ☐ NA ☐	NA	
2.1	Total private and public land being acquired	Yes ☐ No ☐ NA ☐	NA	
2.2	Date of final award by CALA and approval by NHIDCL			
2.3	Status of disbursement on date of receipt of Land possession certificate	Yes ☐ No ☐ NA ☐	NA	
2.4	Key issues being faced in completing land acquisition, if any	Yes ☐ No ☐ NA ☐	NA	
3	In detail	Yes ☐ No ☐ NA ☐	NA	
3.1	Updated land acquisition tracker with status of:  Notifications Award Disbursement	Yes ☐ No ☐ NA ☐	NA	
3.2	Final award and claims report	Yes ☐ No ☐ NA ☐	NA	
3.3	Copies of notifications published, land possession certificates received	Yes ☐ No ☐ NA ☐	NA	
4	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
4.1	Conclusions and recommendations	Yes ☐ No ☐ NA ☐	NA	
4.2	Report fulfils project objectives and scope as per RFP	Yes ☐ No ☐ NA ☐	NA	
4.3	Report reviewed for errors and omissions	Yes ☐ No ☐ NA ☐	NA	
4.4	Compliance report prepared on client observations	Yes ☐ No ☐ NA ☐	NA	
5	GIS Map containing digitised details of land parcels acquired with all relevant details	Yes 🗆 No 🗅 NA 🗅	NA	